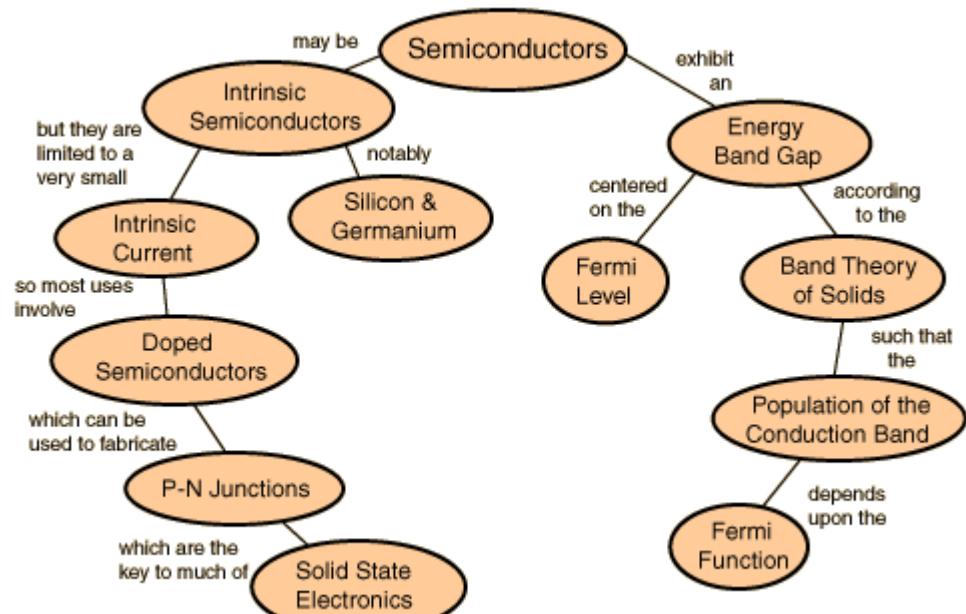


# Semiconductors – a brief introduction

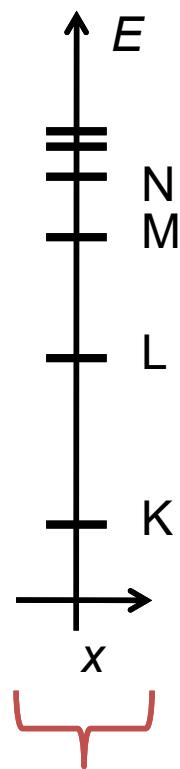
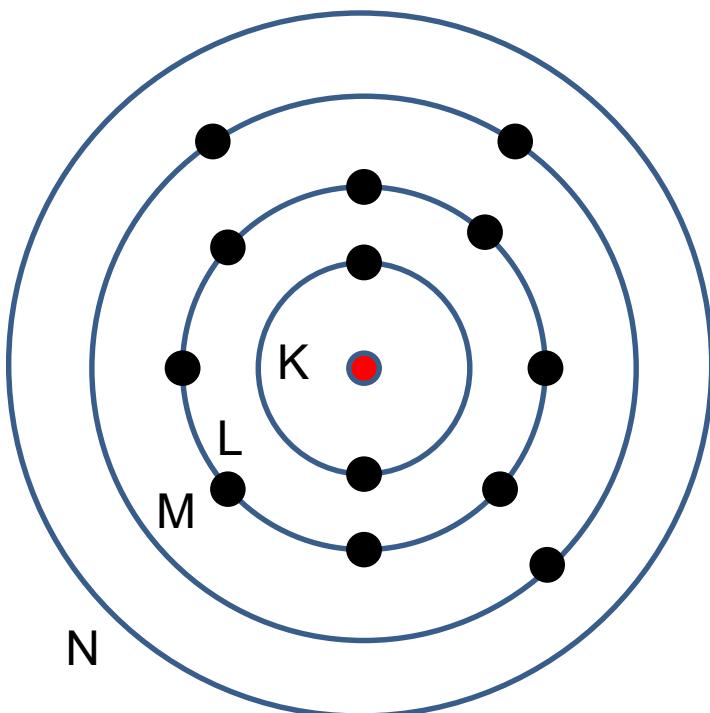
- Band structure – from atom to crystal
- Fermi level – carrier concentration
- Doping
- Transport (drift-diffusion)

Reading: (Sedra/Smith 7<sup>th</sup> edition)  
1.7-1.9

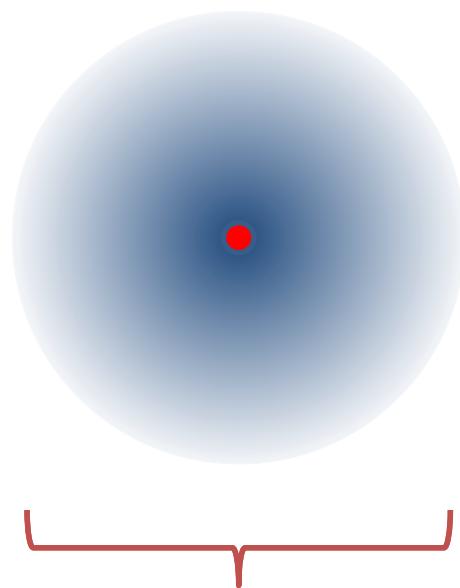
Hyperphysics (link on course homepage)  
basic introduction to semiconductors  
(almost no equations)



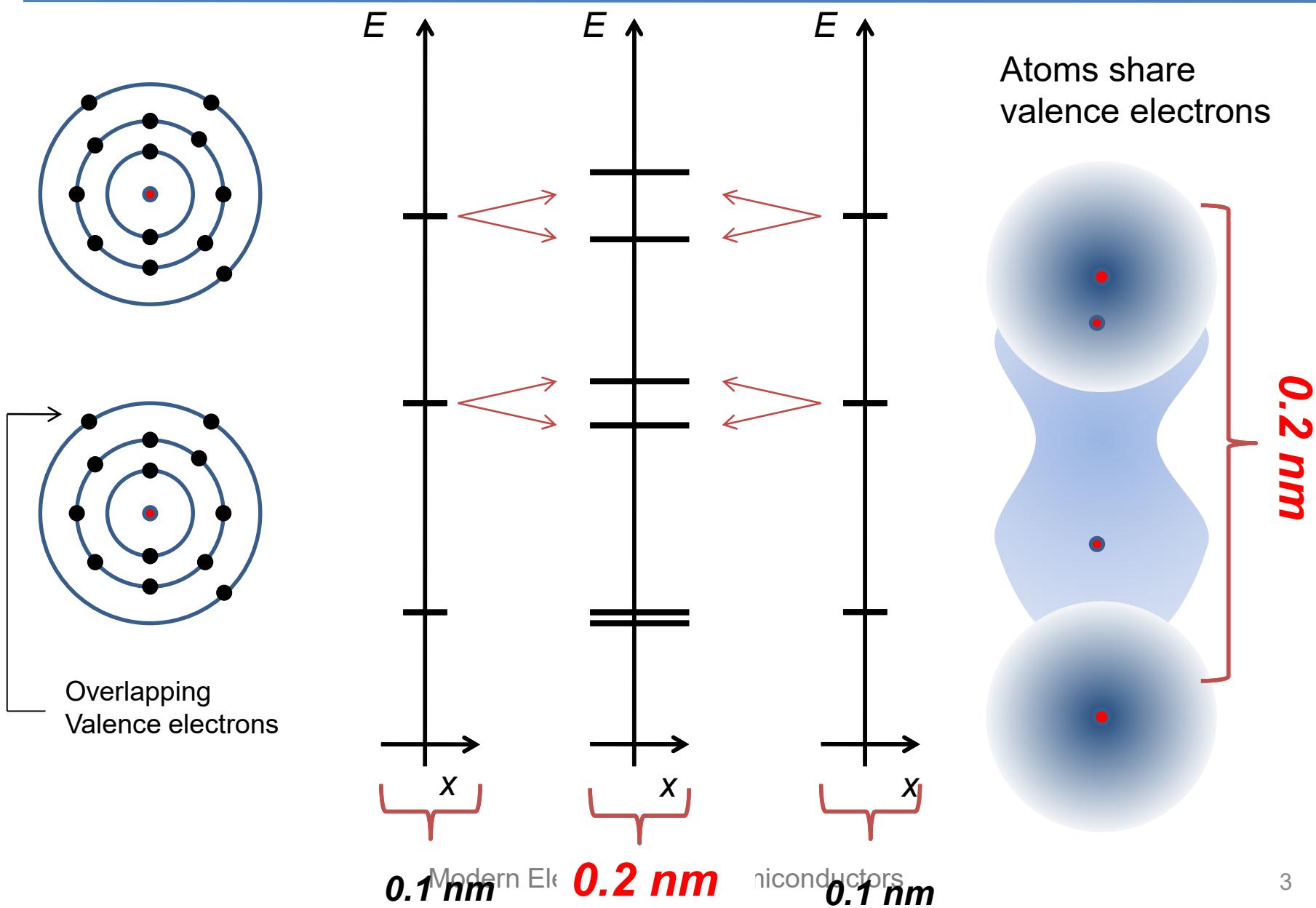
# Atomic energy levels



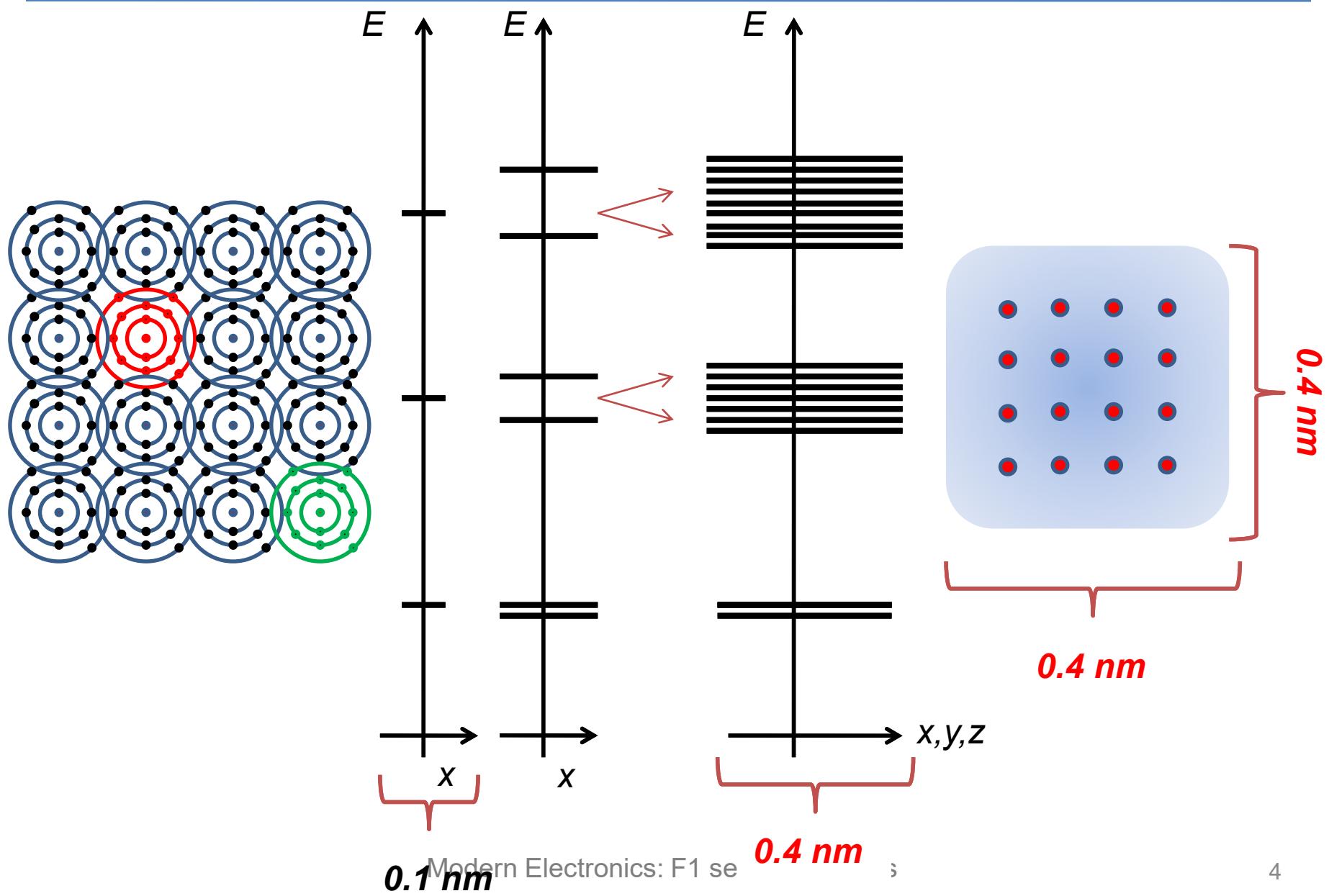
Quantum mechanics:  
Wavefunction gives describes  
probablility to find electron



