



Electromagnetic energy and antennas

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Acknowledgments

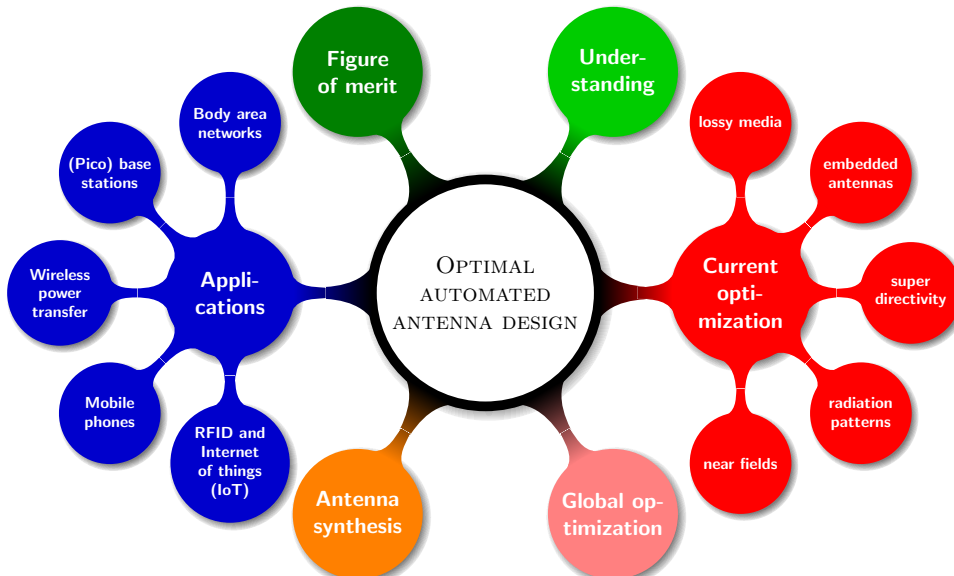
- ▶ The Swedish Research Council.
- ▶ Swedish Foundation for Strategic Research (SSF).

Collaboration with:

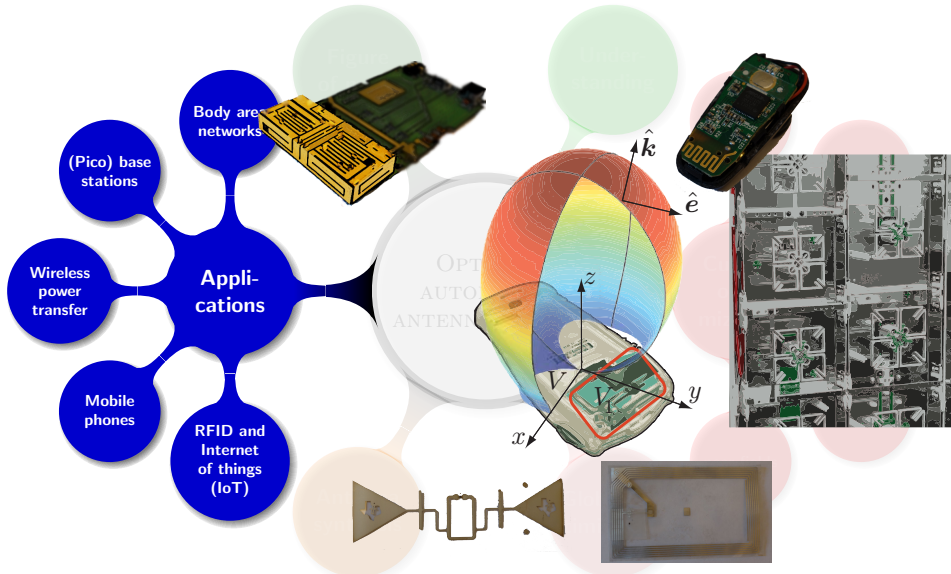
- ▶ Marius Cismasu, Lund University
- ▶ Doruk Tayli, Lund University
- ▶ Sven Nordebo, Linnæus University
- ▶ Lars Jonsson, KTH



Optimal (automated) antenna design



Optimal (automated) antenna design

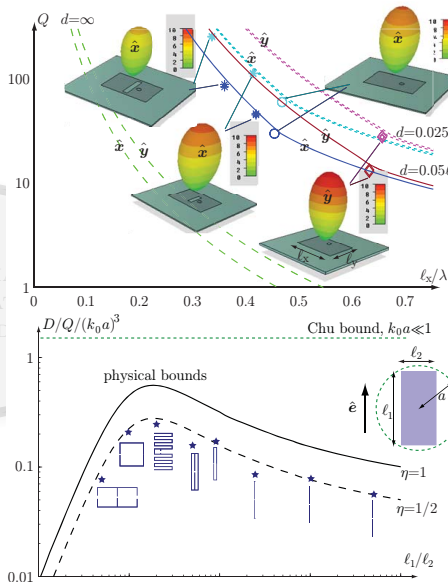


Optimal (automated) antenna design

Figure of merit

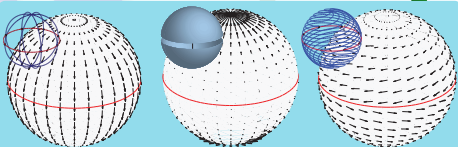
Performance of an antenna design in relation to the optimal performance

- ▶ % from the optimal design
- ▶ a useful number to compare designs
- ▶ is it worth to improve a design?
- ▶ ...



