

Lecture 5: Diversity and more (chapter 5)

Diversity

Major topic in "Multiple antenna systems, EITN10". Briefly treated here

Assume signals of the form
$$s_j(t) = \sum_{n=1}^N s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$$

Check: what information is contained above?

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Major topic in "Multiple antenna systems, EITN10"

Assume signals of the form
$$s_j(t) = \sum_{n=1}^N s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M - 1$$

Check: what information is contained above?

M signal alternatives, **N** dimensional signal space

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Major topic in "Multiple antenna systems, EITN10"

Assume signals of the form $s_j(t) = \sum_{n=1}^N s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$

Assume a channel such that $r(t) = z_j(t) + N(t)$

$$= \sum_{n=1}^N \alpha_n s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$$

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Diversity

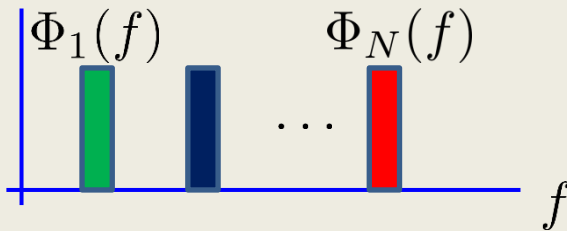
Major topic in "Multiple antenna systems, EITN10"

Assume signals of the form $s_j(t) = \sum_{n=1}^N s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$

Assume a channel such that $r(t) = z_j(t) + N(t)$

Can happen, e.g., if

$$= \sum_{n=1}^N \alpha_n s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$$



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$$r(t) = \sum_{n=1}^N \alpha_n s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$$

In signal space, we can write

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & \mathbf{0} \\ & \alpha_2 & & \\ & & \ddots & \\ \mathbf{0} & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

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$$r(t) = \sum_{n=1}^N \alpha_n s_{j,n} \phi_n(t), \quad j = 0, 1, \dots, M-1$$

In signal space, we can write

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & 0 \\ & \alpha_2 & & \\ & & \ddots & \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

We are now interested in questions of the following form:

Assume $N=M=2$ and two signal sets S_1 and S_2 where

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

What is the difference between these two?

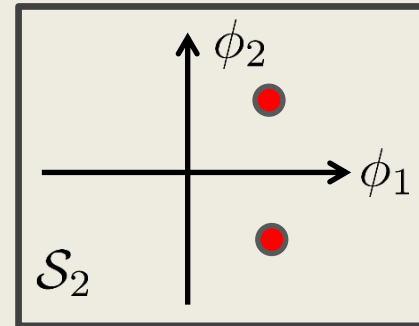
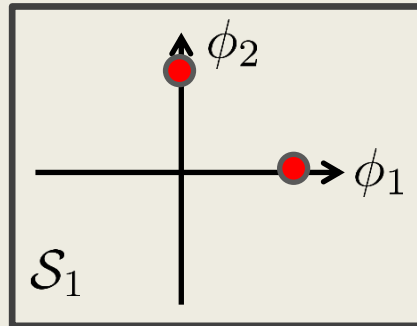
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$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & 0 \\ & \alpha_2 & \\ & & \ddots \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let's start with $\alpha_1 = \alpha_2 = 1$

Signal space
(Received)



Difference ?

Assume $N=M=2$ and two signal sets S_1 and S_2 where

$$S_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad S_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

What is the difference between these two?

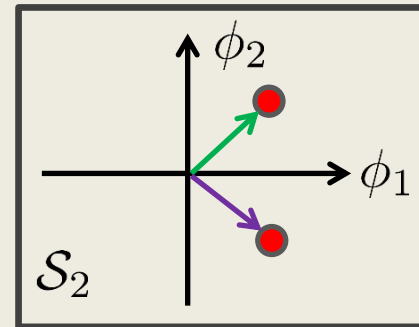
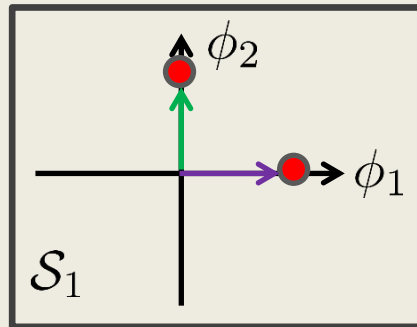
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Diversity

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & 0 \\ & \alpha_2 & \\ & & \ddots \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let's start with $\alpha_1 = \alpha_2 = 1$

Signal space



Difference?
Same E_1/E_2

Assume $N=M=2$ and two signal sets S_1 and S_2 where

$$S_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad S_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

What is the difference between these two?

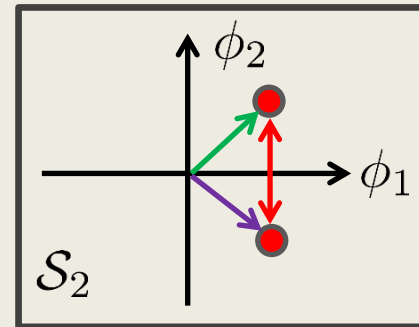
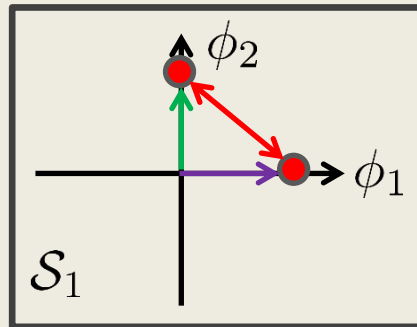
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Diversity

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & 0 \\ & \alpha_2 & \\ & & \ddots \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let's start with $\alpha_1 = \alpha_2 = 1$

Signal space



Difference ?

Same E_1/E_2

Same $D_{0,1}$

Assume $N=M=2$ and two signal sets \mathcal{S}_1 and \mathcal{S}_2 where

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

What is the difference between these two?

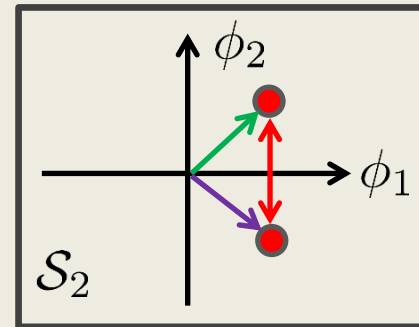
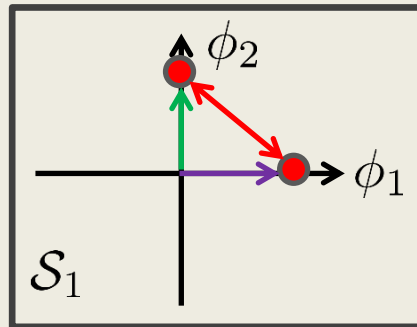
Lecture 5: Diversity and more (chapter 5)

Diversity

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & 0 \\ & \alpha_2 & \\ & & \ddots \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let's start with $\alpha_1 = \alpha_2 = 1$

Signal space



Difference ?

Same E_1/E_2

Same $D_{0,1}$

No difference

Assume $N=M=2$ and two signal sets \mathcal{S}_1 and \mathcal{S}_2 where

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

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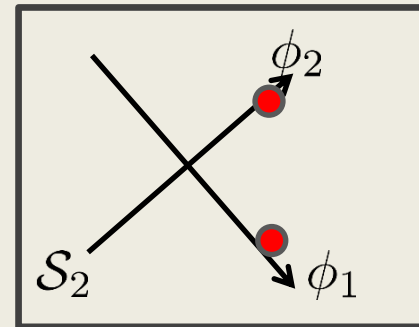
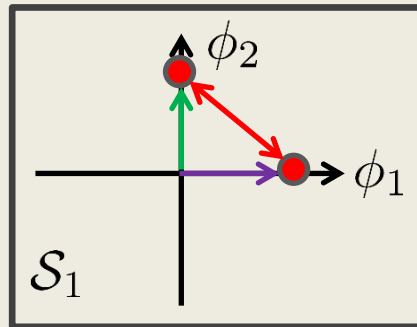
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Let's start with $\alpha_1 = \alpha_2 = 1$

Signal space



Alternative
explanation

Assume $N=M=2$ and two signal sets S_1 and S_2 where

$$S_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad S_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

What is the difference between these two?