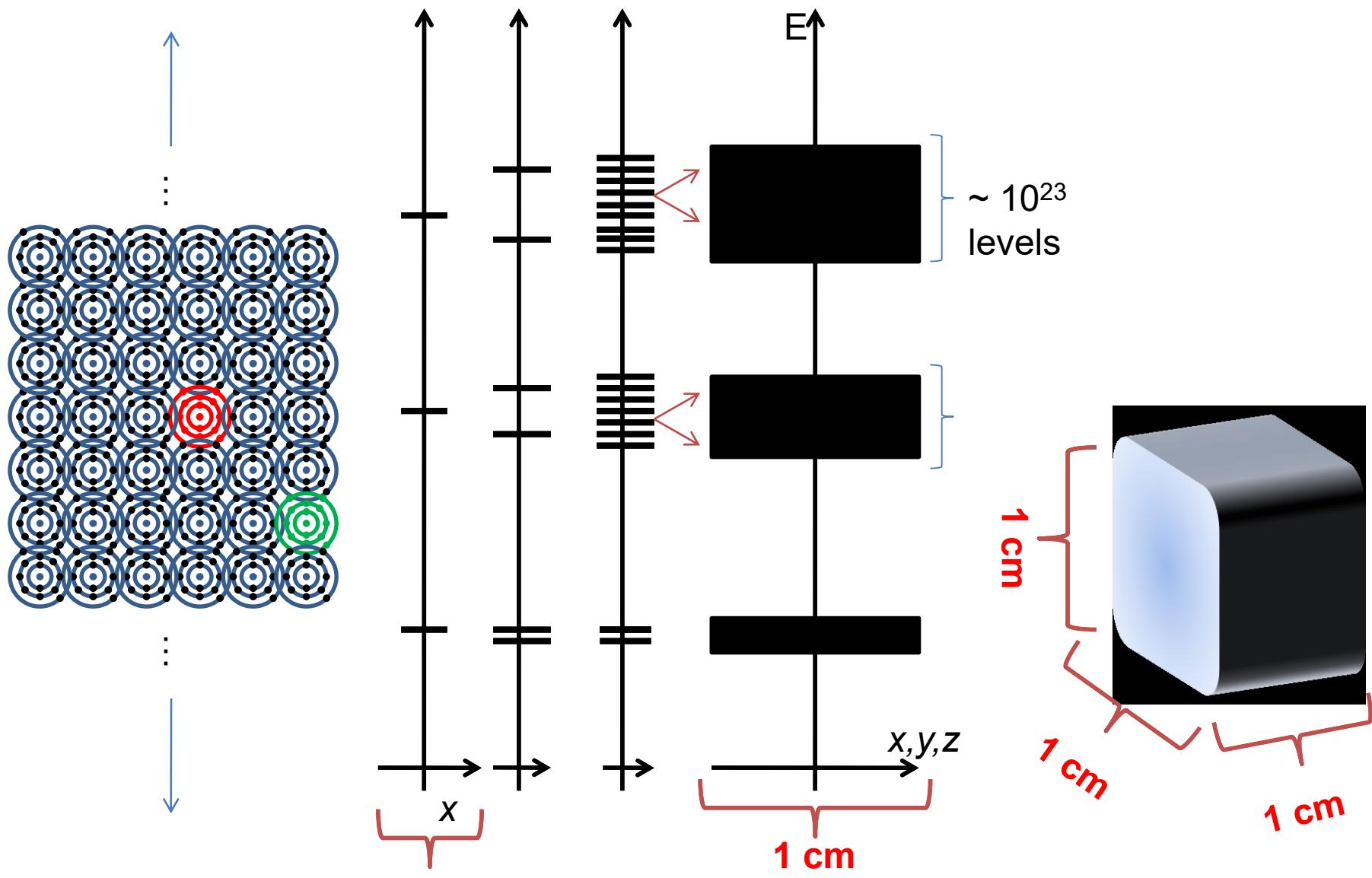
## 2-atomic molecule – Pauli principle



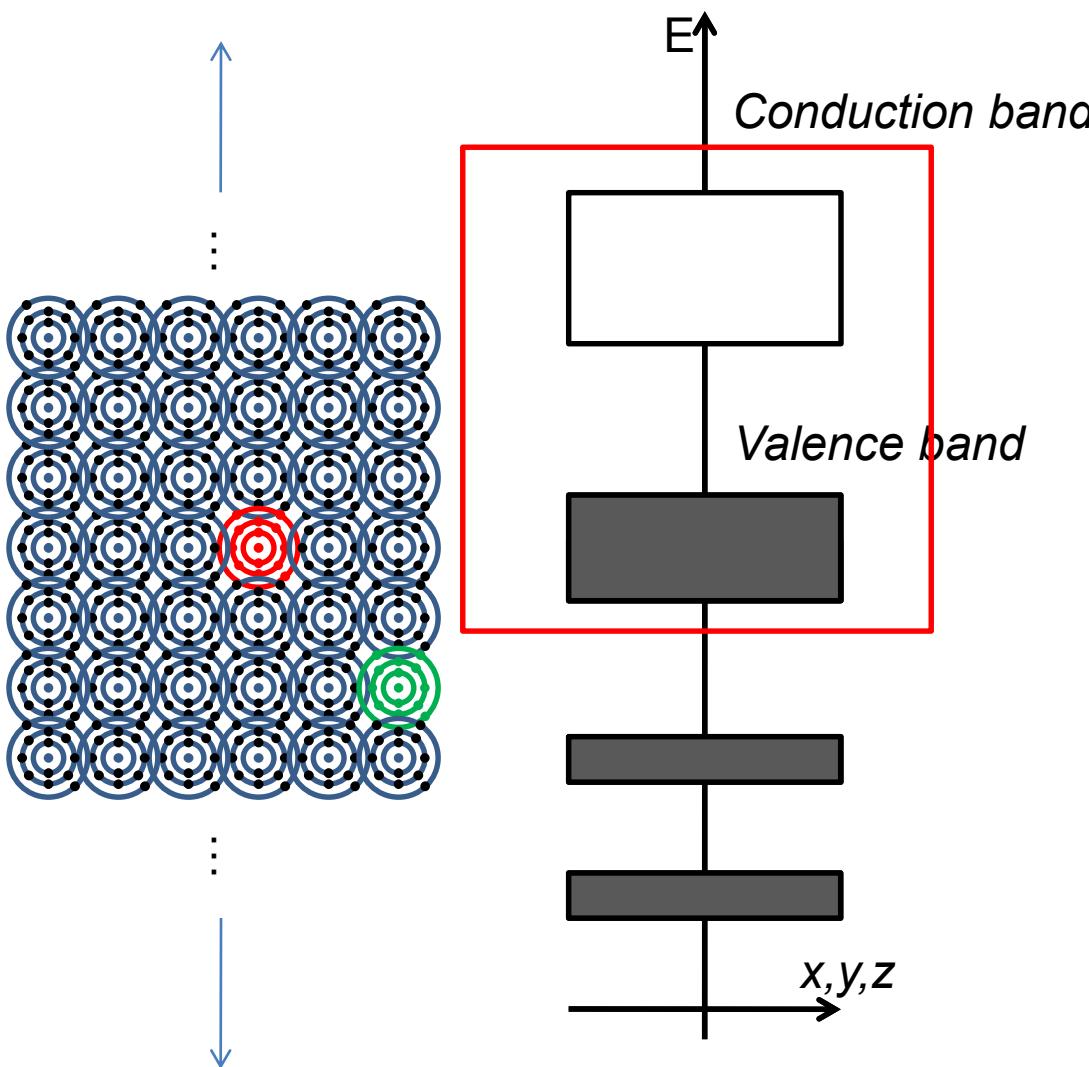
# 16-atomic molecule



# $10^{23}$ -atomic molecule – energy bands



# Valence and conduction bands



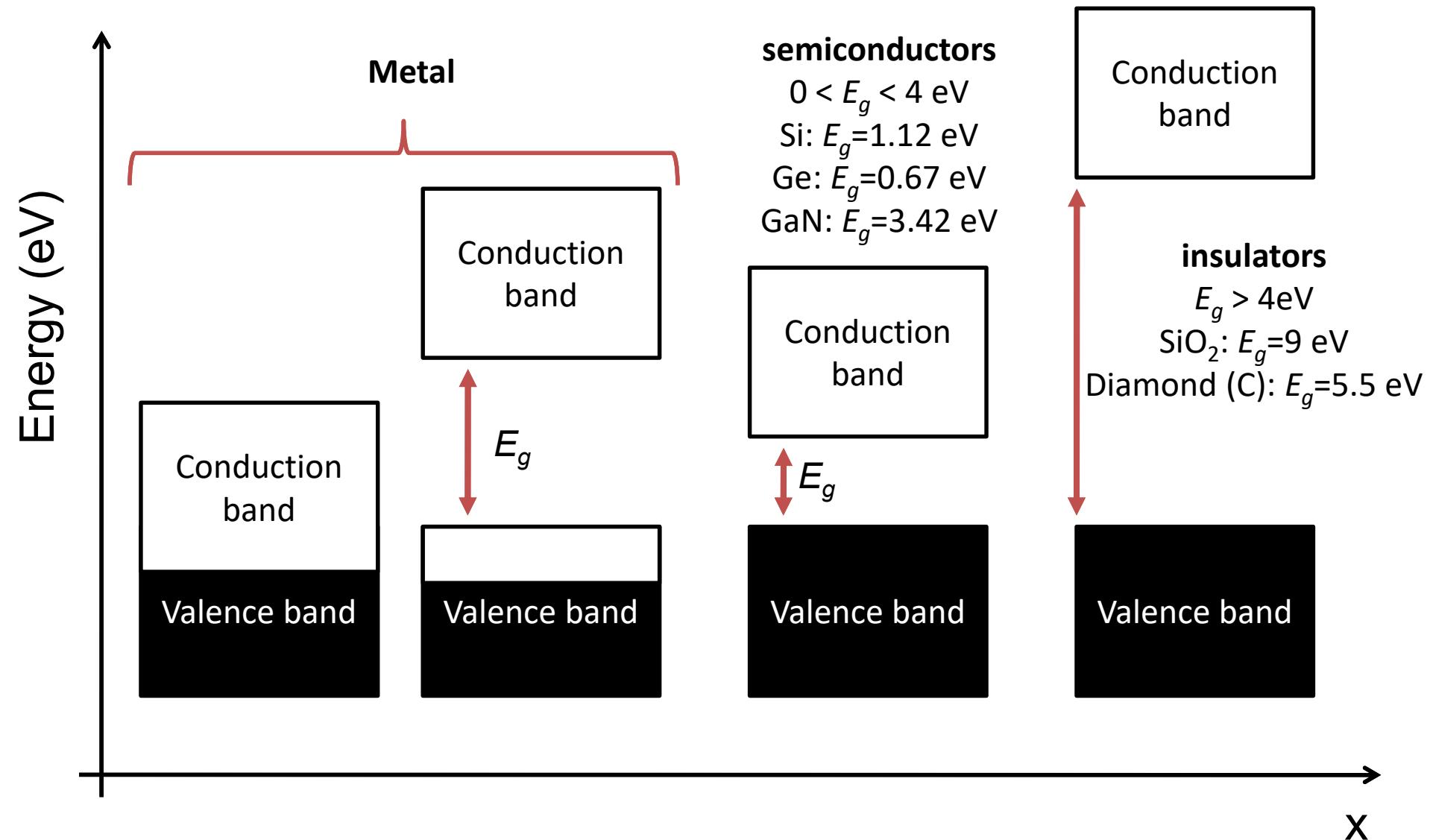
**Valence Band:** The highest band that has electrons