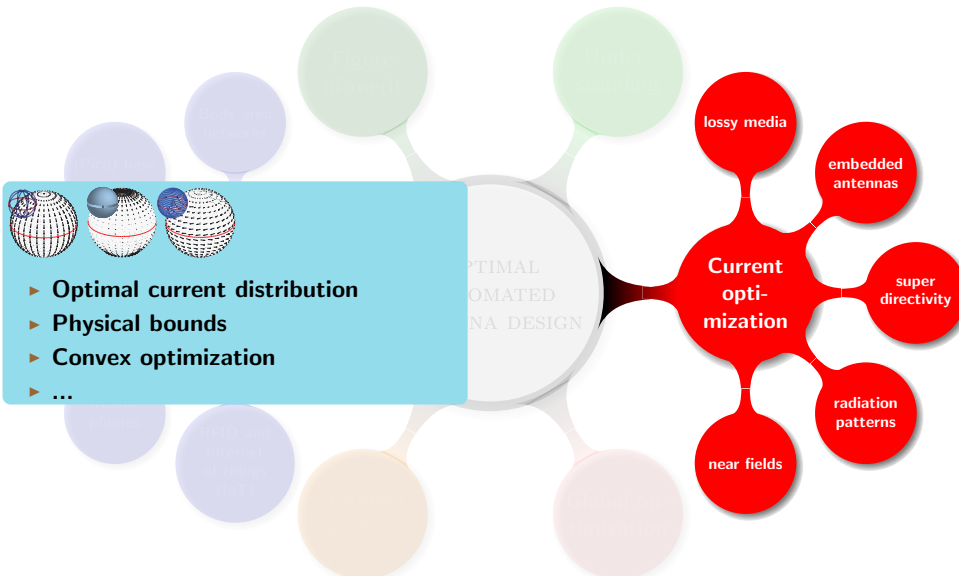
Optimal (automated) antenna design

Under-
standing

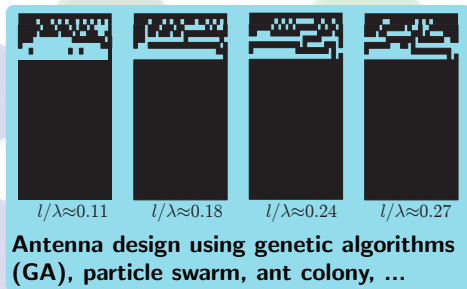


- ▶ **Physical bounds**
- ▶ **Current distributions**
- ▶ **Polarizability**

Optimal (automated) antenna design

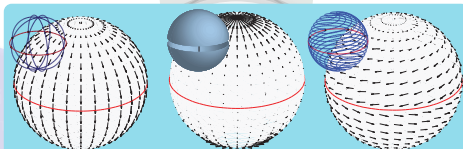


Optimal (automated) antenna design



Global optimization

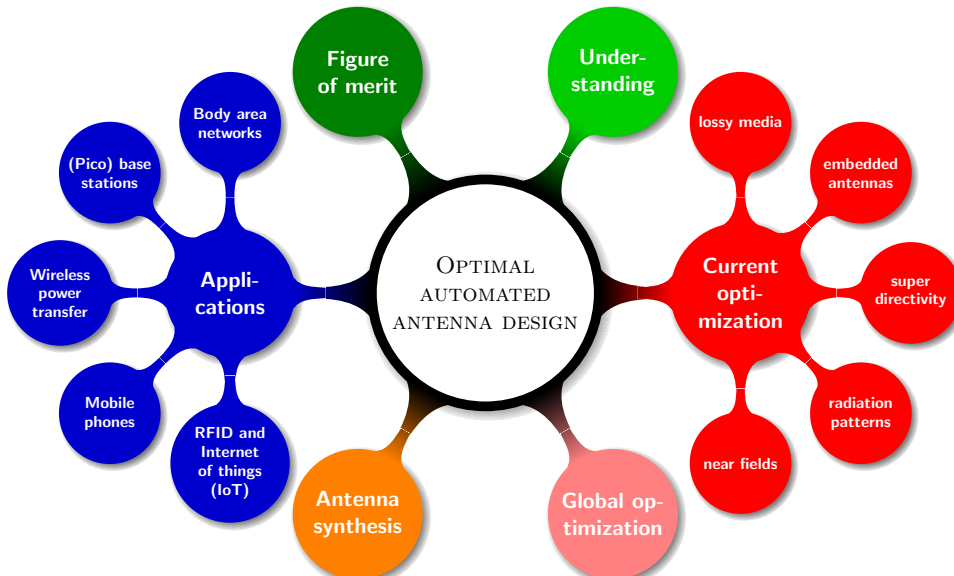
Optimal (automated) antenna design



**Can we use optimal currents to
synthesize antennas?**

**Antenna
synthesis**

Optimal (automated) antenna design



Optimization of antenna currents: examples

Gain over Q

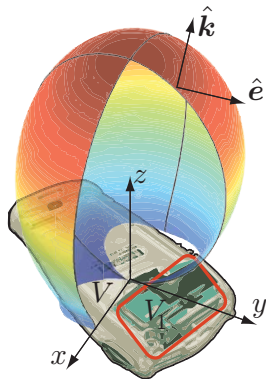
minimize Stored energy
subject to Radiation intensity = P_0