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$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & 0 \\ & \alpha_2 & & \\ & & \ddots & \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

We now move to **arbitrary** values α_1, α_2

$$P_s = Q \left(\sqrt{\frac{D^2}{2N_0}} \right) = Q \left(\sqrt{\frac{\|\mathbf{z}_0 - \mathbf{z}_1\|^2}{2N_0}} \right)$$

Assume $N=M=2$ and two signal sets \mathcal{S}_1 and \mathcal{S}_2 where

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

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$$\mathbf{z}_0 = \begin{bmatrix} \alpha_1 \sqrt{2} \\ 0 \end{bmatrix} \quad \mathbf{z}_1 = \begin{bmatrix} 0 \\ \alpha_2 \sqrt{2} \end{bmatrix}$$

Assume $N=M=2$ and two signal sets S_1 and S_2 where

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$$= Q \left(\sqrt{\frac{2|\alpha_2|^2}{N_0}} \right) \quad \text{For } S_2$$

Assume $N=M=2$ and two signal sets S_1 and S_2 where

$$S_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad S_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

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Let us now compare to a system with $N=1$

$$\mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

$$P_s = Q \left(\sqrt{\frac{D^2}{2N_0}} \right) = Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \quad Q \left(\sqrt{\frac{2|\alpha_2|^2}{N_0}} \right)$$

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$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & \mathbf{0} \\ & \alpha_2 & & \\ & & \ddots & \\ \mathbf{0} & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let us now compare to a system with $N=1$

$$\mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\} \quad P_s = Q \left(\sqrt{\frac{D^2}{2N_0}} \right) = Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right)$$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

$$P_s = Q \left(\sqrt{\frac{D^2}{2N_0}} \right) = Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) = Q \left(\sqrt{\frac{2|\alpha_2|^2}{N_0}} \right)$$

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Let us now compare to a system with $N=1$

$$\mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\} \quad P_s = Q \left(\sqrt{\frac{D^2}{2N_0}} \right) = Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right)$$

3dB better for $\alpha_1 = \alpha_2 = 1$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_2 = \left\{ \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ -1 \end{bmatrix} \right\}$$

$$P_s = Q \left(\sqrt{\frac{D^2}{2N_0}} \right) = Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) = Q \left(\sqrt{\frac{2|\alpha_2|^2}{N_0}} \right)$$

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$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & 0 \\ & \alpha_2 & & \\ & & \ddots & \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let us now move to **random** channels (and ignore S_2)

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_3 = \{-\sqrt{2}, \sqrt{2}\}$$

$$P_s = Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right)$$

$$P_s = Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right)$$

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$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & 0 \\ & \alpha_2 & & \\ & & \ddots & \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let us now move to **random** channels

Assume that

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\}$$

$$\mathcal{S}_3 = \{-\sqrt{2}, \sqrt{2}\}$$

These are
random

$$P_s = Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right)$$

$$P_s = Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right)$$

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$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} \alpha_1 & & & 0 \\ & \alpha_2 & & \\ & & \ddots & \\ 0 & & & \alpha_N \end{bmatrix} \begin{bmatrix} s_{j,1} \\ \vdots \\ s_{N,1} \end{bmatrix} + \begin{bmatrix} w_1 \\ \vdots \\ w_N \end{bmatrix}$$

Let us now move to **random** channels

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2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\}$$

$$\mathcal{S}_3 = \{-\sqrt{2}, \sqrt{2}\}$$

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\}$$

$$P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

Take average

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Diversity

Assume "good" = infinity
Assume "bad" = 0

Let us now move to **random** channels

Assume that

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} \quad P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

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Diversity

Assume "good" = infinity

Assume "bad" = 0

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} =$$

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_3 = \{-\sqrt{2}, \sqrt{2}\}$$

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} \quad P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

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Diversity

Assume "good" = infinity

Assume "bad" = 0

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} = \Pr(\alpha_1 = \text{bad}, \alpha_2 = \text{bad}) \frac{1}{2} + \Pr(\alpha_1 \text{ or } \alpha_2 = \text{good}) \times 0$$

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} \quad P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

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Assume "good" = infinity

Assume "bad" = 0

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} = \Pr(\alpha_1 = \text{bad}, \alpha_2 = \text{bad}) \frac{1}{2}$$

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} \quad P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

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Assume "good" = infinity

Assume "bad" = 0

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} = \Pr(\alpha_1 = \text{bad}, \alpha_2 = \text{bad}) \frac{1}{2} = \frac{1}{2} P_B^2$$

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\} \quad \mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$

$$P_s = E \left\{ Q \left(\sqrt{\frac{|\alpha_1|^2 + |\alpha_2|^2}{N_0}} \right) \right\} \quad P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

Assume "good" = infinity
Assume "bad" = 0

$$P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\} = \Pr(\alpha_1 = \text{bad}) \frac{1}{2} + \Pr(\alpha_1 = \text{good}) \times 0$$

1. α_1 and α_2 are independent
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$$P_s = \frac{1}{2} P_B^2$$

$$P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

Assume "good" = infinity
Assume "bad" = 0

$$P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\} = \Pr(\alpha_1 = \text{bad}) \frac{1}{2}$$

1. α_1 and α_2 are independent
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$$P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

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$$P_s = \frac{1}{2} P_B^2$$

$$P_s = \frac{1}{2} P_B$$

Lecture 5: Diversity and more (chapter 5)

Diversity

Assume "good" = infinity
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$$P_s = \left\{ Q \left(\sqrt{\frac{4|\alpha_1|^2}{N_0}} \right) \right\} = \Pr(\alpha_1 = \text{bad}) \frac{1}{2} = \frac{1}{2} P_B$$

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$

Concept of "diversity"

By sending over many independent fading channels,
BER is heavily reduced

$$P_s = \frac{1}{2} P_B^2$$

$$P_s = \frac{1}{2} P_B$$

Result for S_2 ?

Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
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3. good = α_G , bad = α_B
4. Binary antipodal signaling

Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
Assume "bad" = 0~~

1. α_1 and α_2 are independent
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3. good = α_G , bad = α_B
4. Binary antipodal signaling $\mathcal{E}_b = E \left\{ \frac{E_{b,\text{sent}}}{N} \sum_{n=1}^N |\alpha_n|^2 \right\}$

$$\mathbf{s}_0 = -\mathbf{s}_1 = \begin{bmatrix} \sqrt{\frac{E_{b,\text{sent}}}{N}} \\ \vdots \\ \sqrt{\frac{E_{b,\text{sent}}}{N}} \end{bmatrix} \quad \mathbf{z}_0 = -\mathbf{z}_1 = \begin{bmatrix} \alpha_1 \sqrt{\frac{E_{b,\text{sent}}}{N}} \\ \vdots \\ \alpha_2 \sqrt{\frac{E_{b,\text{sent}}}{N}} \end{bmatrix}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
Assume "bad" = 0~~

1. α_1 and α_2 are independent
2. $\text{Prob}(\alpha_k = \text{"good"}) = P_G$, $\text{Prob}(\alpha_k = \text{"bad"}) = P_B = 1 - P_G$
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Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
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$$= E_{b,\text{sent}} (P_G \alpha_G^2 + (1 - P_G) \alpha_B^2)$$

Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
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4. Binary **antipodal** signaling
$$\begin{aligned}\mathcal{E}_b &= E \left\{ \frac{E_{b,\text{sent}}}{N} \sum_{n=1}^N |\alpha_n|^2 \right\} = E \{ E_{b,\text{sent}} |\alpha_n|^2 \} \\ &= E_{b,\text{sent}} (P_G \alpha_G^2 + (1 - P_G) \alpha_B^2)\end{aligned}$$

$$P_s = E \left\{ Q \left(\sqrt{2 \frac{\mathcal{E}_b}{N_0}} \right) \right\}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
Assume "bad" = 0~~