**Conduction Band:** The next band with higher energy

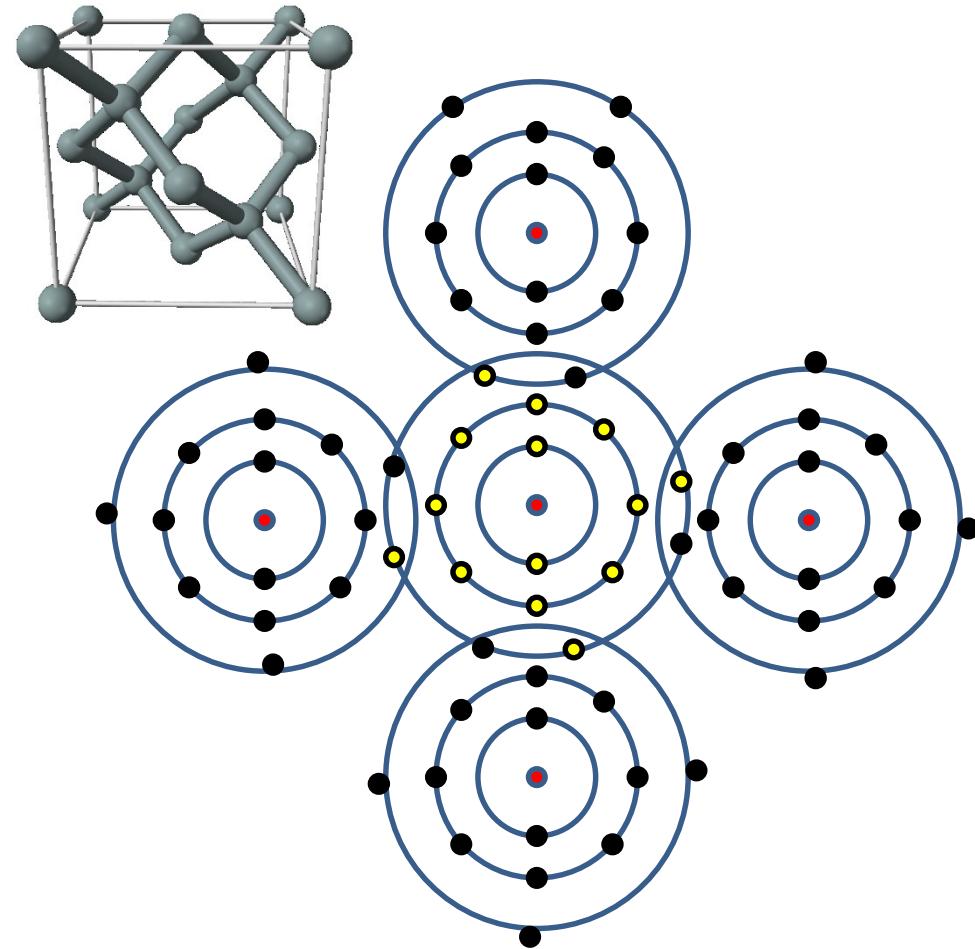
**Metal:** The valence band is partially filled with electrons

**Semiconductor / Insulator:** The valence band is filled

# metals – semiconductors - insulators



# What materials are semiconductors?



## Two atom basis (4 neighbours)

4 own valence electrons

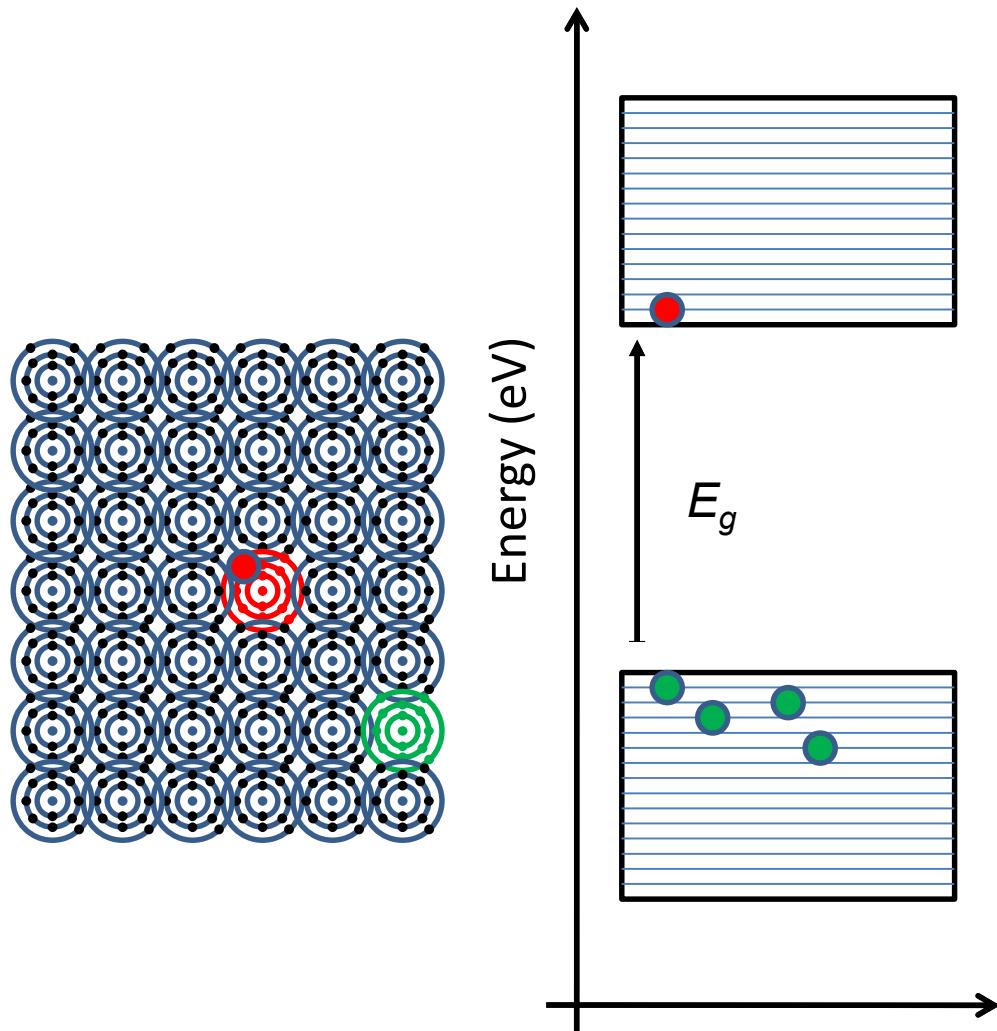
total 8 shared electrons in valence shell -> filled!

$$4+1\times 4=8$$

$$3+5=8$$

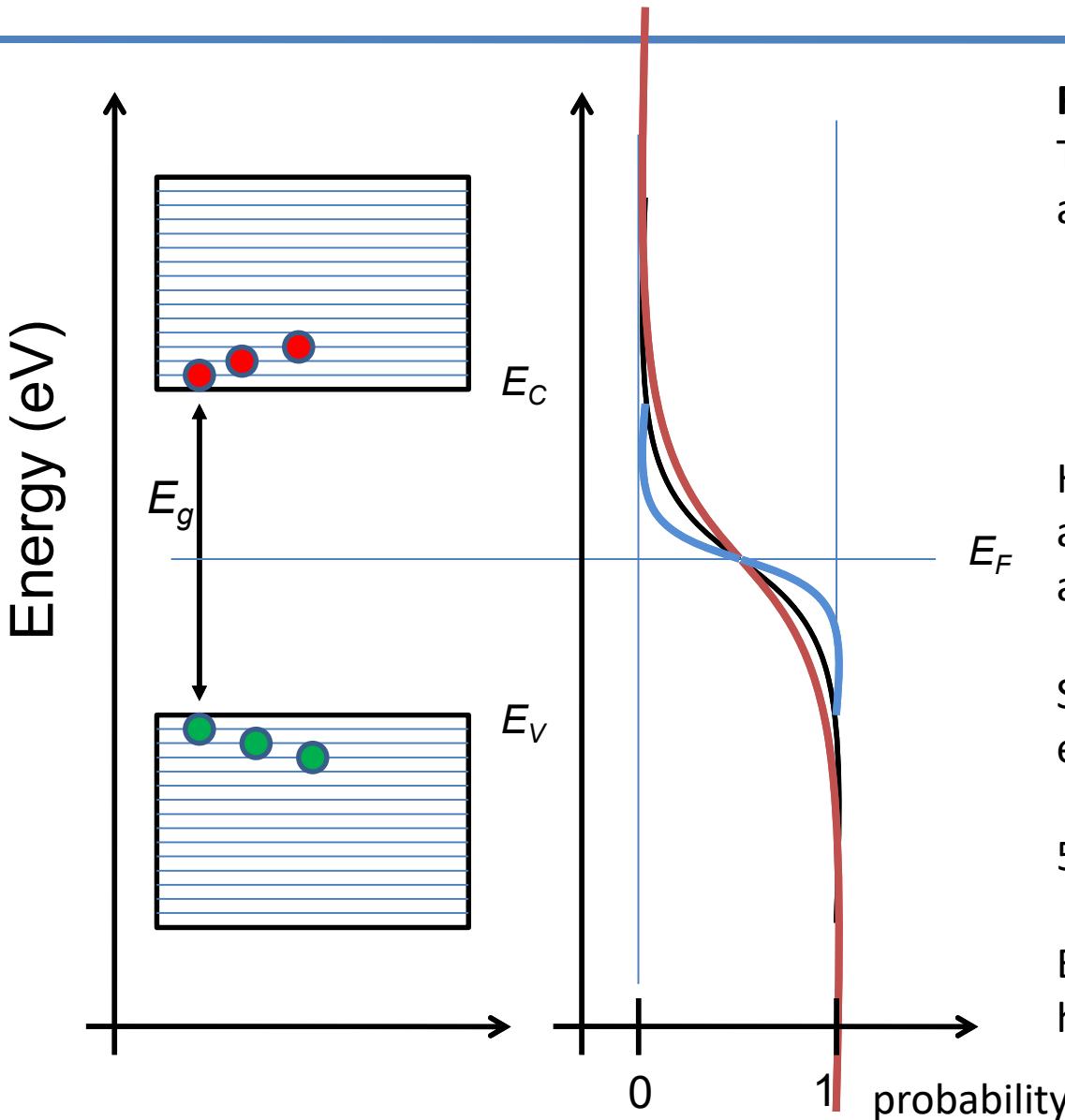
	C	GaP	Si	Ge	InAs	Sn
$E_g$	5.5 eV	2.24 eV	1.12 eV	0.67 eV	0.34 eV	0
Type	insulator	Semi	cond	uc	tors	metal

# Thermal excitation



- Each electrons gets (average) kinetic energy  $E_{kin}=3/2 \cdot kT$
- An electron can be excited to the conduction band
- Higher T or smaller  $E_g \rightarrow$  more electrons
- electron density in conduction band =  $n$  ( $\text{cm}^{-3}$ )
- electron density in valence band =  $10^{24} - n$  ( $\text{cm}^{-3}$ )
- $p$  ( $\text{cm}^{-3}$ ): hole density in valence band
- $n=p$  without doping

# Thermal excitation – Fermi level



**Fermi-Dirac distribution:**

The probability of an electron at an energy level  $E$ .

$$f(E) = \frac{1}{\exp((E - E_F)/kT) + 1}$$

Higher T – higher probability that a level in the conduction band has an electron.