Q for superdirectivity $D \geq D_0$.

minimize Stored energy
subject to Radiation intensity = $D_0 P_{\text{rad}} / (4\pi)$
Radiated power $\leq P_{\text{rad}}$

Embedded structures

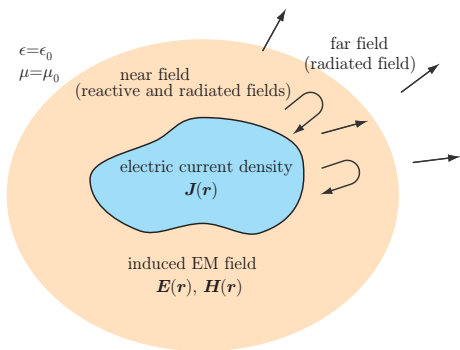
minimize Stored energy
subject to Radiation intensity = P_0
Correct induced currents



Need to:

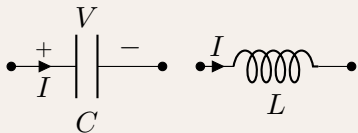
1. Express the *stored energy* in the current density \mathbf{J} .
2. Solve the optimization problems.

What is (stored) EM energy?



- ▶ Time average energy density $\epsilon_0 |\mathbf{E}|^2/4$ and $\mu_0 |\mathbf{H}|^2/4$.
- ▶ What is stored and radiated?
- ▶ How can we express the (stored) energy in the current density?
- ▶ Here, currents in free space.

Lumped elements

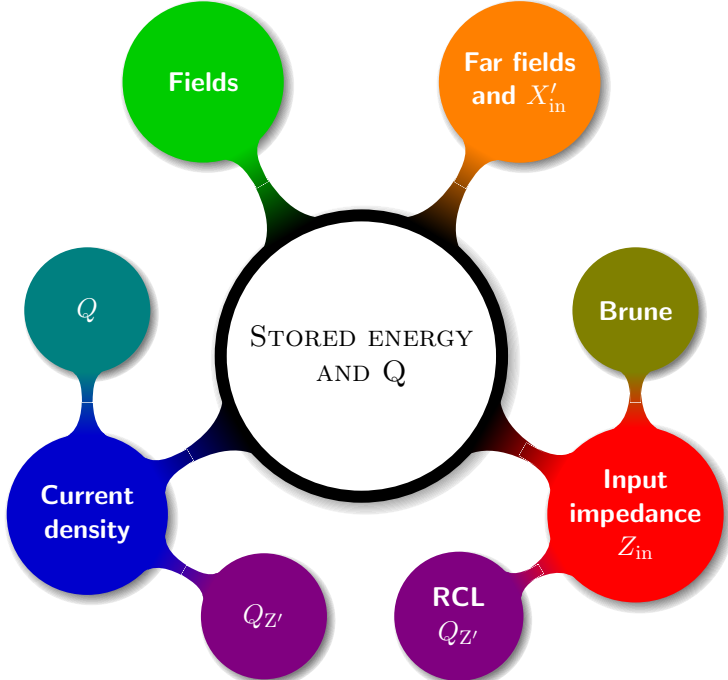


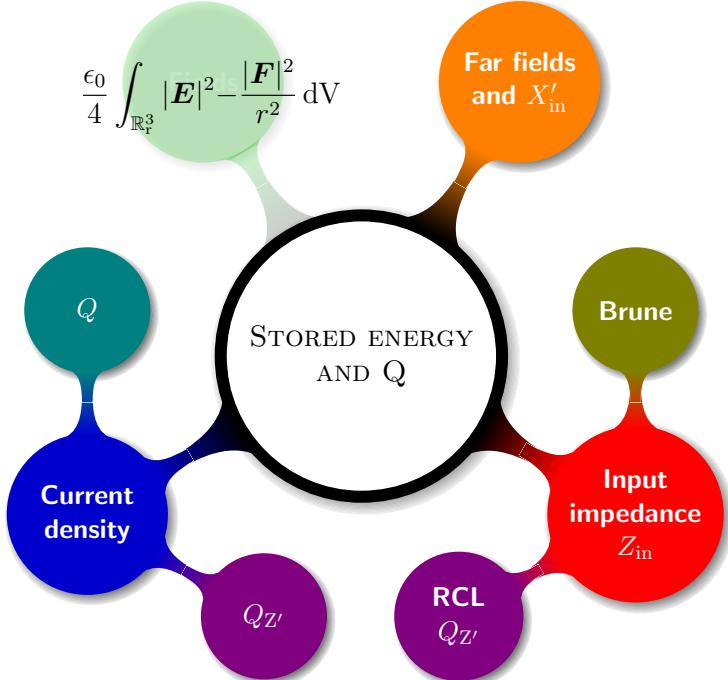
Time average stored energy in capacitors