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$$\mathcal{E}_b = E \left\{ \frac{E_{b,\text{sent}}}{N} \sum_{n=1}^N |\alpha_n|^2 \right\} = E \{ E_{b,\text{sent}} |\alpha_n|^2 \}$$
$$= E_{b,\text{sent}} (P_G \alpha_G^2 + (1 - P_G) \alpha_B^2)$$

$$P_s = E \left\{ Q \left(\sqrt{2 \frac{\mathcal{E}_b}{N_0}} \right) \right\} = E \left\{ Q \left(\sqrt{2 \frac{E_{b,\text{sent}}}{N N_0} \sum_{n=1}^N |\alpha_n|^2} \right) \right\}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

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 $= E_{b,\text{sent}} (P_G \alpha_G^2 + (1 - P_G) \alpha_B^2)$

$$P_s = E \left\{ Q \left(\sqrt{2 \frac{\mathcal{E}_b}{N_0}} \right) \right\} = E \left\{ Q \left(\sqrt{2 \frac{E_{b,\text{sent}}}{N N_0} \sum_{n=1}^N |\alpha_n|^2} \right) \right\}$$
$$= E \left\{ Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1 - P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{1}{N} \sum_{n=1}^N |\alpha_n|^2} \right) \right\}$$

Lecture 5: Diversity and more (chapter 5)

Diversity

~~Assume "good" = infinity
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4. Binary **antipodal** signaling

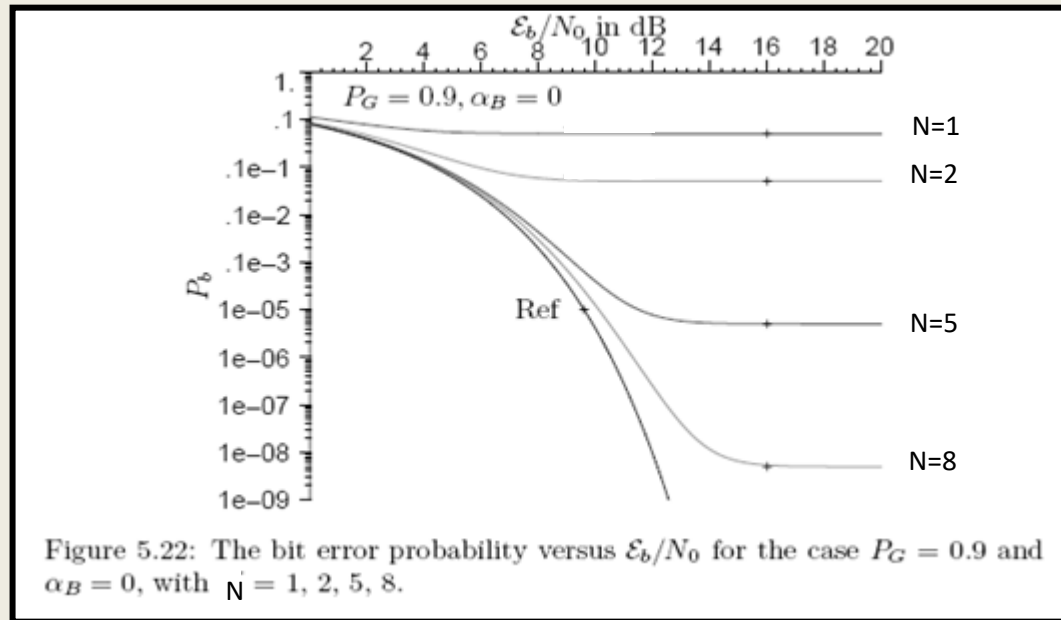
$$\mathcal{E}_b = E \left\{ \frac{E_{b,\text{sent}}}{N} \sum_{n=1}^N |\alpha_n|^2 \right\} = E \{ E_{b,\text{sent}} |\alpha_n|^2 \}$$

$$= E_{b,\text{sent}} (P_G \alpha_G^2 + (1 - P_G) \alpha_B^2)$$

$$P_s = E \left\{ Q \left(\sqrt{2 \frac{\mathcal{E}_b}{N_0}} \right) \right\} = E \left\{ Q \left(\sqrt{2 \frac{E_{b,\text{sent}}}{N N_0} \sum_{n=1}^N |\alpha_n|^2} \right) \right\}$$

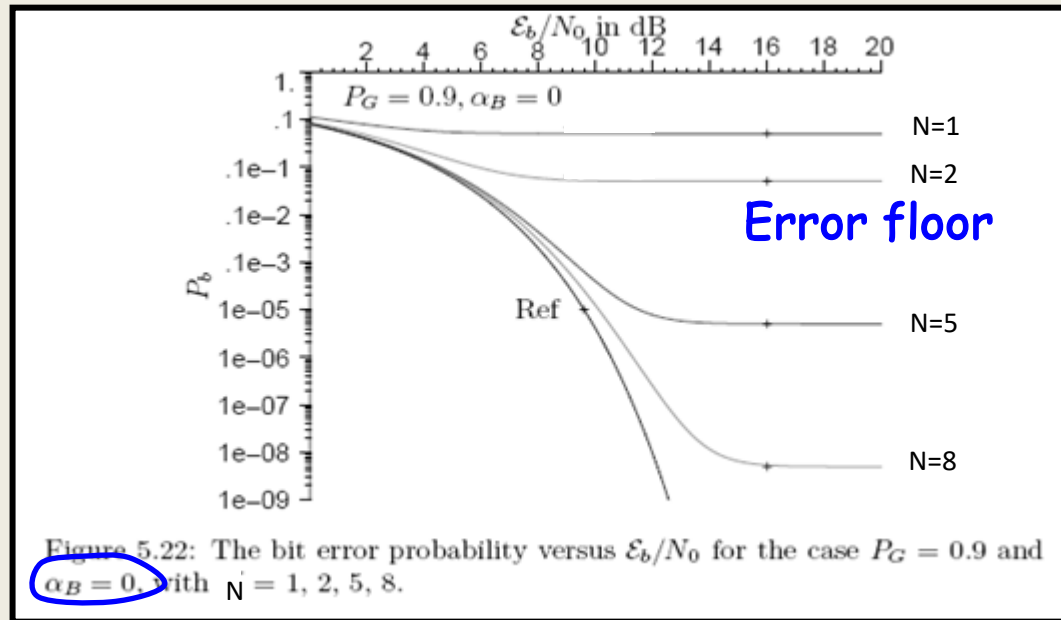
$$= \sum_{n=0}^N \binom{N}{n} P_G^n (1 - P_G)^{N-n} Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1 - P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{n + (N - n) \alpha_B^2 / \alpha_G^2}{N}} \right)$$

Lecture 5: Diversity and more (chapter 5)



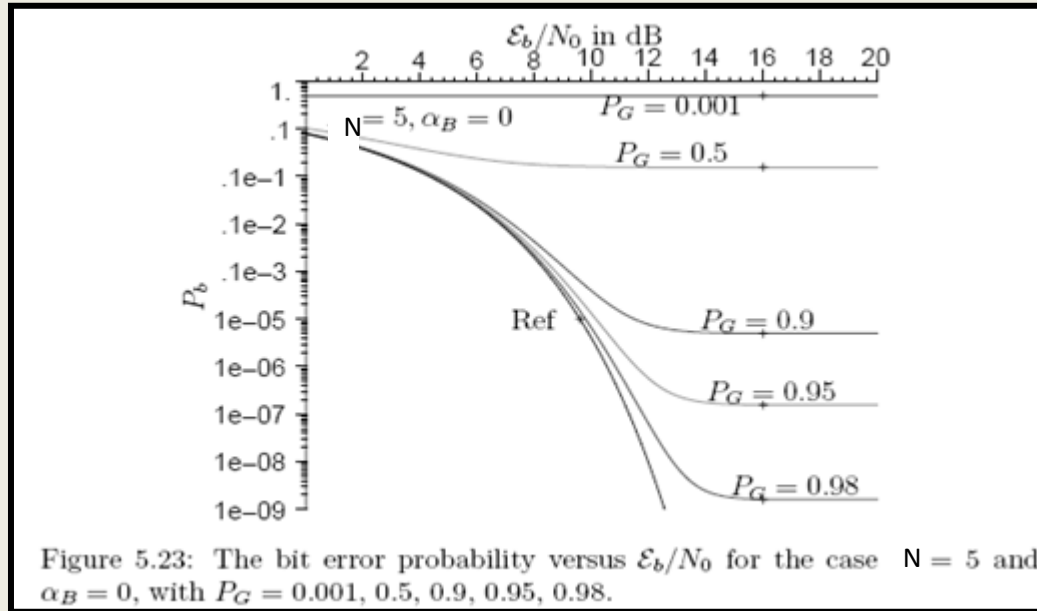
$$= \sum_{n=0}^N \binom{N}{n} P_G^n (1-P_G)^{N-n} Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1-P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{n + (N-n) \alpha_B^2 / \alpha_G^2}{N}} \right)$$