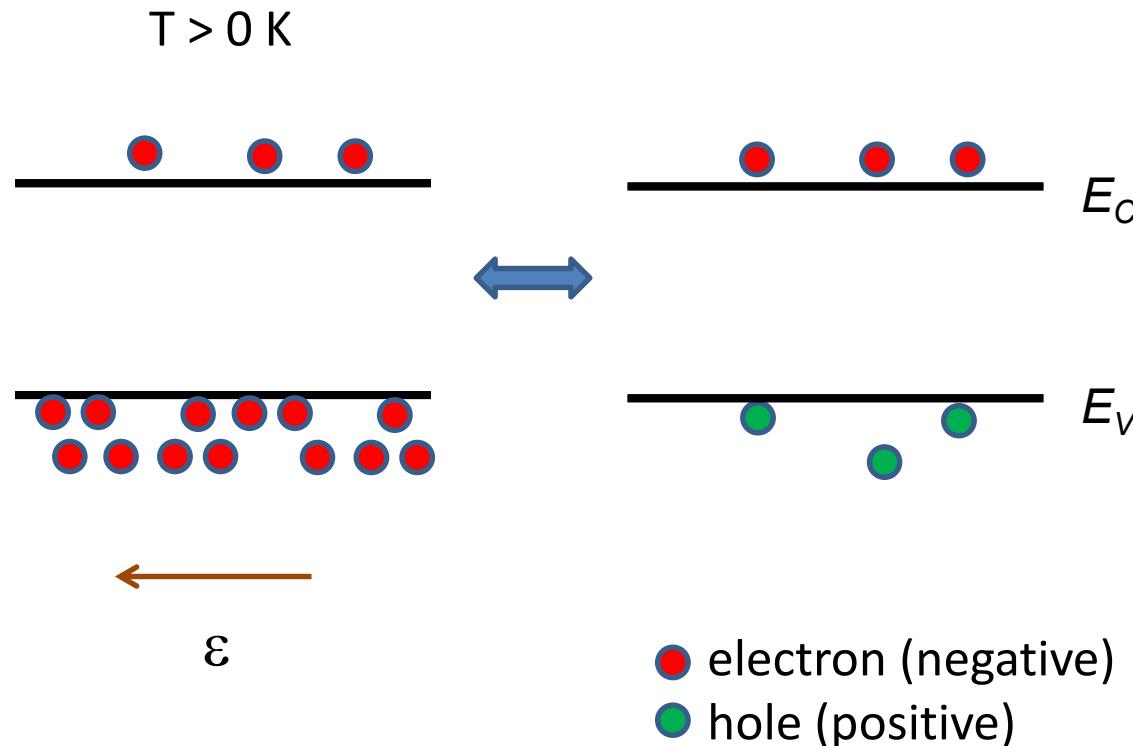
Symmetrical about  $E_F$  (Fermi energy).

50% chance to have electron at  $E_F$

Excited electron leave a positive hole in valence band

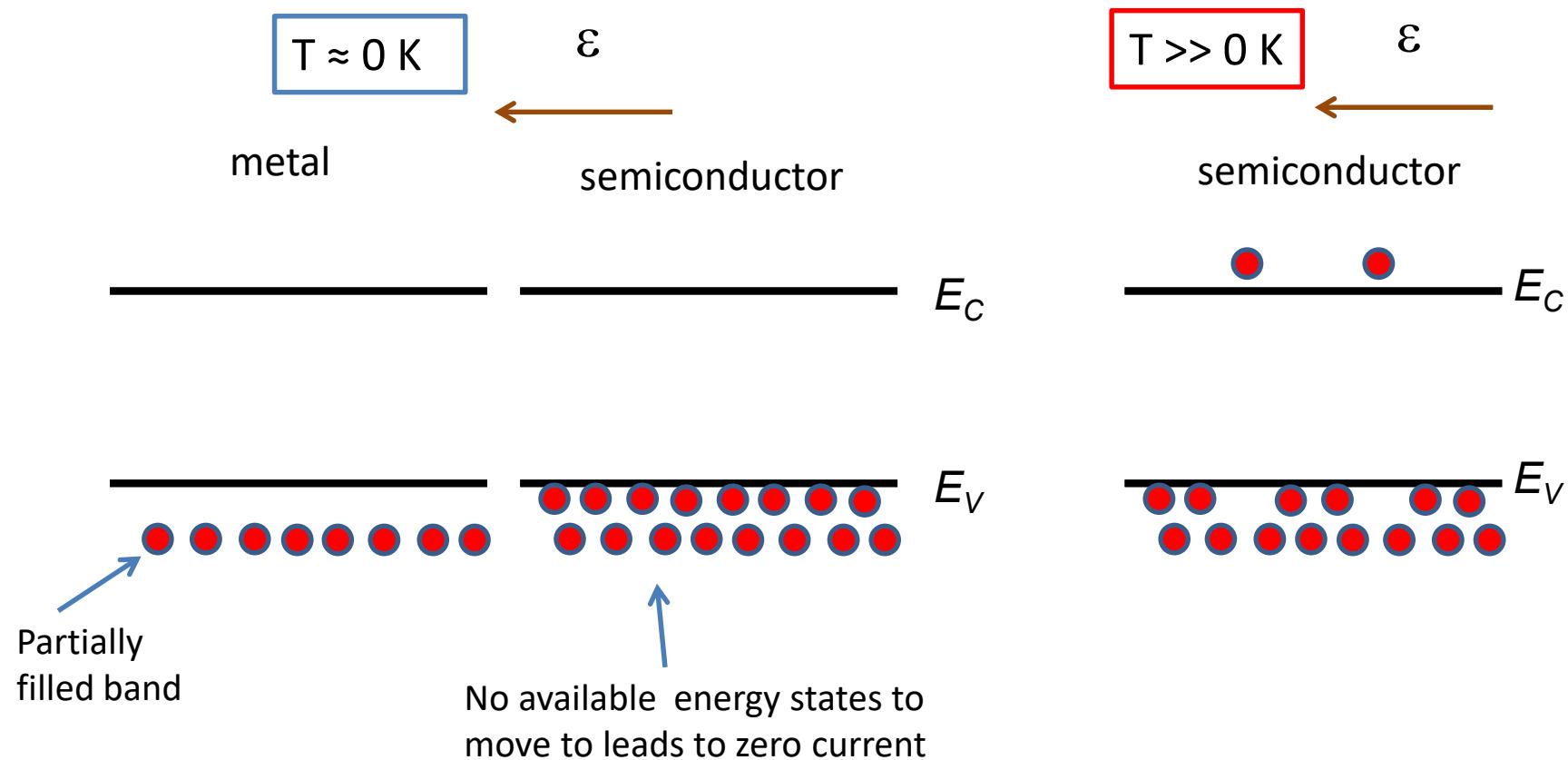
# Holes vs electrons

Instead of describing all electrons remaining in valence band, positive holes (missing electrons) are introduced and treated as particles.



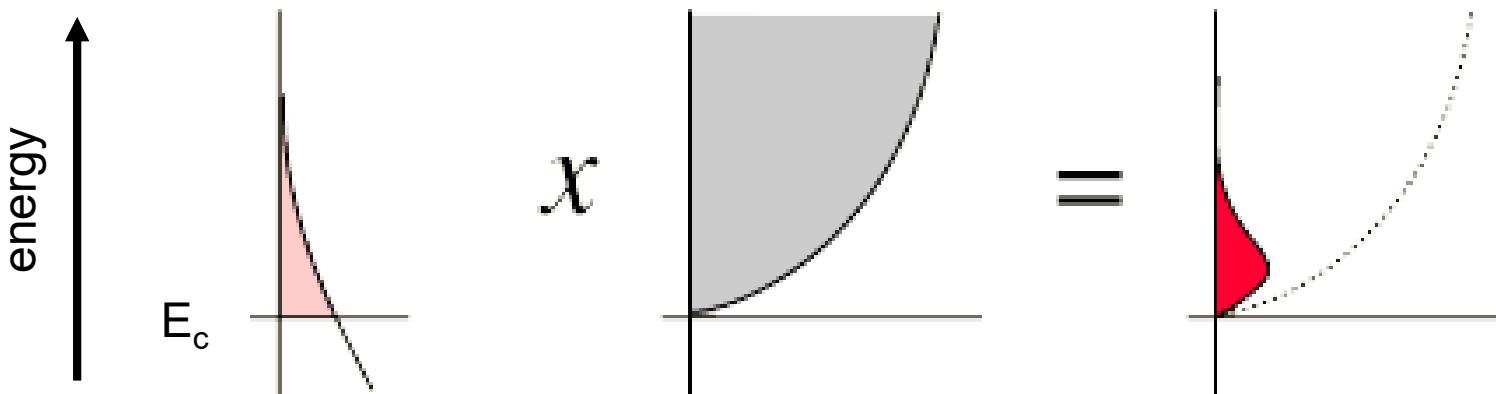
# Electron transport

- Apply voltage -> electric field moves charged electrons
- Filled band:  $\frac{1}{2}$  of electrons move in one direction,  $\frac{1}{2}$  of electrons in the other.
- Need to change velocity of some electrons to get current but all states are filled i.e. need electrons in conduction band.



## Carrier concentration

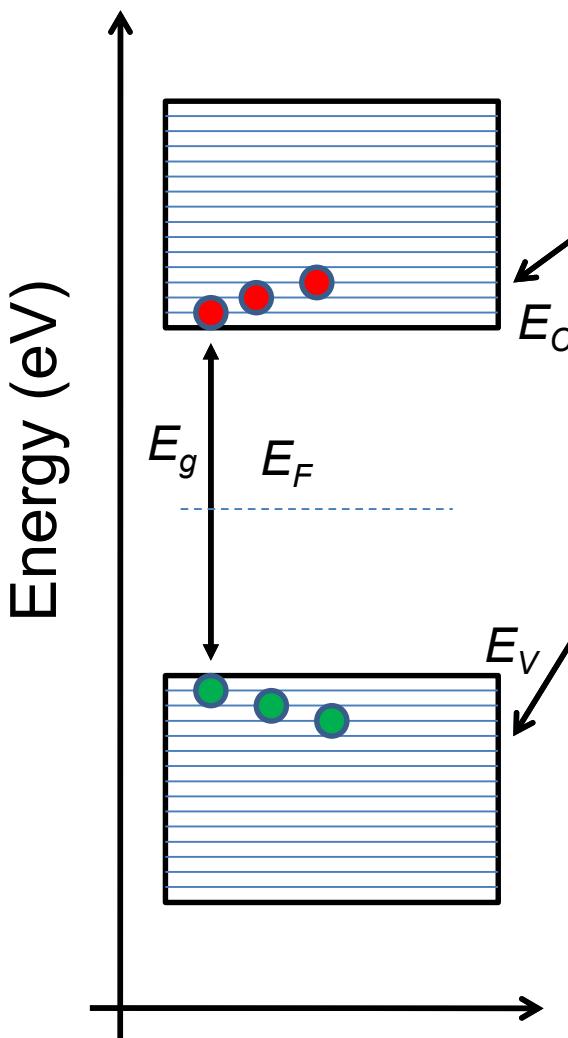
probability of occupying a state  $\times$  number of available states = population of conduction band



Fermi-Dirac distribution  $\times$  density of states = carrier concentration

$$\int_{E_c}^{\infty} dE \left( D(E) f(E_f, E) \right) = n$$

# Carrier concentration - simplified



$$n = N_c \exp\left(\frac{E_F - E_c}{kT}\right)$$
$$p = N_v \exp\left(\frac{E_v - E_F}{kT}\right)$$

- Ignore real density of states, introduce  $N_c, N_v$  – effective density of states at band edges (describes how dense levels are)

(Si:  $N_c=3.2*10^{19} \text{ cm}^{-3}$  /  $N_v=1.8*10^{19} \text{ cm}^{-3}$ )

# Intrinsic carrier concentration

Each electron excited from the valence band to the conduction band become a free carrier available for conduction

Intrinsic semiconductor:

- $n=p=n_i$  (intrinsic carrier concentration)
- $E_F$  is in the middle of the band gap

$$n_i = \sqrt{n \cdot p} = \sqrt{N_c N_v} \exp\left(\frac{-E_g}{2kT}\right)$$

**T=300K**

**Si**

$$E_g = 1.11 \text{ eV}$$

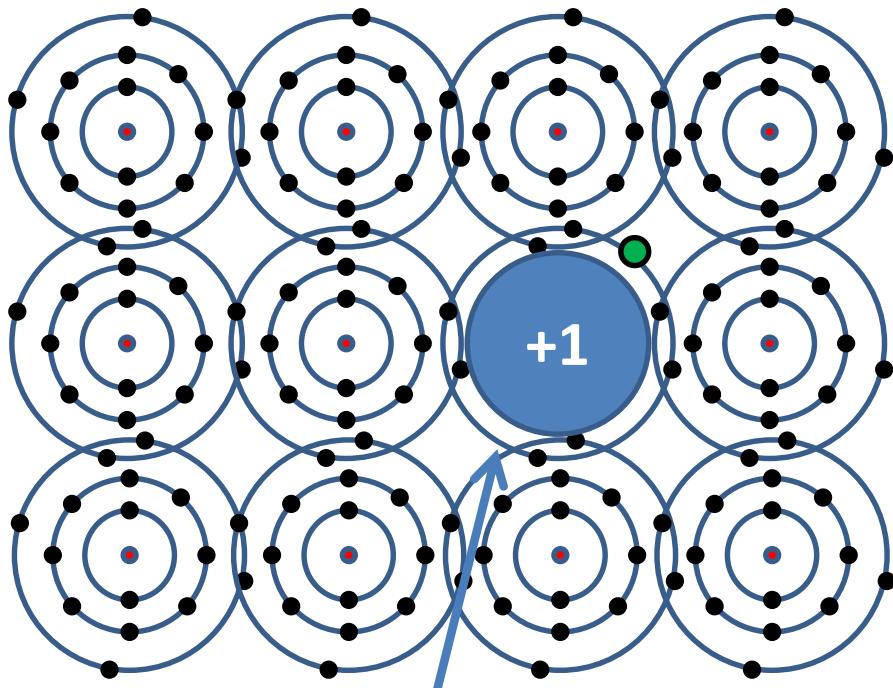
$$n_i = 1 \times 10^{10} \text{ cm}^{-3}$$