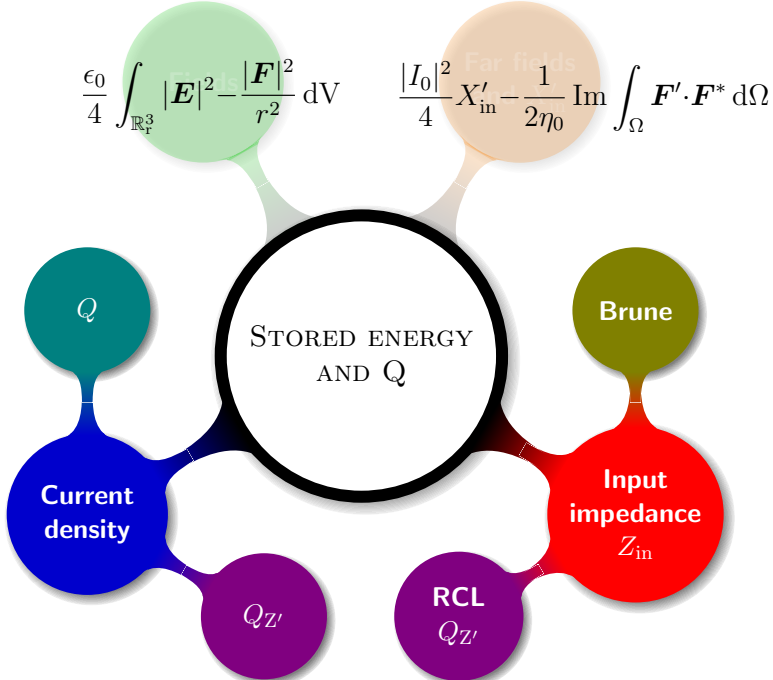
$$W^{(E)} = \frac{C|V|^2}{4} = \frac{|I|^2}{4\omega^2 C}$$