Lecture 5: Diversity and more (chapter 5)



$$= \sum_{n=0}^N \binom{N}{n} P_G^n (1-P_G)^{N-n} Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1-P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{n + (N-n) \alpha_B^2 / \alpha_G^2}{N}} \right)$$

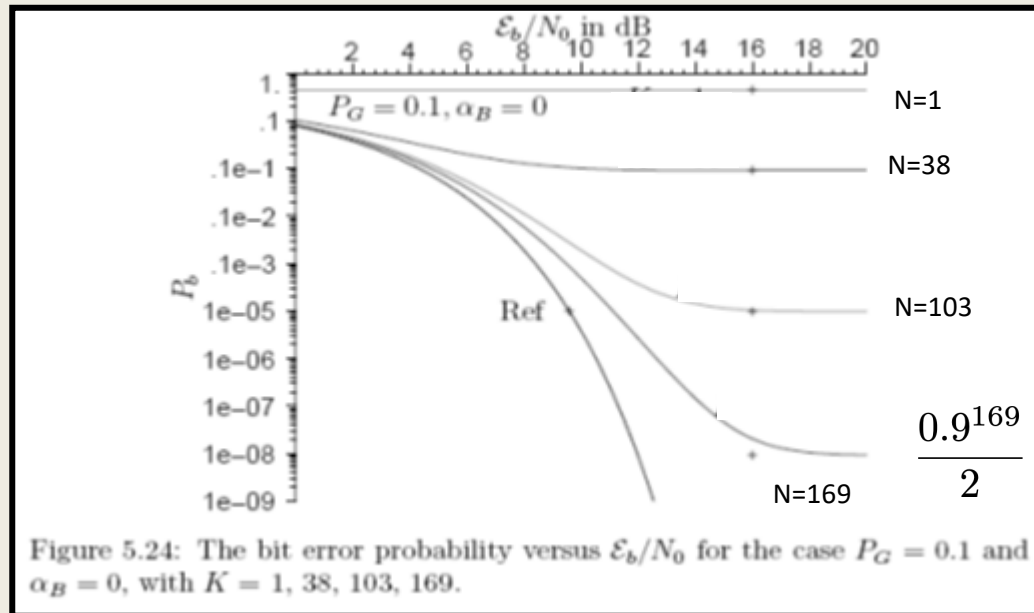
Lecture 5: Diversity and more (chapter 5)



$$\frac{0.02^5}{2}$$

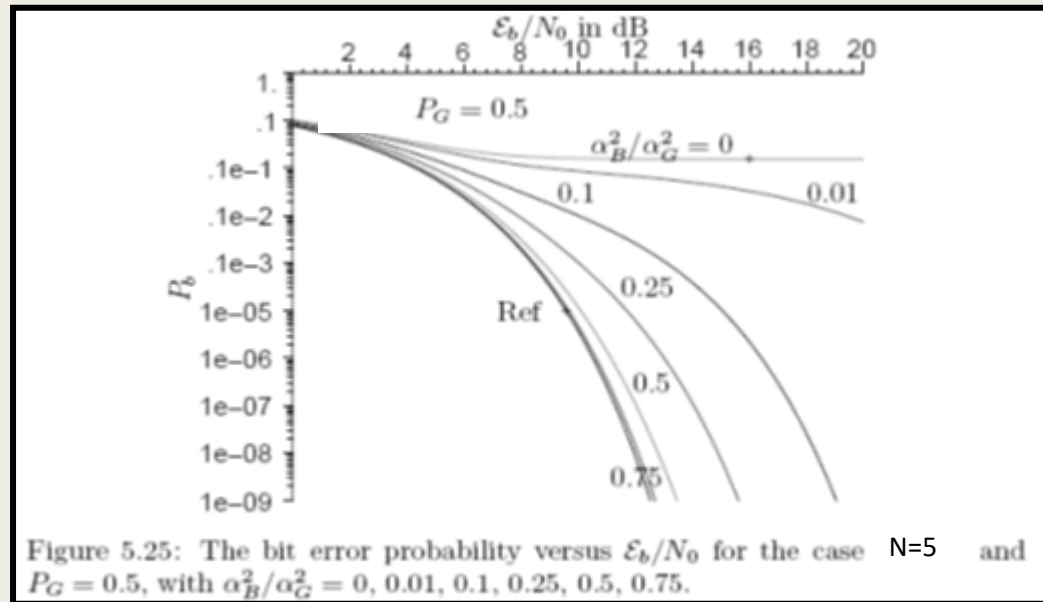
$$= \sum_{n=0}^N \binom{N}{n} P_G^n (1-P_G)^{N-n} Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1-P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{n + (N-n) \alpha_B^2 / \alpha_G^2}{N}} \right)$$

Lecture 5: Diversity and more (chapter 5)



$$= \sum_{n=0}^N \binom{N}{n} P_G^n (1-P_G)^{N-n} Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1-P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{n + (N-n) \alpha_B^2 / \alpha_G^2}{N}} \right)$$

Lecture 5: Diversity and more (chapter 5)



No error floors if $\alpha_G > 0$

$$= \sum_{n=0}^N \binom{N}{n} P_G^n (1-P_G)^{N-n} Q \left(\sqrt{\frac{2}{P_G \alpha_G^2 + (1-P_G) \alpha_B^2} \frac{\mathcal{E}_b}{N_0} \frac{n + (N-n) \alpha_B^2 / \alpha_G^2}{N}} \right)$$

Lecture 5: Diversity and more (chapter 5)

Price to pay for diversity?

Lecture 5: Diversity and more (chapter 5)

Price to pay for diversity?

Cost of acquiring additional signal space basis function

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\}$$



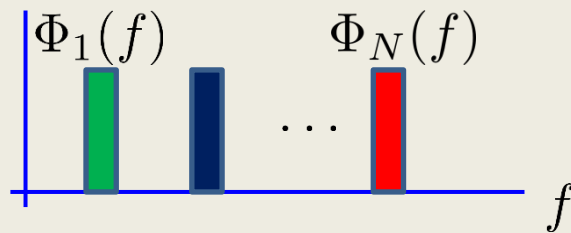
Two basis functions needed
diversity order = 2

$$\mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$



One basis function needed
diversity order = 1

Assume diversity through frequency



Consequences?

Lecture 5: Diversity and more (chapter 5)

Price to pay for diversity?