**Ge**

$$E_g = 0.67 \text{ eV}$$

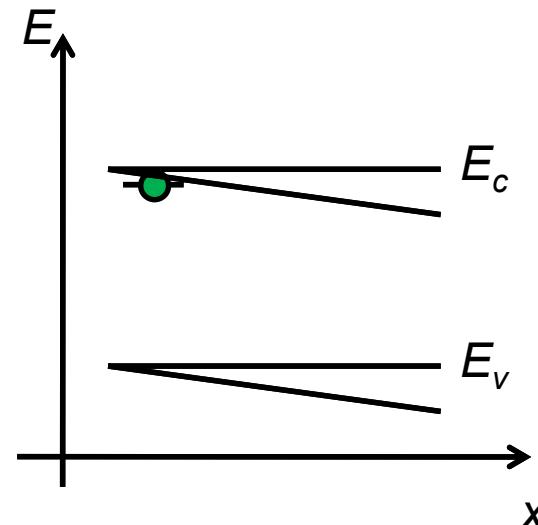
$$n_i = 2 \times 10^{13} \text{ cm}^{-3}$$

# Doping with donor atoms: n-type



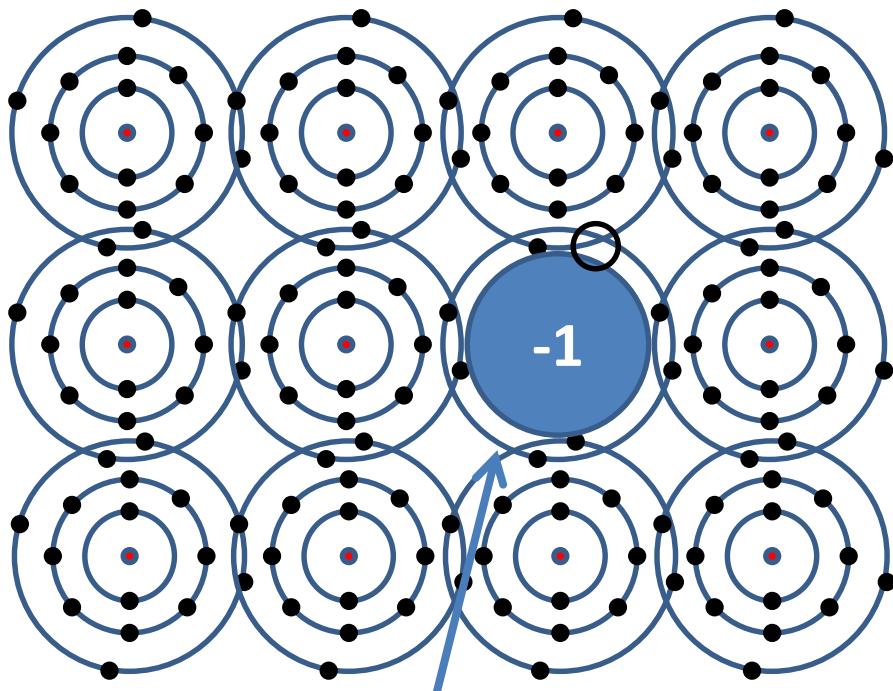
P – atom  
5 valence electrons

Donates electron to the conduction band (mobile)  
Ionized atom – positive (immobile)

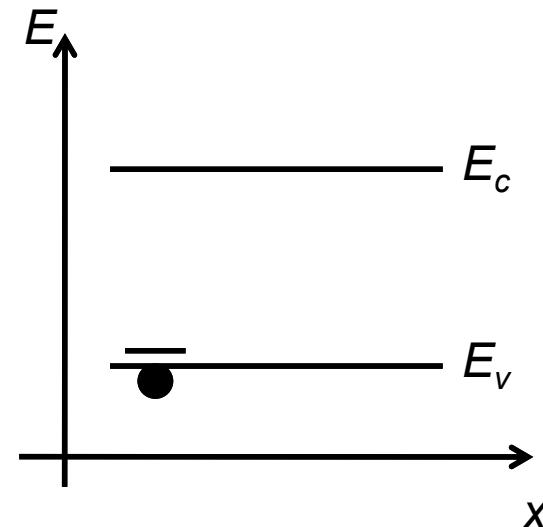


III	IV	V
B	C	N
Al	Si	P
Ga	Ge	As
In	Sn	Sb

# Doping with acceptor atoms: p-type



Al – atom  
3 valence electrons



III	IV	V
B	C	N
Al	Si	P
Ga	Ge	As
In	Sn	Sb

Captures electron  $\rightarrow$  extra hole to the valence band (mobile)  
Ionized atom – negative (immobile)

## Doping – extrinsic semiconductor

$$\left. \begin{array}{l} n = N_c \exp\left(\frac{E_F - E_c}{kT}\right) \\ p = N_v \exp\left(\frac{E_v - E_F}{kT}\right) \end{array} \right\} \quad np = N_c N_v \exp\left(\frac{-E_g}{kT}\right) = n_i^2$$

↓

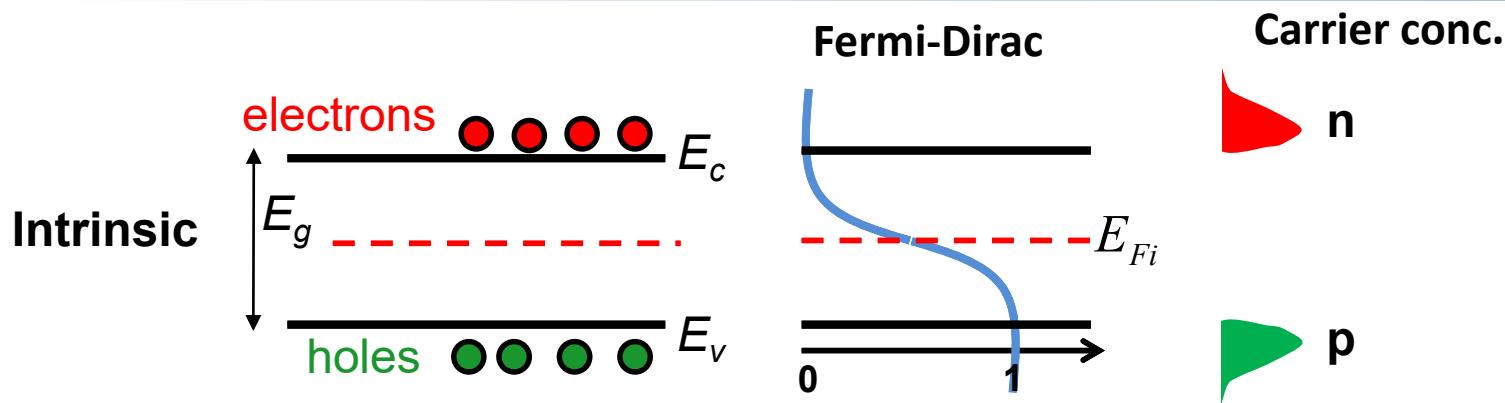
$n \cdot p = n_i^2$

Mass action law      Independent of doping

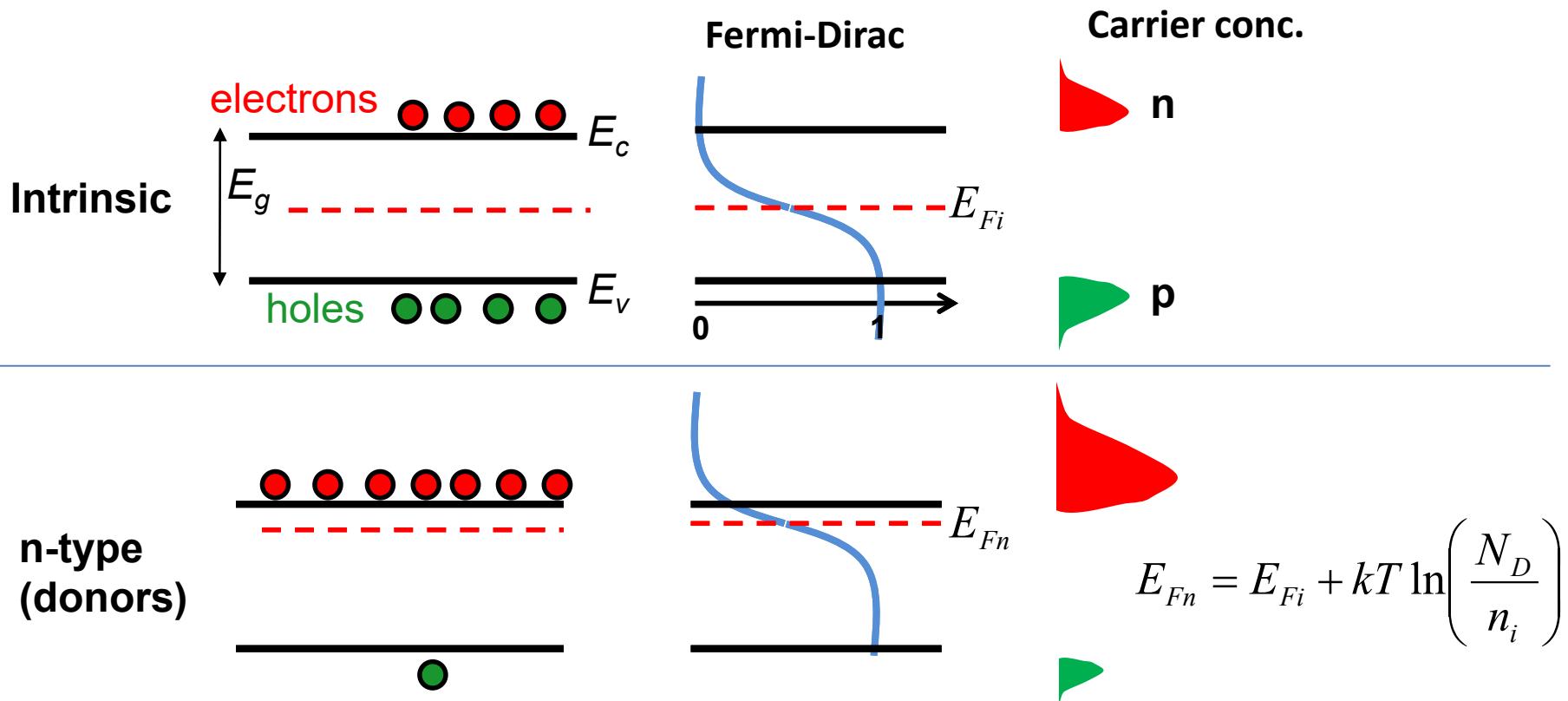
- $N_D$ : donor atom density ( $\text{cm}^{-3}$ ) /  $N_A$ : acceptor atom density ( $\text{cm}^{-3}$ )
- For  $N_D \gg n_i$  ( $N_A=0$ ) the doping dominates majority carrier concentration