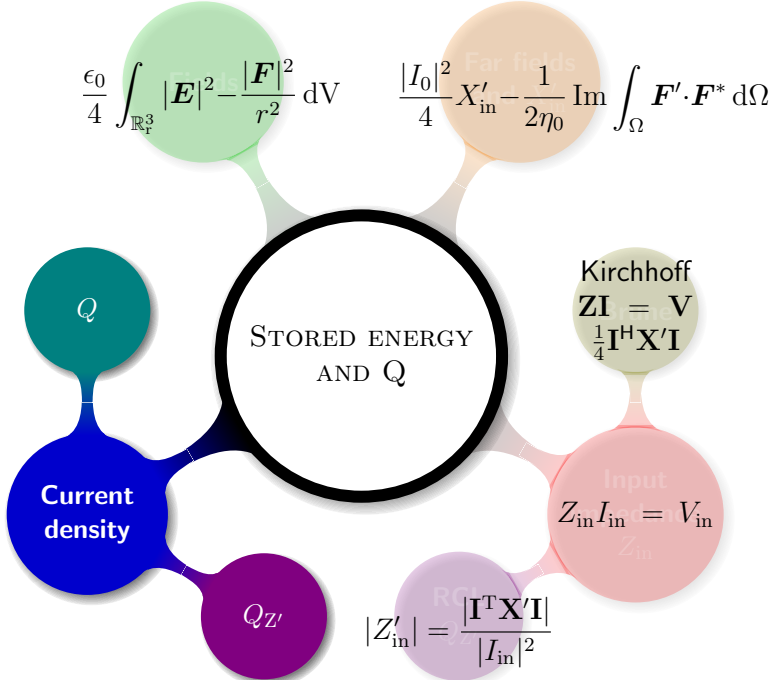
and in inductors

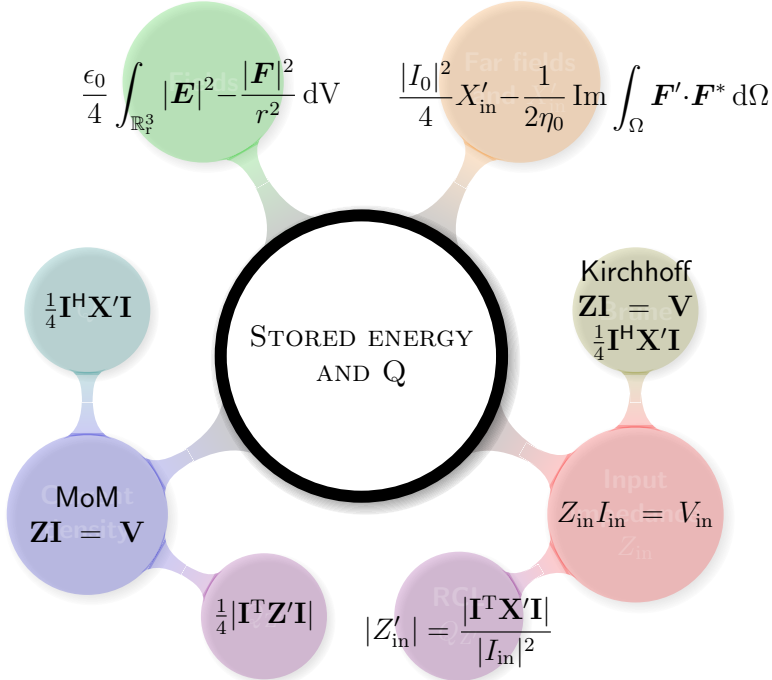
$$W^{(M)} = \frac{L|I|^2}{4}$$

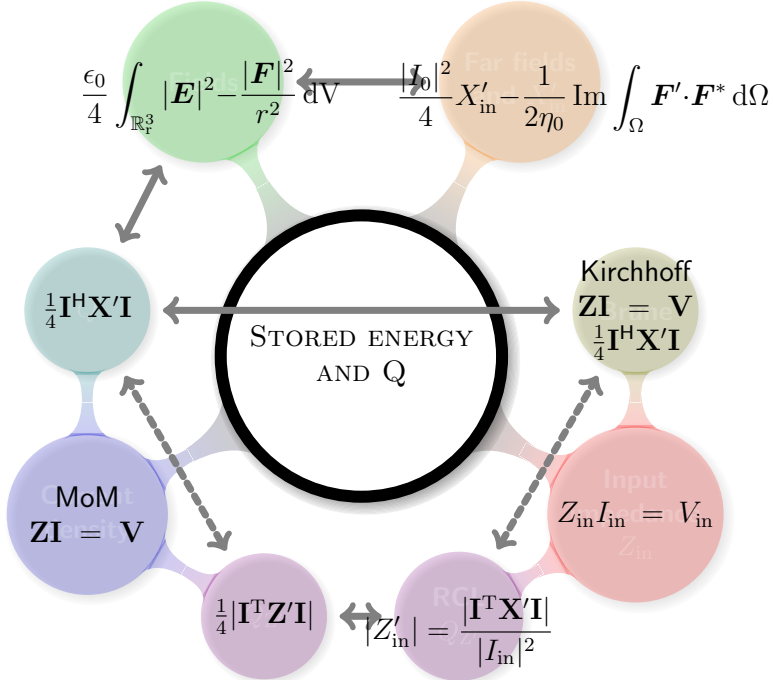


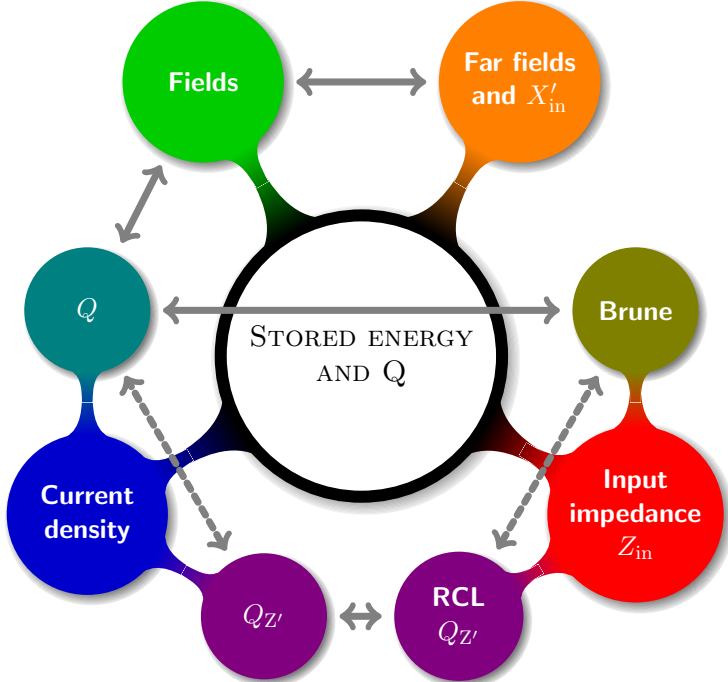












MoM for Q and $Q_{Z'}$ (I)

Use a method of moments (MoM) formulation of the electric field integral equation (EFIE). Impedance matrix $\mathbf{Z} = \mathbf{R} + j\mathbf{X}$

$$\frac{Z_{mn}}{\eta} = j \iint_S \iint_S (k^2 \boldsymbol{\psi}_{m1} \cdot \boldsymbol{\psi}_{n2} - \nabla_1 \cdot \boldsymbol{\psi}_{m1} \nabla_2 \cdot \boldsymbol{\psi}_{n2}) \frac{e^{-jkr_{12}}}{4\pi kr_{12}} dS_1 dS_2$$

where $\boldsymbol{\psi}_{n1} = \boldsymbol{\psi}_n(\mathbf{r}_1)$, $\boldsymbol{\psi}_{n2} = \boldsymbol{\psi}_n(\mathbf{r}_2)$, $n = 1, \dots, N$, and $r_{12} = |\mathbf{r}_1 - \mathbf{r}_2|$.

The current density is $\mathbf{J}(\mathbf{r}) = \sum_{n=1}^N I_n \boldsymbol{\psi}_n(\mathbf{r})$ with the expansion coefficients determined from

$$\mathbf{Z}\mathbf{I} = \mathbf{V} \quad \text{or} \quad \mathbf{I} = \mathbf{Z}^{-1}\mathbf{V} = \mathbf{Y}\mathbf{V}$$

where \mathbf{V} is a column matrix with the excitation coefficients. The input admittance is

$$Y_{\text{in}} = 1/Z_{\text{in}} = \mathbf{V}^T \mathbf{Y} \mathbf{V} / V_{\text{in}}^2$$

where $Z_{\text{in}} = R_{\text{in}} + jX_{\text{in}}$ is the input impedance.