Cost of acquiring additional signal space basis function

$$\mathcal{S}_1 = \left\{ \begin{bmatrix} \sqrt{2} \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ \sqrt{2} \end{bmatrix} \right\}$$



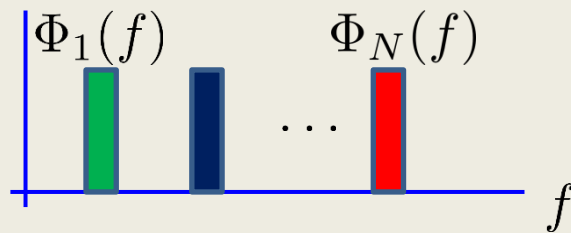
Two basis functions needed
diversity order = 2

$$\mathcal{S}_3 = \left\{ -\sqrt{2}, \sqrt{2} \right\}$$



One basis function needed
diversity order = 1

Assume diversity through frequency



Consequences? Less bandwidth efficiency

Lecture 5: Diversity and more (chapter 5)

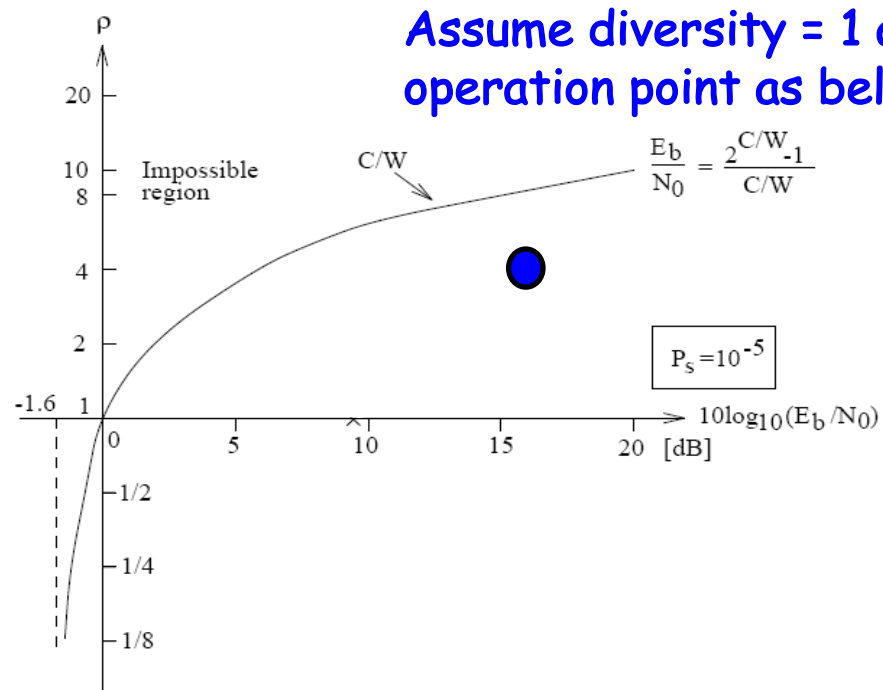


Figure 5.17: Sketch of the ρ versus \mathcal{E}_b/N_0 performance for some of the schemes studied in this section. Reliable communication is not possible above the capacity curve (see (5.64)).

Lecture 5: Diversity and more (chapter 5)

What happens if we now use diversity = 2

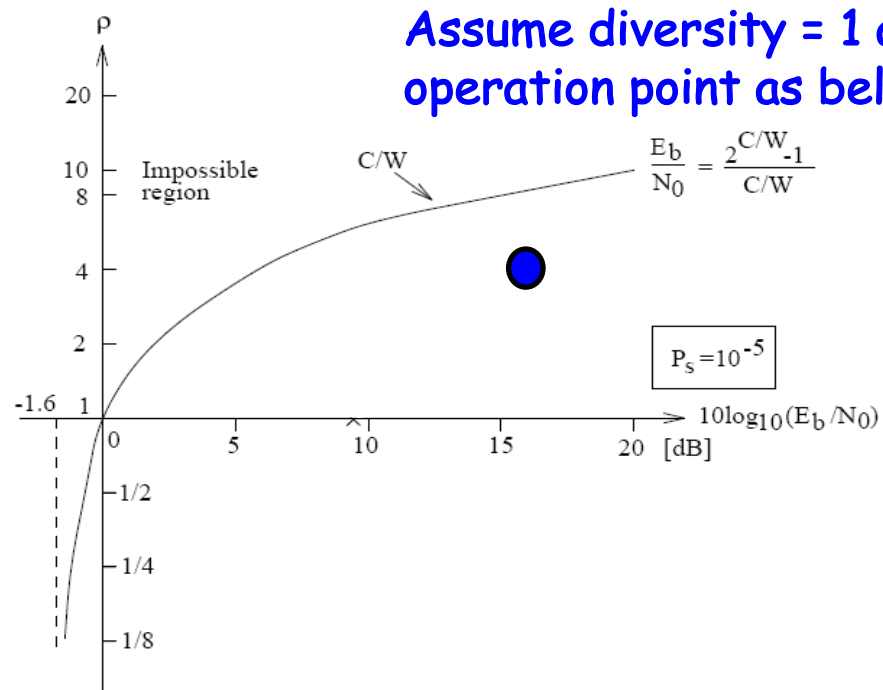


Figure 5.17: Sketch of the ρ versus \mathcal{E}_b/N_0 performance for some of the schemes studied in this section. Reliable communication is not possible above the capacity curve (see (5.64)).

Lecture 5: Diversity and more (chapter 5)

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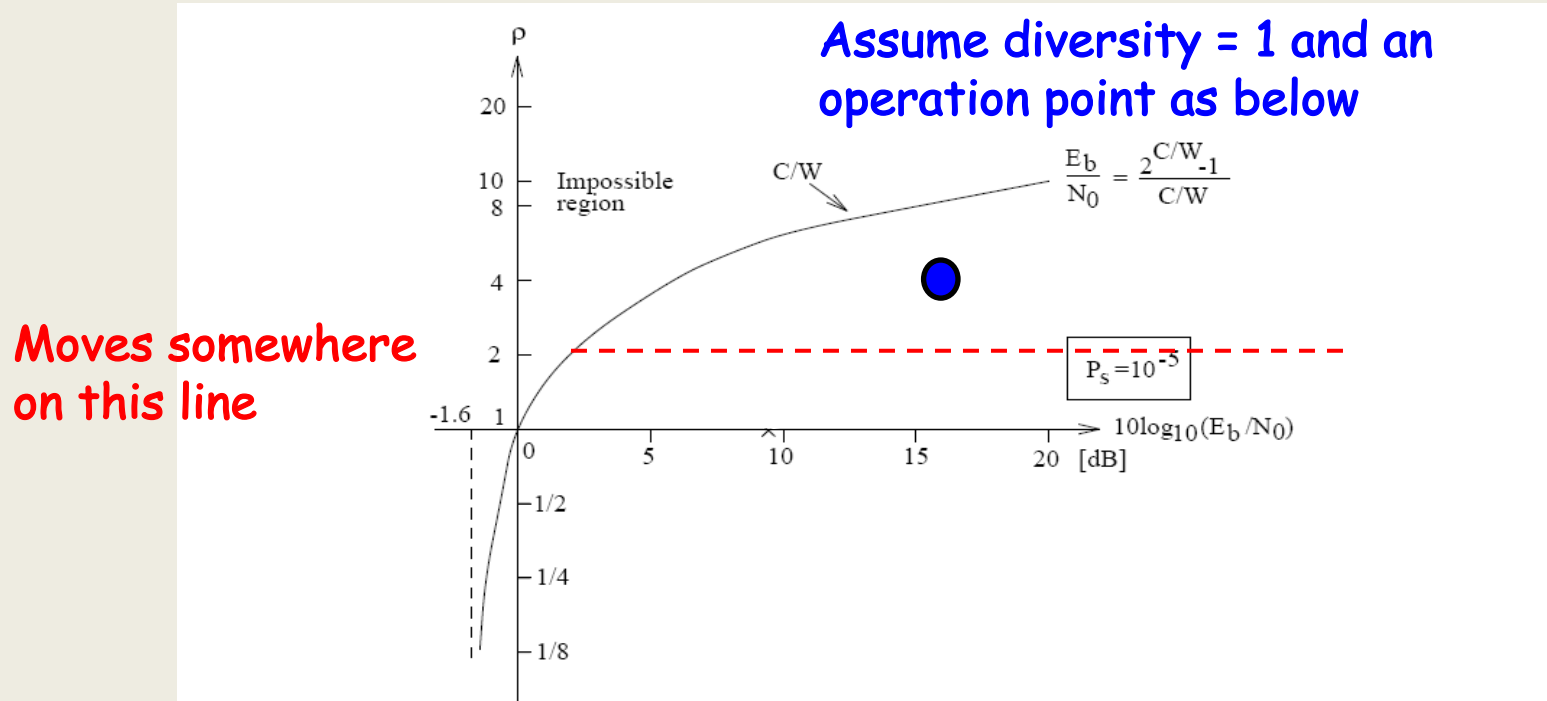


Figure 5.17: Sketch of the ρ versus E_b/N_0 performance for some of the schemes studied in this section. Reliable communication is not possible above the capacity curve (see (5.64)).