$$n = N_D \text{ and } p = n_i^2 / N_D$$

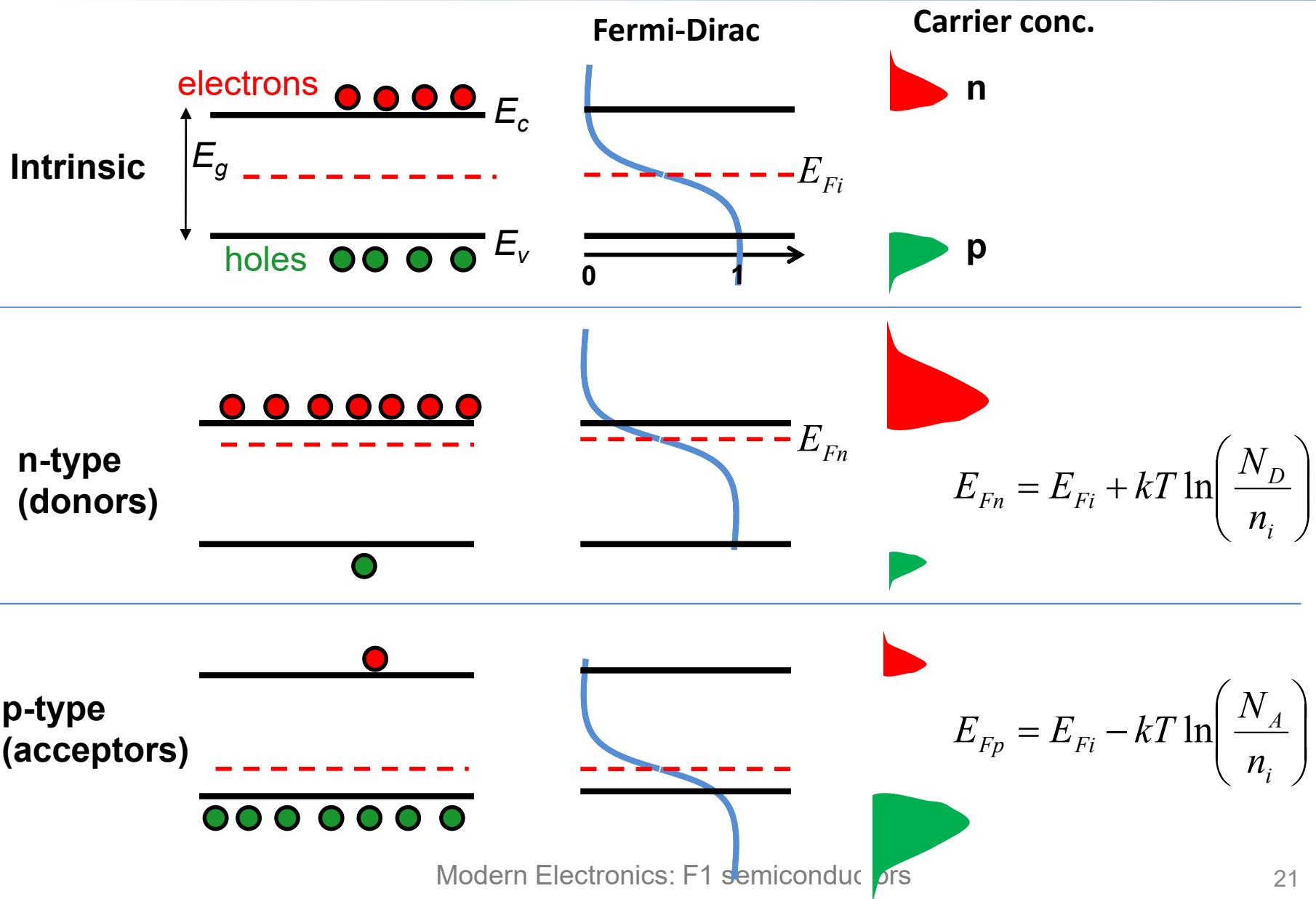
# doping – carrier density



# doping – carrier density



# doping – carrier density



# Transport - drift

$$J_p = qp\mu_p \varepsilon$$

$$J_n = qn\mu_n \varepsilon$$

$$J = J_n + J_p$$

Hole current density (A/cm<sup>2</sup>)

Electron current density (A/cm<sup>2</sup>)

$$\sigma = q(\mu_n n + \mu_p p)$$

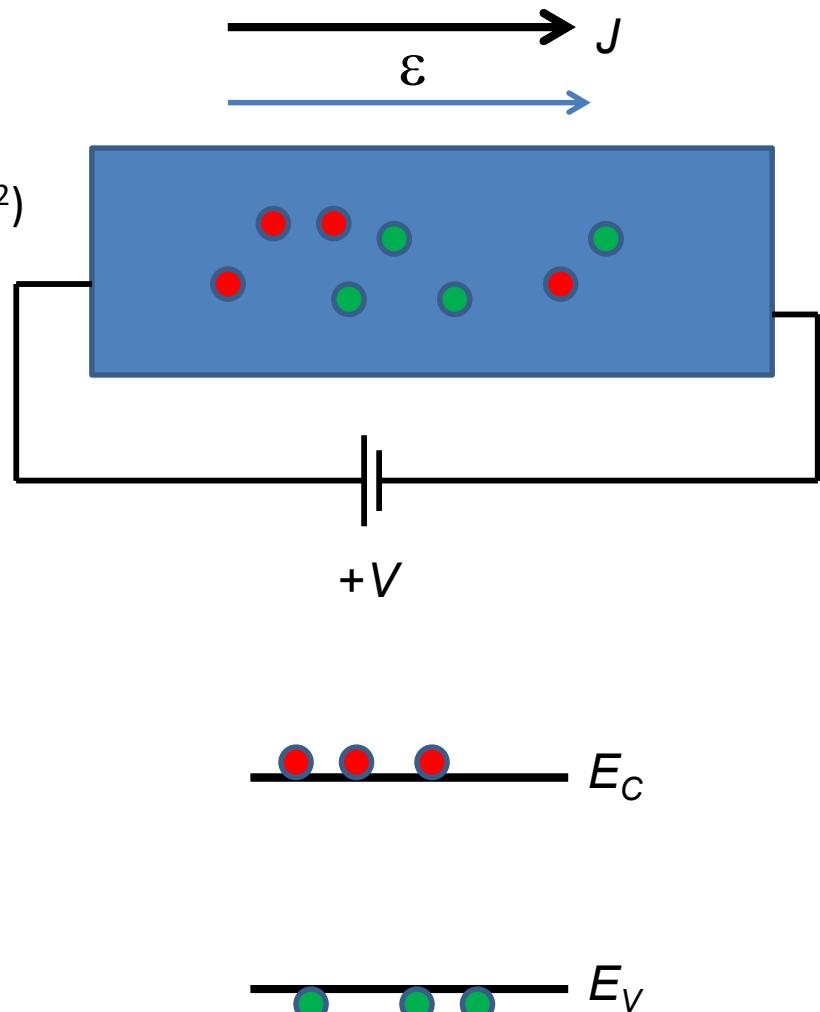
$$\rho = \frac{1}{q(\mu_n n + \mu_p p)}$$

$\mu_n / \mu_p$  – electron / hole mobility (cm<sup>2</sup>/Vs)

$n / p$  – electron / hole concentration (cm<sup>-3</sup>)

$\sigma = 1/\rho$  = conductivity (S/m)

$\rho$  = resistivity ( $\Omega\text{m}$ )

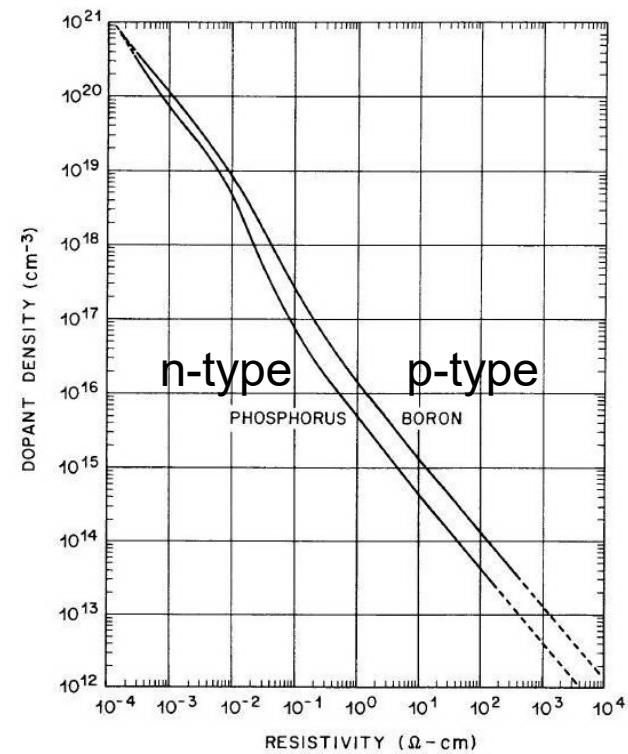
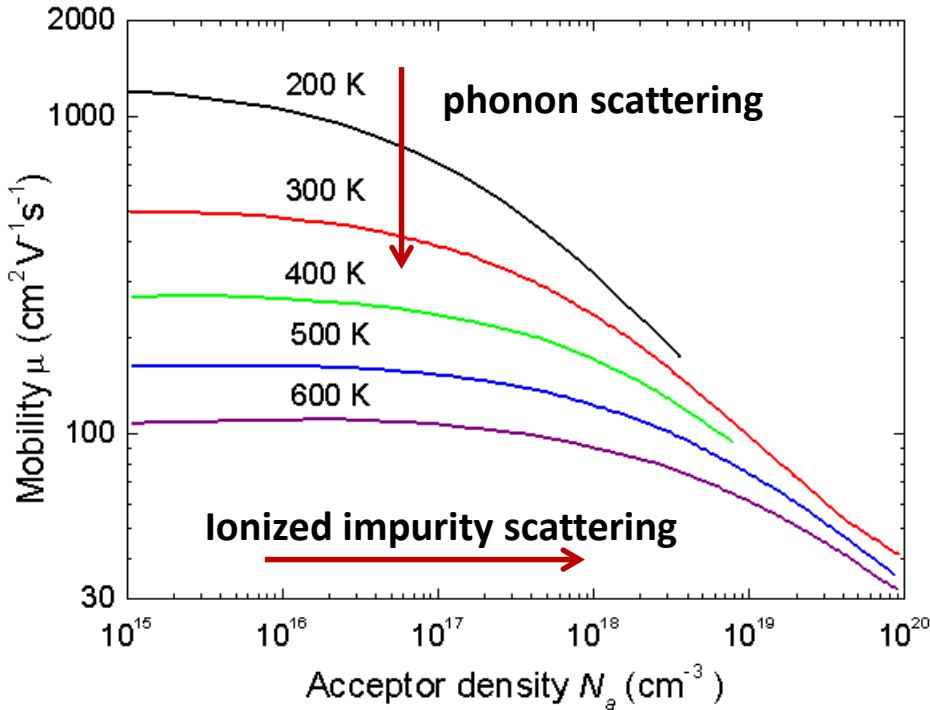


# Transport – effect of doping

$$J_n = qn\nu_d = qn\mu_n \mathcal{E}$$

$n$ : controlled by doping

$\mu_n$ : determined by intrinsic semiconductor properties + scattering



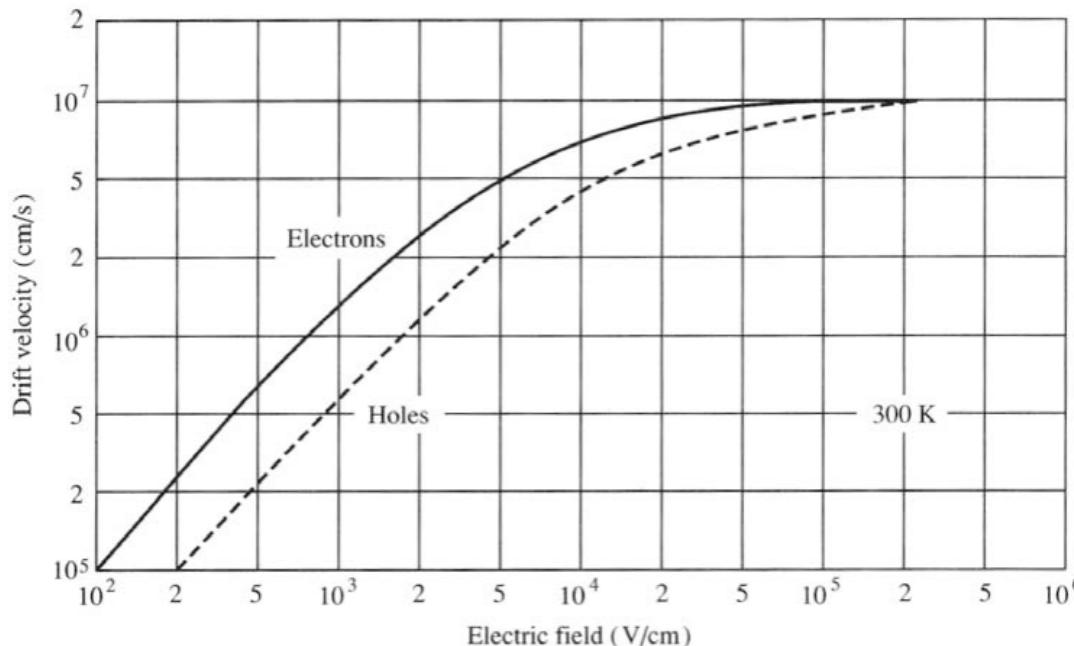
Conversion between resistivity and dopant density in silicon.