MoM for Q and $Q_{Z'}$ (II)

Differentiate the MoM impedance matrix

$$\begin{aligned} \frac{k}{\eta} \frac{\partial Z_{mn}}{\partial k} &= \int_V \int_V \mathbf{j} \left(k^2 \boldsymbol{\psi}_{m1} \cdot \boldsymbol{\psi}_{n2} + \nabla_1 \cdot \boldsymbol{\psi}_{m1} \nabla_2 \cdot \boldsymbol{\psi}_{n2} \right) \frac{e^{-jkr_{12}}}{4\pi kr_{12}} \\ &+ \left(k^2 \boldsymbol{\psi}_{m1} \cdot \boldsymbol{\psi}_{n2} - \nabla_1 \cdot \boldsymbol{\psi}_{m1} \nabla_2 \cdot \boldsymbol{\psi}_{n2} \right) \frac{e^{-jkr_{12}}}{4\pi} dS_1 dS_2 \end{aligned}$$

Differentiated input admittance (frequency independent \mathbf{V} (MoM))

$$V_{\text{in}}^2 Y'_{\text{in}} = (\mathbf{V}^T \mathbf{Y} \mathbf{V})' = \mathbf{V}^T \mathbf{Y}' \mathbf{V} = -\mathbf{I}^T \mathbf{Z}' \mathbf{I}.$$

The stored energy determined from $\mathbf{X}' = \text{Im } \mathbf{Z}'$

$$W_{\mathbf{X}'}^{(E)} + W_{\mathbf{X}'}^{(M)} = \frac{1}{4} \mathbf{I}^H \mathbf{X}' \mathbf{I}$$

is identical to the stored energy expression (free space) introduced by Vandebosch (IEEE-TAP 2010), see [??](#) and already considered by Harrington (1968,1971,1975).

Q and $Q_{Z'}$ for free-space self-resonant antennas

Assume for simplicity a **self-resonant** antenna (circuit)

$$Q_{Z'} = \frac{\omega |Z'_{\text{in}}|}{2R_{\text{in}}} = \frac{\omega |\mathbf{I}^T \mathbf{Z}' \mathbf{I}|}{2\mathbf{I}^H \mathbf{R} \mathbf{I}}$$

and using MoM with the stored energy by Vandenbosch

$$Q = \frac{2\omega \max\{W_{\mathbf{X}'}^{(E)}, W_{\mathbf{X}'}^{(M)}\}}{P_d} = \frac{\omega \mathbf{I}^H \mathbf{X}' \mathbf{I}}{2\mathbf{I}^H \mathbf{R} \mathbf{I}}$$

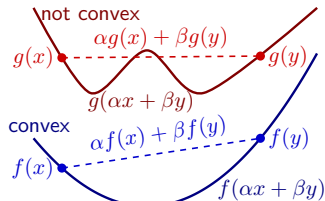
Transpose for $Q_{Z'}$ and Hermitian transpose for Q

- ▶ $\mathbf{I}^H \mathbf{X}' \mathbf{I} \geq 0$ for positive semidefinite matrices \mathbf{X}' .
- ▶ $|\mathbf{I}^T \mathbf{Z}' \mathbf{I}| = 0$ for some \mathbf{I} (rank > 1).

See also Capek+*etal.* IEEE-TAP 2014 for $Q_{Z'}$ using \mathbf{I}^H and \mathbf{I}' and [▶ ??](#) for stored energy and Q-factors in lumped circuits.

Convex optimization

$$\begin{aligned} &\text{minimize} && f_0(\mathbf{x}) \\ &\text{subject to} && f_i(\mathbf{x}) \leq 0, \quad i = 1, \dots, N_1 \\ &&& \mathbf{Ax} = \mathbf{b} \end{aligned}$$



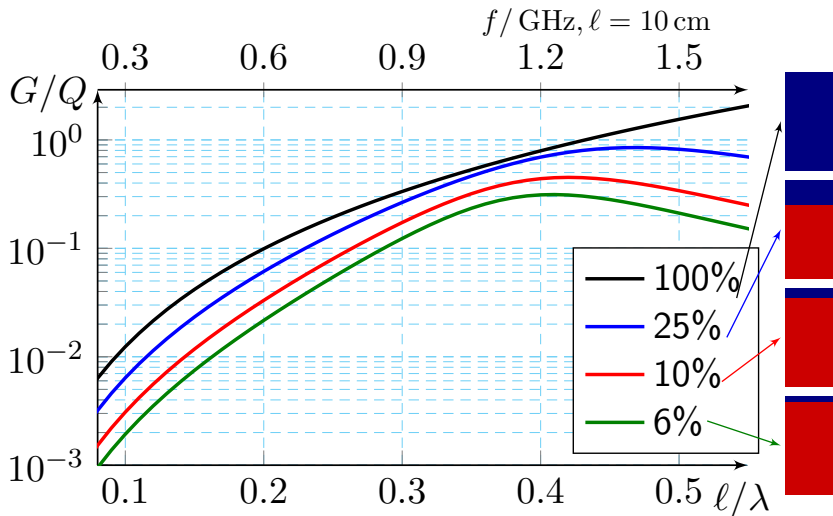
where $f_i(x)$ are convex, i.e., $f_i(\alpha\mathbf{x} + \beta\mathbf{y}) \leq \alpha f_i(\mathbf{x}) + \beta f_i(\mathbf{y})$ for $\alpha, \beta \in \mathbb{R}$, $\alpha + \beta = 1$, $\alpha, \beta \geq 0$.