Lecture 5: Diversity and more (chapter 5)

What happens if we now use diversity = 2

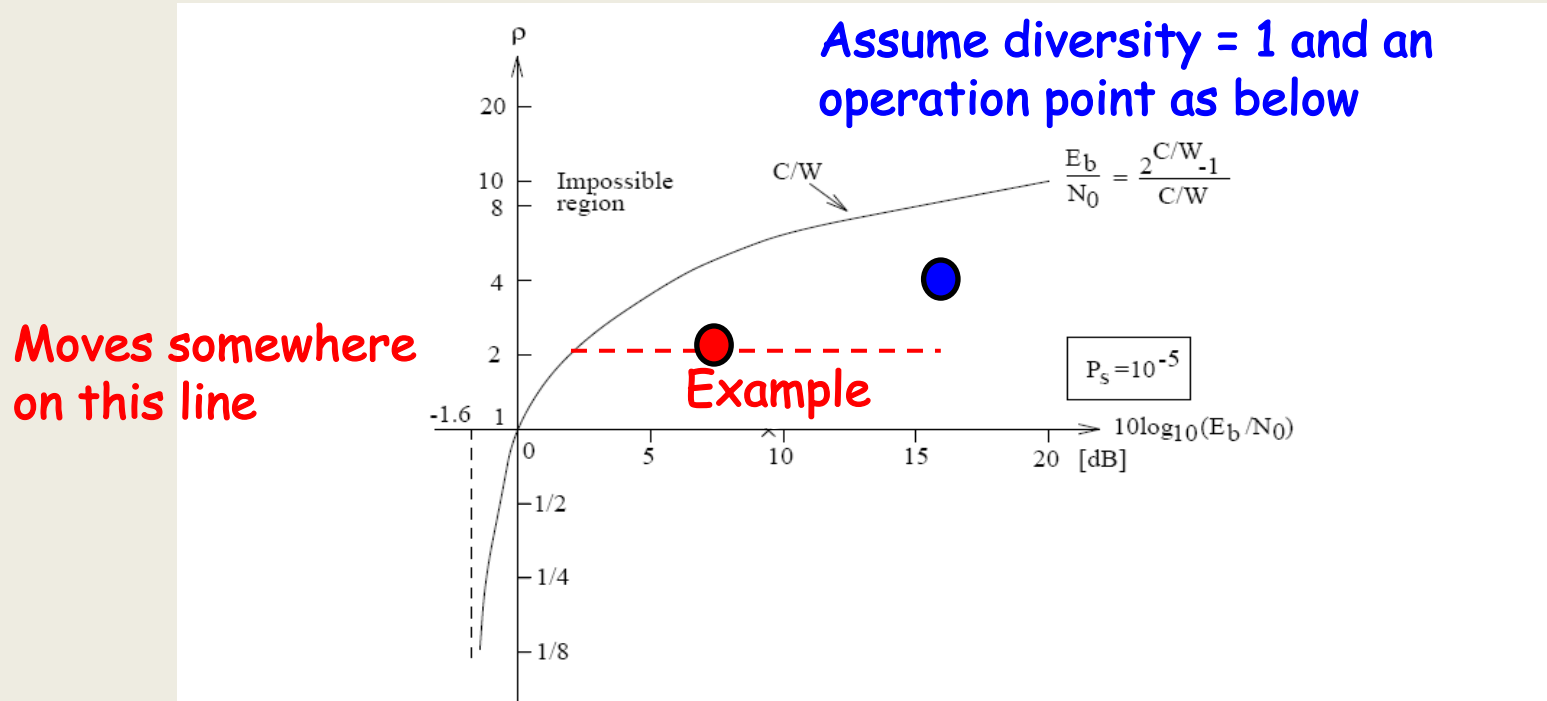


Figure 5.17: Sketch of the ρ versus E_b/N_0 performance for some of the schemes studied in this section. Reliable communication is not possible above the capacity curve (see (5.64)).

Lecture 5: Diversity and more (chapter 5)

Generating Basis function in time

Assume that we have a bandwidth W Hz available

Assume that we have a time duration of T seconds available

How many orthonormal basis functions can be obtained, and what do they look like?

Lecture 5: Diversity and more (chapter 5)

Generating Basis function in time

Assume that we have a bandwidth W Hz available

Assume that we have a time duration of T seconds available

How many orthonormal basis functions can be obtained, and what do they look like?

Classical result: Sampling Theorem, Shannon '48

We can obtain $2WT$ orthonormal functions. (Figure D.21, $\beta=0$)

Lecture 5: Diversity and more (chapter 5)

Generating Basis function in time

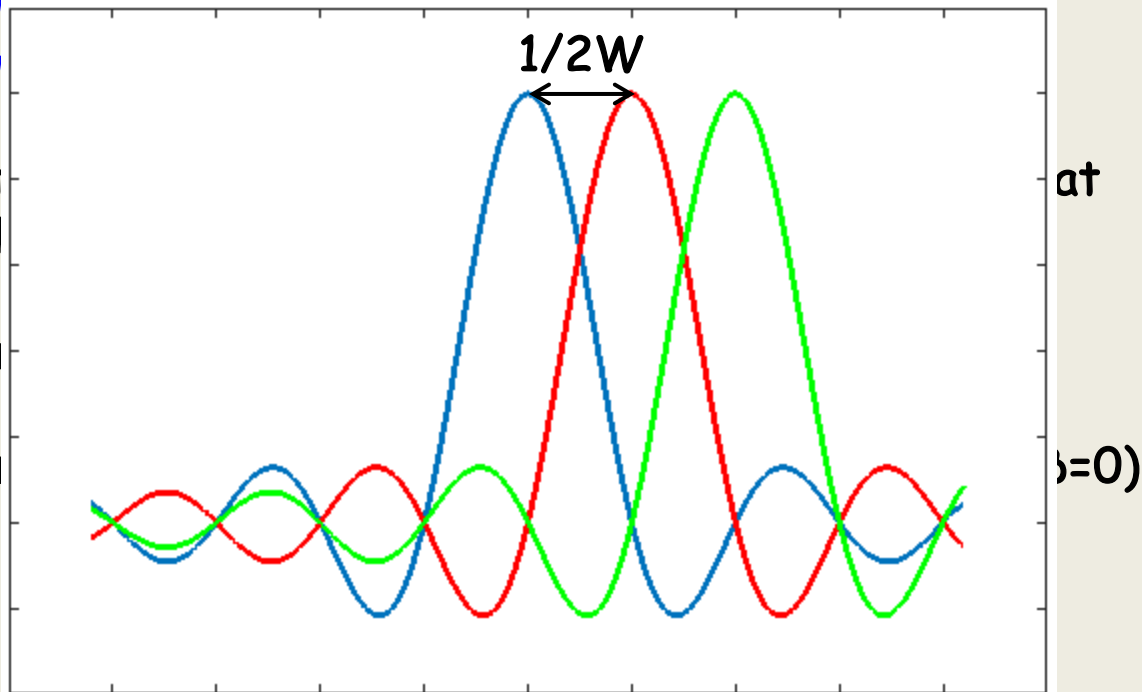
Assume that we have a bandwidth W Hz available

Assume that

How many orthogonal
do the look like

Classical result

We can obtain



Sinc function ($=\sin(x)/x$)

Lecture 5: Diversity and more (chapter 5)

Generating Basis function in time

Assume that we have a bandwidth W Hz available

Assume that we have a time duration of T seconds available

How many orthonormal basis functions can be obtained, and what do they look like?

Classical result: Sampling Theorem, Shannon '48

We can obtain $2WT$ orthonormal functions.

Thus, with fixed bandwidth, we can reduce R_b using diversity.