# Velocity saturation

- At high electric fields ( $\epsilon_c \approx 1.5 \times 10^6 \text{ V/m}$ ) electrons can emit optical phonons (lattice vibrations) -> velocity saturates

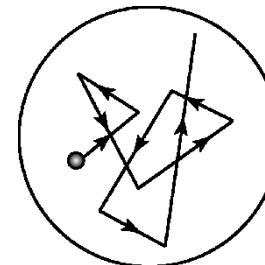
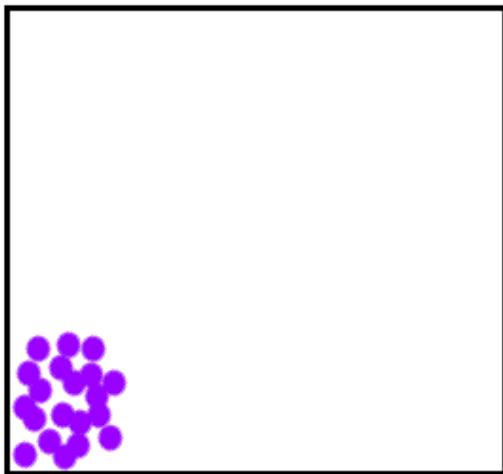
$$J = qn\nu_d = qn\mu_n(\epsilon)\epsilon$$

$$\nu_d = \frac{\mu_n \epsilon}{1 + \epsilon / \epsilon_c} \quad \begin{cases} \epsilon \ll \epsilon_c \rightarrow \nu_d \approx \mu_n \epsilon \\ \epsilon \gg \epsilon_c \rightarrow \nu_d \approx \mu_n \epsilon_c = \nu_{sat} \end{cases}$$

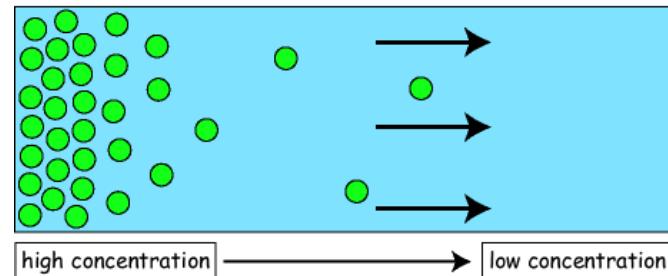


# Transport - diffusion

- Random thermal motion gives movement of particles from high to low concentration
- No external forces
- No particle interaction
- Rate depends on concentration gradient



Brownian Movement



high concentration → low concentration

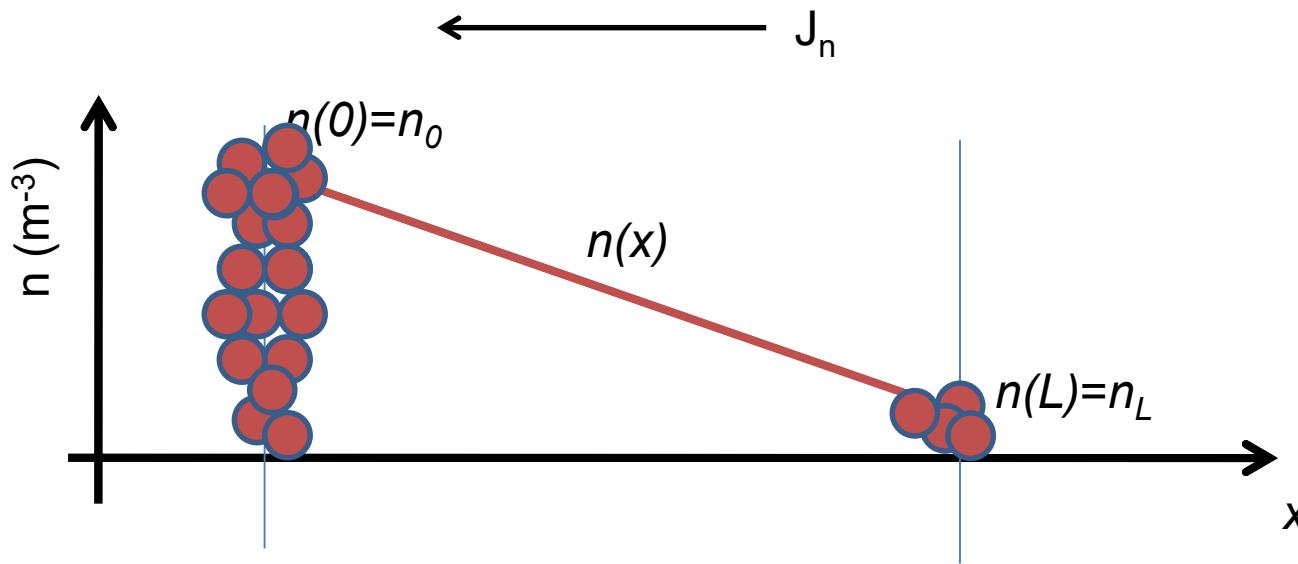


# Transport - diffusion

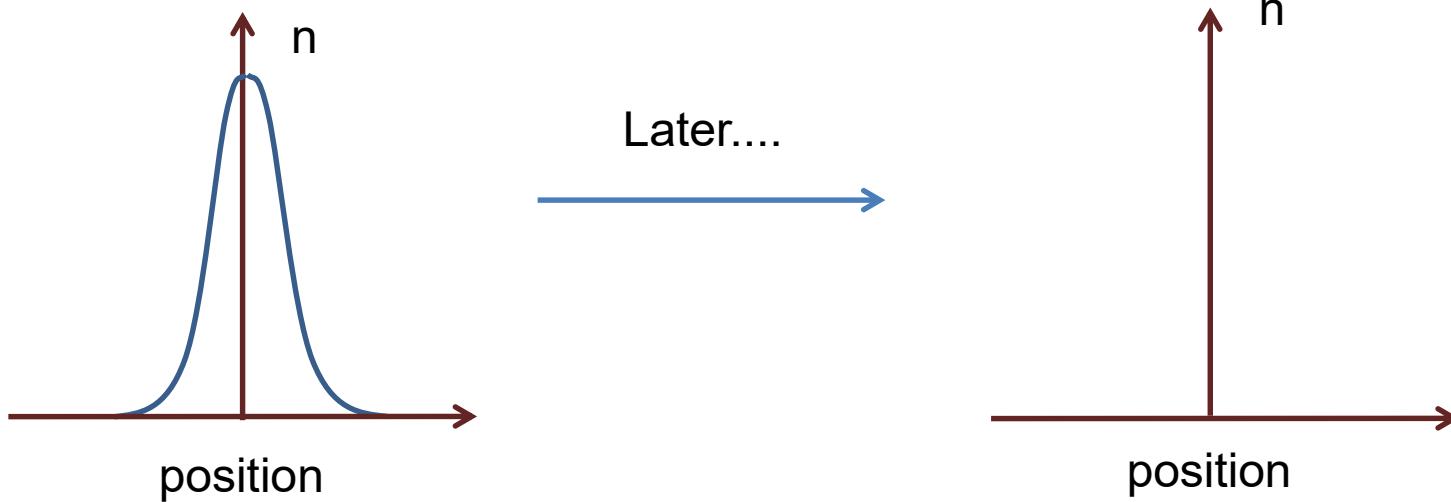
Diffusion current given by gradient of electron (or hole) concentration

$$J_n = qD_n \frac{dn(x)}{dx} = q \left( \frac{kT}{q} \mu_n \right) \frac{dn(x)}{dx} = qV_T \mu_n \frac{dn(x)}{dx}$$

No addition/removal of carriers between  $0 < x < L \rightarrow$  constant current  $\rightarrow$  linear concentration decrease



## 2 min exercise – drift/diffusion



Sketch carrier distribution later with

1. No electric field
2. E-field in pos. direction ( $\rightarrow$ )

# Summary

---

- Discrete atomic energy levels become bands when atoms are joined in a crystal.
- Semiconductors = filled valence band, no electrons in conduction band (at T=0). Band gap with no allowed states.
- Carrier concentration (n) = Fermi-dirac distribution \* density of states
- Doping:
  - Donors (group V): adds mobile negative electrons and positive static ions.  
Moves Fermi level towards conduction band.
  - Acceptors (group III): adds mobile positive holes and negative static ions.  
Moves Fermi level towards valence band.
- Carrier transport:
  - Drift: electric field moves charged particles. Depends on field strength.
  - Diffusion: random motion moves particles from high to low concentration.  
Depends on concentration gradient.

$$n = N_c \exp\left(\frac{E_F - E_c}{kT}\right)$$

$$n \cdot p = n_i^2 \quad n=N_D \text{ and } p=n_i^2/N_D \text{ for } N_D \gg n_i$$

$$J_n = J_{n,drift} + J_{n,diff} = qn\mu_n \boldsymbol{\epsilon} + qD_n \frac{dn}{dx}$$

# **pn- junctions**

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**Charge distribution -> electric field -> potential**

**Current transport**

**Breakdown mechanisms**

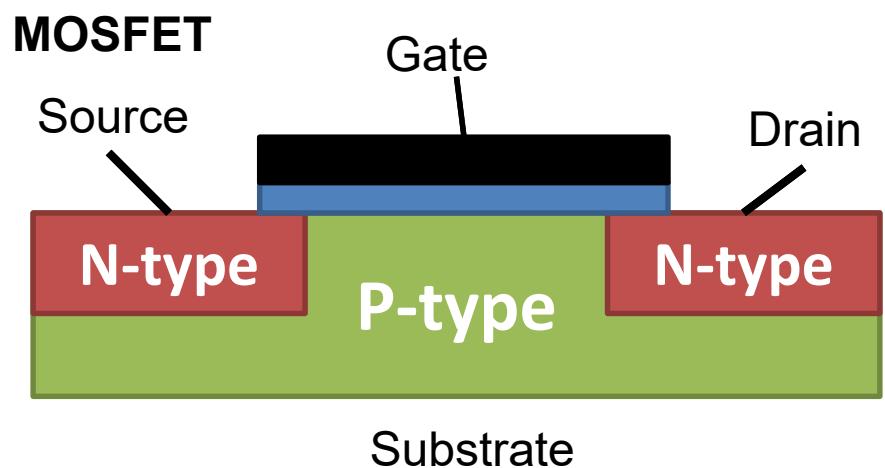
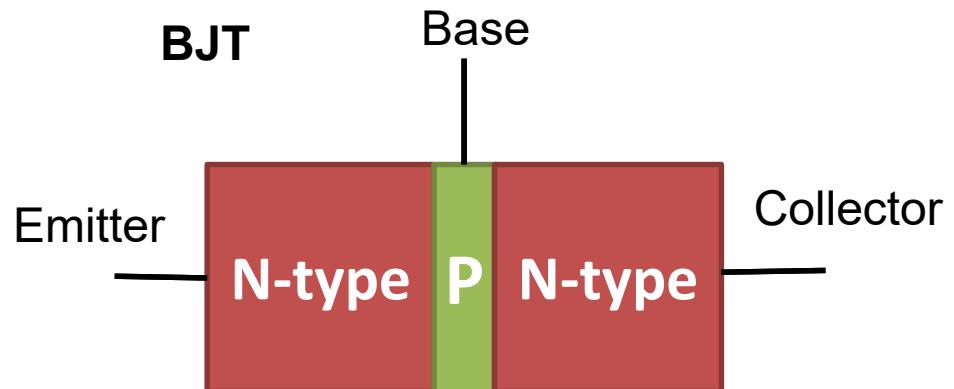
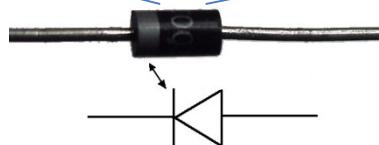
**Small-signal model**