Solved with efficient standard algorithms. No risk of getting trapped in a local minimum. A problem is 'solved' if formulated as a convex optimization problem.

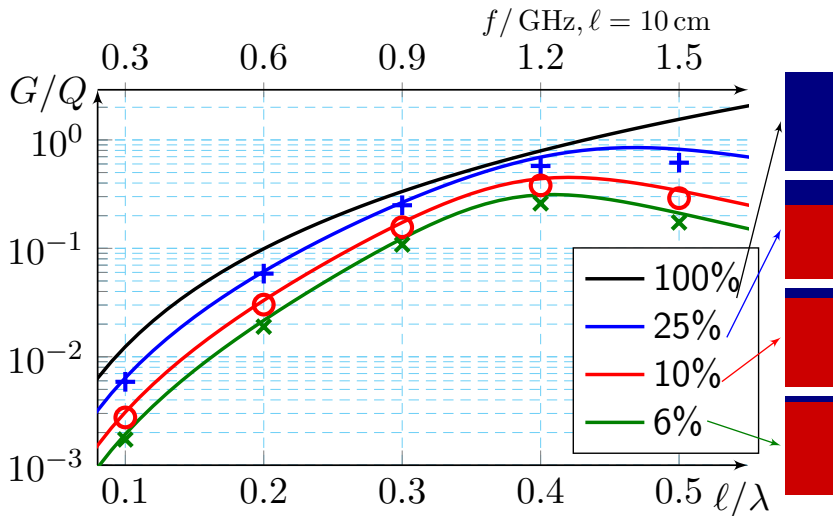
Antenna performance expressed in the current density \mathbf{J} , e.g.,

- ▶ Radiated field $\mathbf{F}(\hat{\mathbf{k}}) = -\hat{\mathbf{k}} \times \hat{\mathbf{k}} \times \int_V \mathbf{J}(\mathbf{r}) e^{j\mathbf{k}\hat{\mathbf{k}}\cdot\mathbf{r}} dV$ is affine.
- ▶ Radiated power, stored electric and magnetic energies, and Ohmic losses are positive semi-definite quadratic forms in \mathbf{J} .

Finite ground plane with {6, 10, 25, 100}% antenna region



Finite ground plane with $\{6, 10, 25, 100\}\%$ antenna region



Why convex optimization?

Solved if formulated as a convex optimization problem.

Consider the G/Q problem

$$\begin{aligned} & \text{minimize} && \max\{\mathbf{I}^H \mathbf{X}_e \mathbf{I}, \mathbf{I}^H \mathbf{X}_m \mathbf{I}\} \\ & \text{subject to} && \mathbf{F}^H \mathbf{I} = 1 \end{aligned}$$

Many (optimization) algorithms can be used to solve this problem.

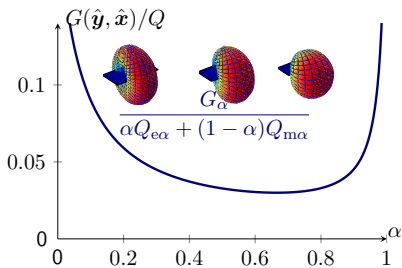
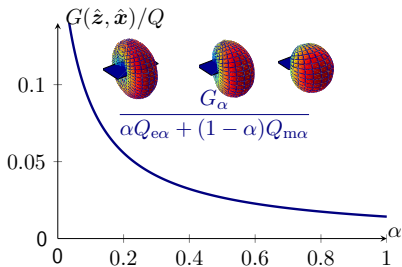
- ▶ Can e.g., use any of the solvers included in CVX.
 - ▶ Very simple to use.
 - ▶ Good for small problems but less efficient for larger problems.
- ▶ A dedicated solver for quadratic programs.
 - ▶ More efficient for larger problems.
- ▶ Random search, eg genetic algorithms (GA), particle swarms,....
 - ▶ Very inefficient. Note you do not (should not) use (GA, ...) to solve e.g., $\mathbf{Ax} = \mathbf{b}$ (min. $\|\mathbf{Ax} - \mathbf{b}\|$).
- ▶ We also use a dual formulation
 - ▶ Computational efficient for large problems.
 - ▶ Illustrates dual problems and posteriori error estimates.

Why convex optimization: illustration

The upper bound on $G/Q|_{\text{ub}}$ is obtained by solving the dual (relaxed) problem, *i.e.*, finding the minimum of the (blue) curve

$$\frac{G}{Q}|_{\text{ub}} \leq \frac{G_\alpha}{\alpha Q_{e\alpha} + (1-\alpha)Q_{m\alpha}}$$

This is efficiently solved by golden section search and parabolic interpolation.