Same effect: Less bandwidth efficiency

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t - \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

We have:

1. FSK signals
2. Unknown phase
3. Thus, we don't know the signal set at the receiver
4. We want to decode nonetheless

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

We have:

1. FSK signals
2. Unknown phase
3. Thus, we don't know the signal set at the receiver
4. We want to decode nonetheless
5. From FSK-theory: Signals orthogonal if $w_j = 2\pi n_j / T_s$ n_j integer

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

First attempt: Use $\phi_n(t) = \cos(\omega_j t)$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown


$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

First attempt: Use $\phi_n(t) = \cos(\omega_j t)$

If $\nu_j = 0, 0 \leq j \leq M-1$

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ \sqrt{2E/T_s} \\ 0 \\ \vdots \\ 0 \end{bmatrix} + \mathbf{w}$$

At position of transmitted symbol



Lecture 5: Diversity and more (chapter 5)

Non-coherent **FSK**

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

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However

$$\cos(\omega_j t + \nu_j) = \cos(\omega_j t) \cos(\nu_j) - \sin(\omega_j t) \sin(\nu_j)$$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

First attempt: Use $\phi_n(t) = \cos(\omega_j t)$

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ \sqrt{2E/T_s} \\ 0 \\ \vdots \\ 0 \end{bmatrix} + \mathbf{w}$$

However If $\nu_j = \pi/2$
 $\cos(\omega_j t + \nu_j) = \cos(\omega_j t) \cos(\nu_j) - \sin(\omega_j t) \sin(\nu_j)$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

First attempt: Use $\phi_n(t) = \cos(\omega_j t)$

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \\ \sqrt{2E/T_s} \\ 0 \\ \vdots \\ 0 \end{bmatrix} + \mathbf{w}$$

However If $\nu_j = \pi/2$

$$\begin{aligned} \cos(\omega_j t + \nu_j) &= \cos(\omega_j t) \cos(\nu_j) - \sin(\omega_j t) \sin(\nu_j) \\ &= -\sin(\omega_j t) \end{aligned}$$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

Assume unknown

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

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However If $\nu_j = \pi/2$

$$\begin{aligned} \cos(\omega_j t + \nu_j) &= \cos(\omega_j t) \cos(\nu_j) - \sin(\omega_j t) \sin(\nu_j) \\ &= -\sin(\omega_j t) \end{aligned}$$

$$\mathbf{r} = \begin{bmatrix} r_1 \\ \vdots \\ r_N \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix} + \mathbf{w}$$

Signal is lost!

$$\int_0^{T_s} \cos(\omega_j t) \sin(\omega_j t) dt = 0$$

Lecture 5: Diversity and more (chapter 5)

Non-coherent FSK

$$r(t) = z_j(t) + N(t) = \sqrt{2E/T_s} \cos(\omega_j t + \nu_j) + N(t), \quad 0 \leq t \leq T_s$$

Second attempt: Use $\phi_n(t) = \cos(\omega_j t)$ $\tilde{\phi}_n(t) = \sin(\omega_j t)$

Since $\sin(x)$ and $\cos(x)$ are orthogonal
we can expand the signal space

$$\int_0^{T_s} \cos(\omega_j t) \sin(\omega_j t) dt = 0$$

Lecture 5: Diversity and more (chapter 5)

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$$\mathbf{r} = \begin{bmatrix} \vdots \\ r_j \\ \tilde{r}_j \\ \vdots \end{bmatrix} = \begin{bmatrix} \vdots \\ \sqrt{2E/T_s} \cos(\nu_j) \\ -\sqrt{2E/T_s} \sin(\nu_j) \\ \vdots \end{bmatrix} + \mathbf{w}$$

Lecture 5: Diversity and more (chapter 5)

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Envelope detector

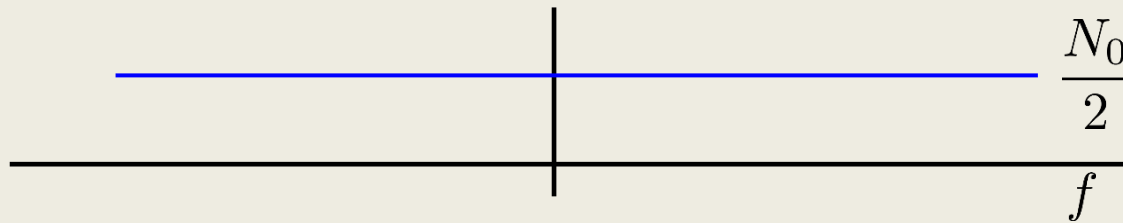
$$\hat{m} = \arg \max_j |r_j|^2 + |\tilde{r}_j|^2$$

See book for error rate

Lecture 5: Diversity and more (chapter 5)

Non-white noise

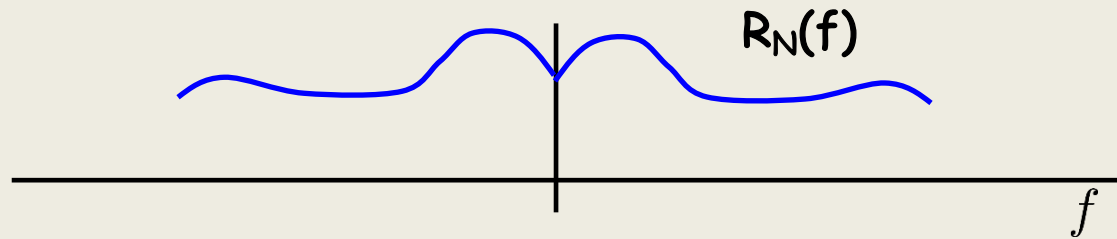
ML and MAP and signal space derived under condition of flat noise PSD



Lecture 5: Diversity and more (chapter 5)

Non-white noise

In many cases, we have non-white noise. What to do?



Lecture 5: Diversity and more (chapter 5)

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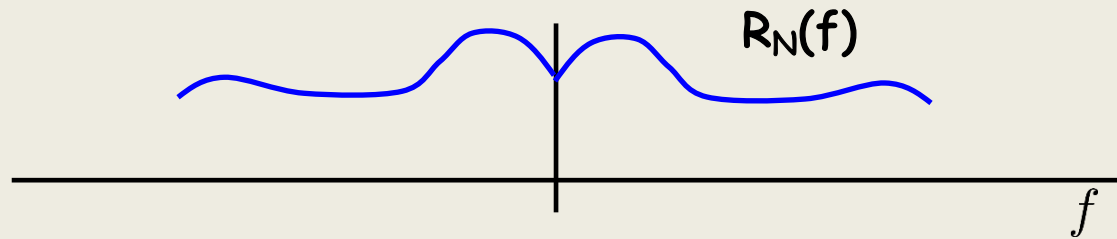
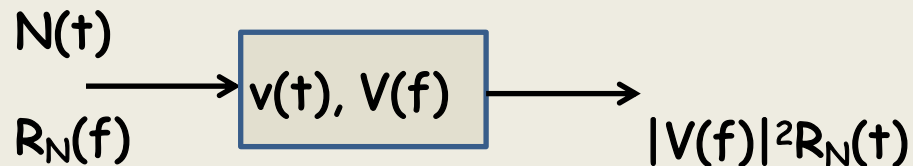


Figure 3.20, p.177



Lecture 5: Diversity and more (chapter 5)

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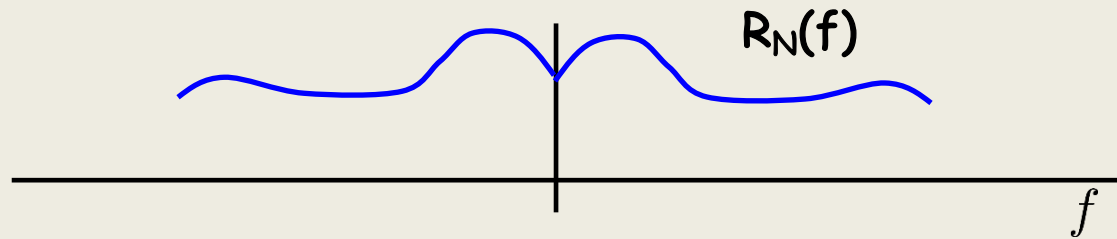
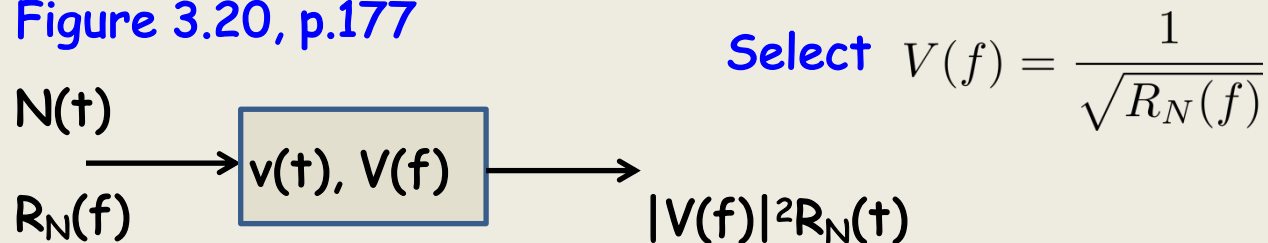