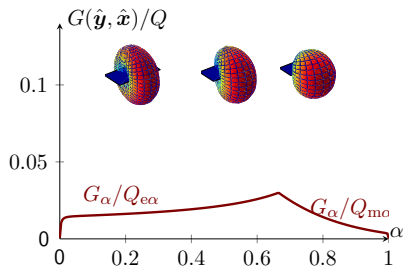
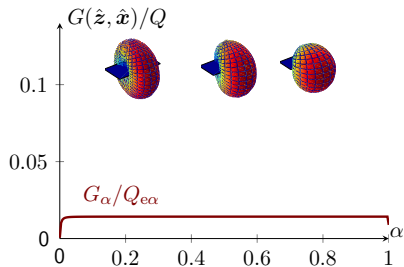


$\ell/\lambda \approx 0.1$ or $ka \approx 0.35$

Why convex optimization: illustration

We also compute the actual G/Q for the current \mathbf{I}_α to get

$$\frac{G_\alpha}{\max\{Q_{e\alpha}, Q_{m\alpha}\}} \leq \left. \frac{G}{Q} \right|_{\text{ub}}$$



$\ell/\lambda \approx 0.1$ or $ka \approx 0.35$

Why convex optimization: illustration

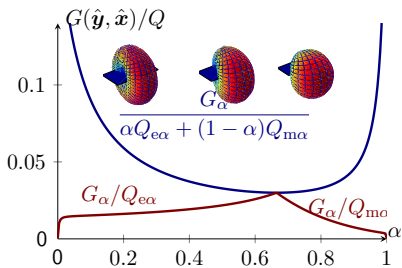
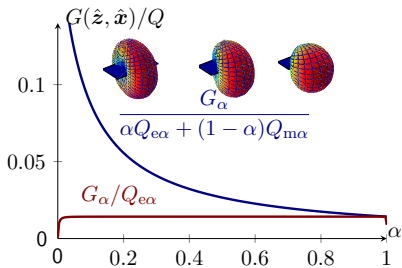
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Why: simple optimization formulations

Super directivity:

$$\text{minimize} \quad \max\{\mathbf{I}^H \mathbf{X}_e \mathbf{I}, \mathbf{I}^H \mathbf{X}_m \mathbf{I}\}$$

$$\text{subject to} \quad \mathbf{F}^H \mathbf{I} = 1$$

$$\mathbf{I}^H \mathbf{R}_r \mathbf{I} \leq 4\pi / (\eta_0 D_0)$$

Prescribed far field:

$$\text{minimize} \quad \max\{\mathbf{I}^H \mathbf{X}_e \mathbf{I}, \mathbf{I}^H \mathbf{X}_m \mathbf{I}\}$$

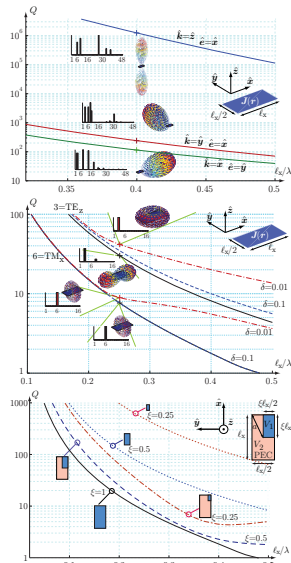
$$\text{subject to} \quad \int_{\Omega} |\mathbf{F}(\hat{\mathbf{k}}) - \mathbf{F}_0(\hat{\mathbf{k}})|^2 d\Omega_{\hat{\mathbf{k}}} < \delta$$

Embedded antennas:

$$\text{minimize} \quad \max\{\mathbf{I}^H \mathbf{X}_e \mathbf{I}, \mathbf{I}^H \mathbf{X}_m \mathbf{I}\}$$

$$\text{subject to} \quad \mathbf{F}^H \mathbf{I} = 1$$

$$\mathbf{I}_2 = \mathbf{C} \mathbf{I}_1$$



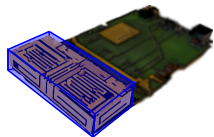
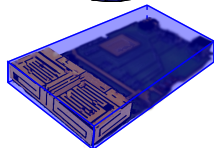
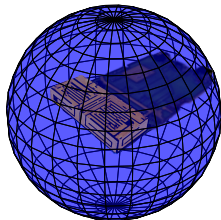
Summary

- ▶ Stored energy expressed in the current density, fields, and input impedance.
- ▶ Can we define stored energy for a Herglotz function?
- ▶ Energy in complex media?
- ▶ Optimization of the antenna structure (global optimization) and the antenna currents (convex optimization).
- ▶ Convex optimization for bounds and optimal currents: G/Q , superdirective, embedded, ...
- ▶ Closed form solutions for small antennas.

Initial results for efficiency, more realistic geometries (phones), SAR, MIMO. Investigating dielectrics, volume currents, magnetic currents, ...

Slides at:

<http://www.eit.lth.se/staff/mats.gustafsson>



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Current optimization and physical bounds

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Stored energy expressed in the current density

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Convex optimization

- ▶ S. Boyd, L. Vandenberghe, *Convex Optimization*, Cambridge University Press, 2004.
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See also: <http://www.eit.lth.se/staff/mats.gustafsson>

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