Figure 3.20, p.177



Lecture 5: Diversity and more (chapter 5)

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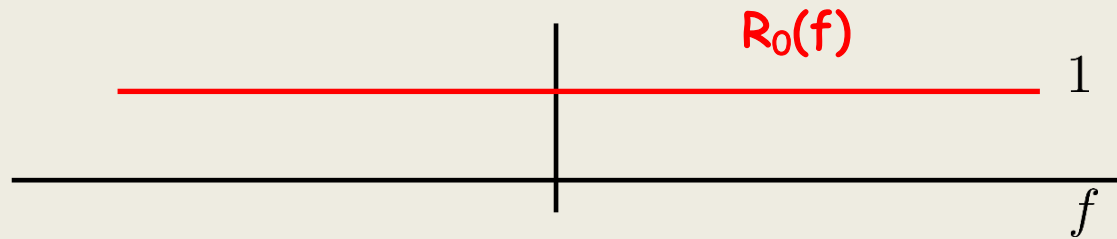
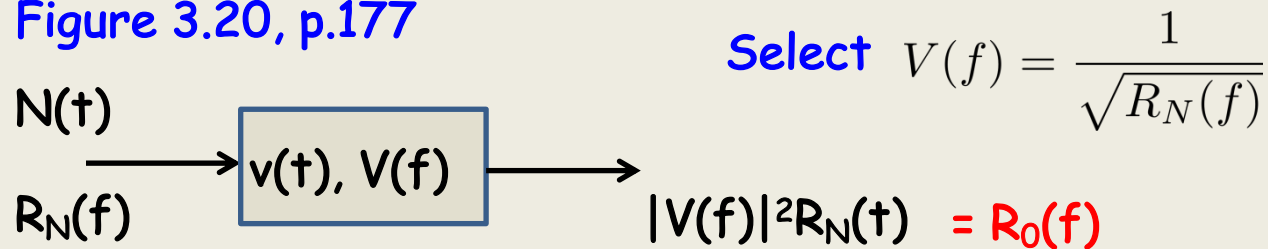


Figure 3.20, p.177



Lecture 5: Diversity and more (chapter 5)

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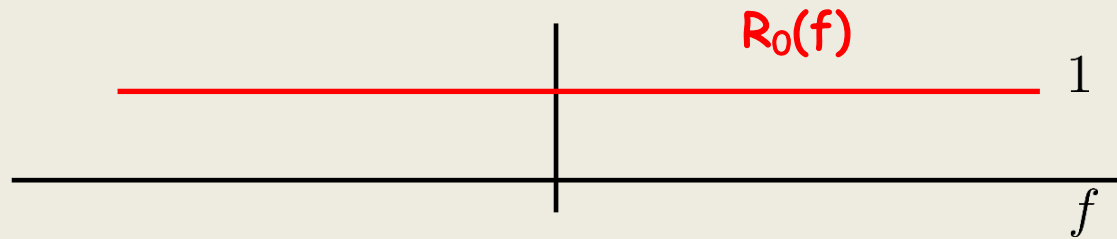
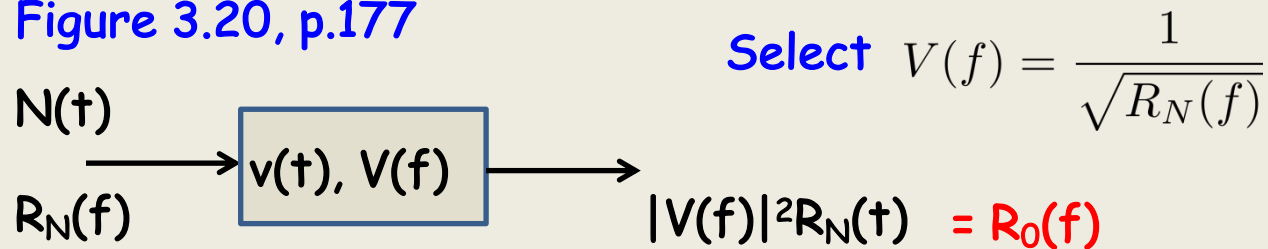


Figure 3.20, p.177



Impact on basis functions?

Lecture 5: Diversity and more (chapter 5)

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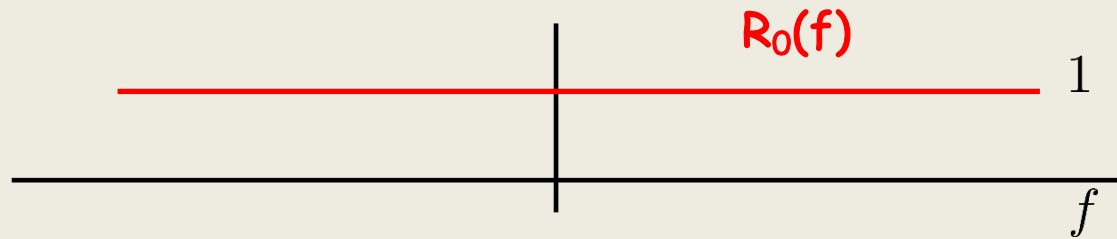
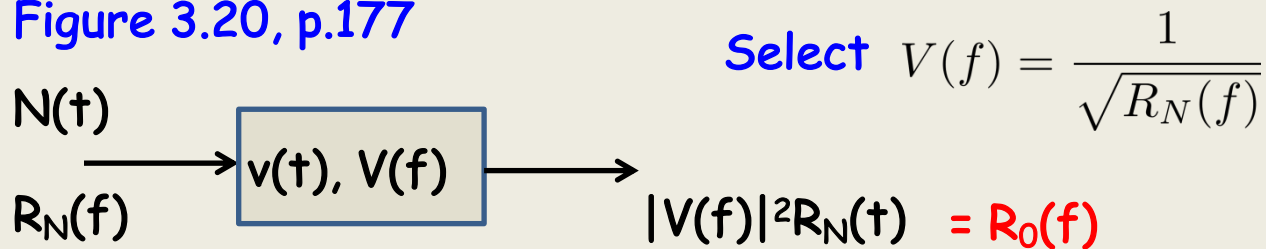


Figure 3.20, p.177



Impact on basis functions? Basis for $\{s_j(t)\}$ does not work

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Non-white noise

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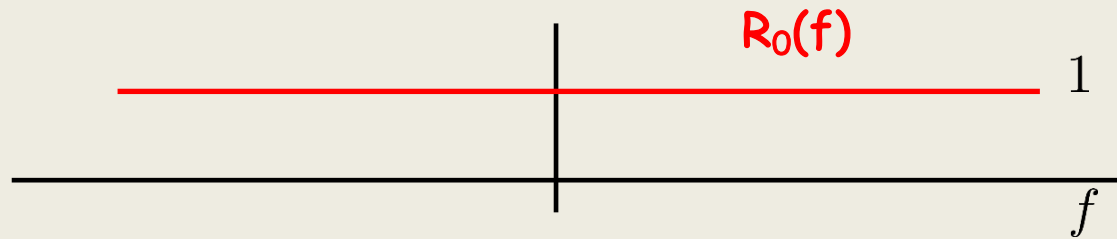


Figure 3.20, p.177

Diagram illustrating the process of whitening non-white noise:

Inputs: $N(t)$ and $R_N(f)$ are fed into a block labeled $v(t), V(f)$.

Output: The block outputs $|V(f)|^2 R_N(t) = R_0(f)$.

Select $V(f) = \frac{1}{\sqrt{R_N(f)}}$

Need to derive basis for $\{z_j(t) = s_j(t) * v(t)\}$