**Depletion / diffusion capacitances**

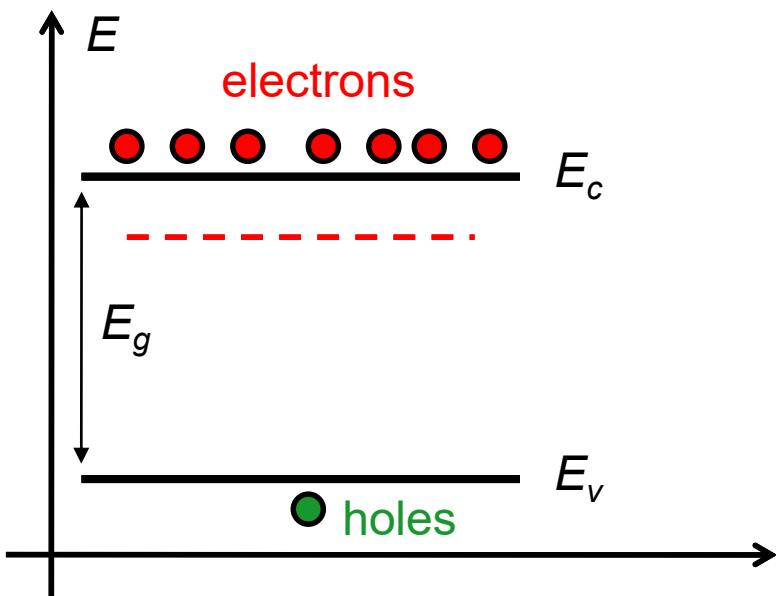
Reading: (Sedra/Smith 7<sup>th</sup> edition)  
1.10-1.12, 3.1 - 3.3

# Why pn-junctions?

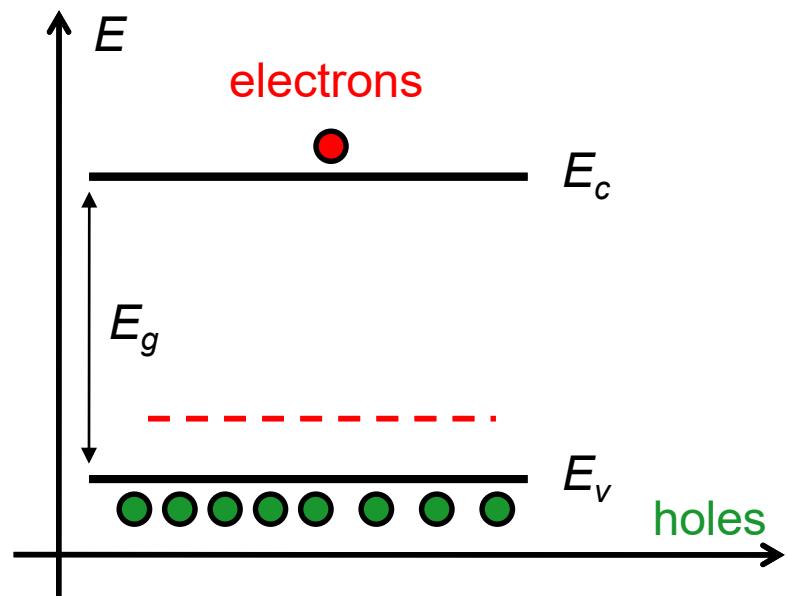
diode  
LED  
Solar cell



## N - type



## P - type



$N_D$  – donor concentration

$n_{n0}$  – electron (majority) concentration

$p_{n0}$  – hole (minority) concentration

**Electrons:** mobile, negative

**Ionized donors:** not mobile, positive

$N_A$  – acceptor concentration

$p_{p0}$  – hole (majority) concentration

$n_{p0}$  – electron (minority) concentration

**Holes:** mobile, positive

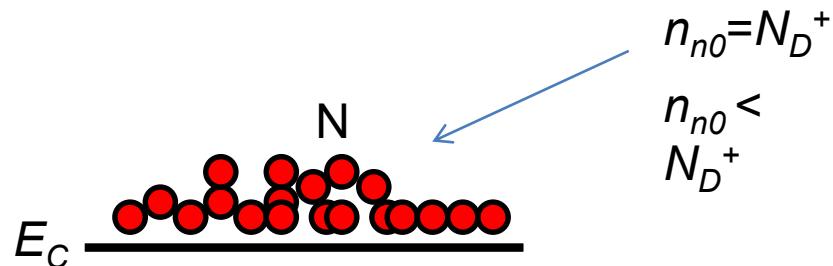
**Ionized acceptors:** not mobile, negative

# Recombination

$$n_0 \cdot p_0 = n_i^2 \quad \text{In equilibrium}$$

Important for base current in BJTs

If there is an excess number free carriers  $np > n_i^2$  electrons can recombine with holes to reach equilibrium

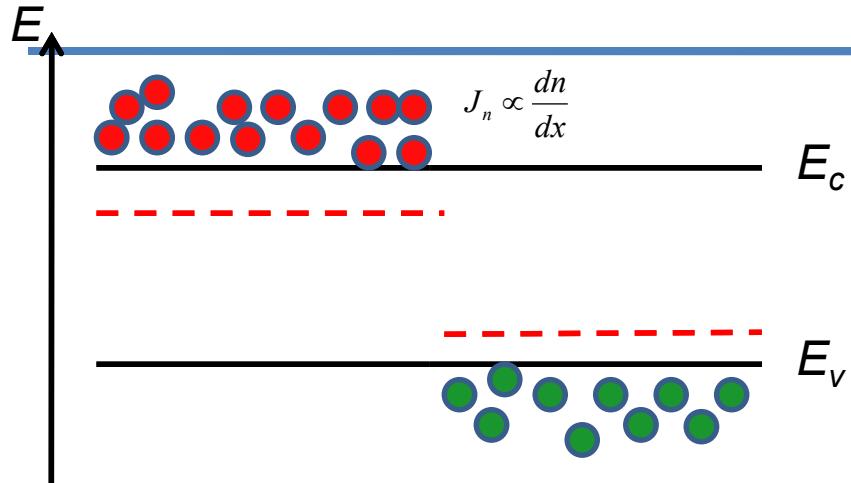


$$\begin{aligned} n_{n0} &= N_D^+ \\ n_{n0} &< N_D^+ \end{aligned}$$

Three electrons recombine  
leaving three positive ionized  
donor atoms



## PN-junction – band structure



+ Positive donor

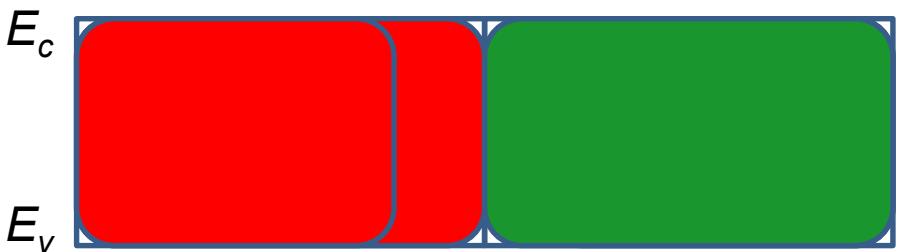
- Negative Acceptor

- + Free electrons
- Free holes

N-type

P-type

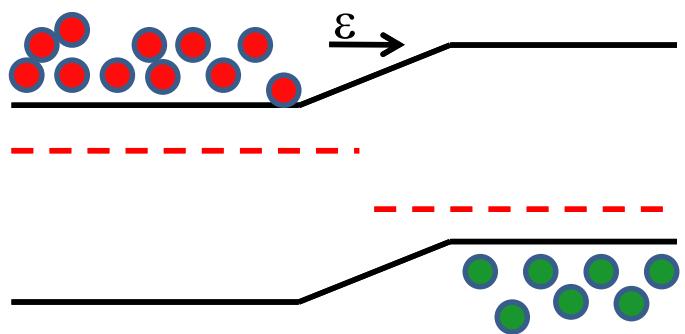
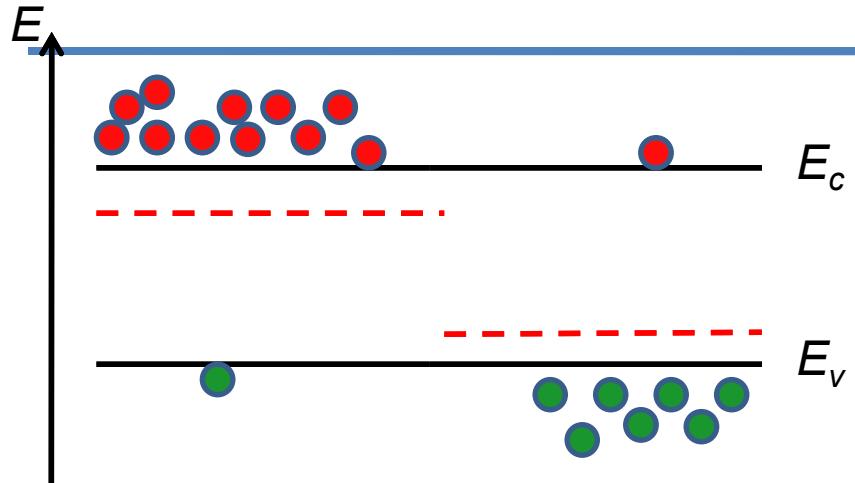
Large conc. difference -> large diffusion current  
No  $\varepsilon$ -field – no drift current



$$I_n = qA\mu_n \left[ n \cdot \varepsilon + V_T \frac{dn(x)}{dx} \right]$$

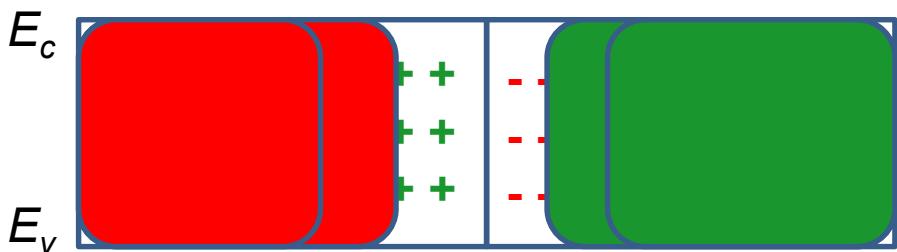
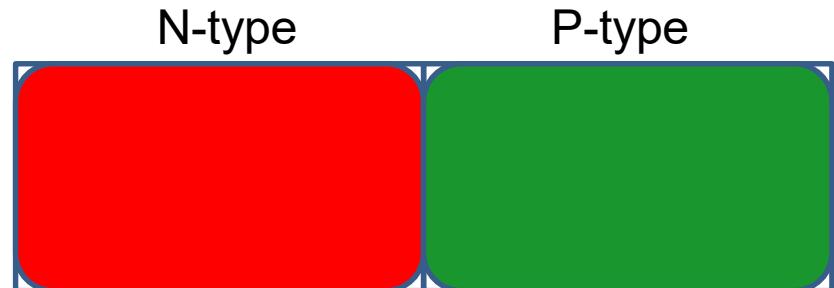


## PN-junction – band structure



+ Positive donor  
- Negative Acceptor

Free electrons  
Free holes



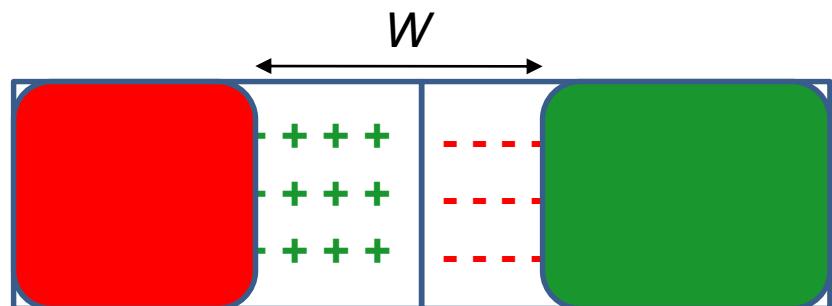
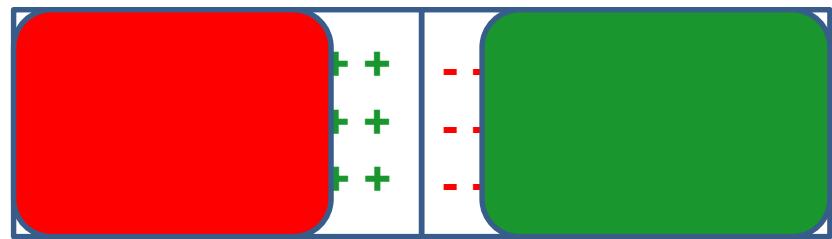
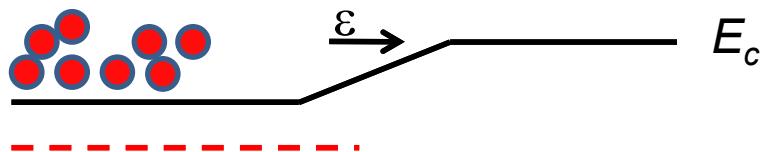
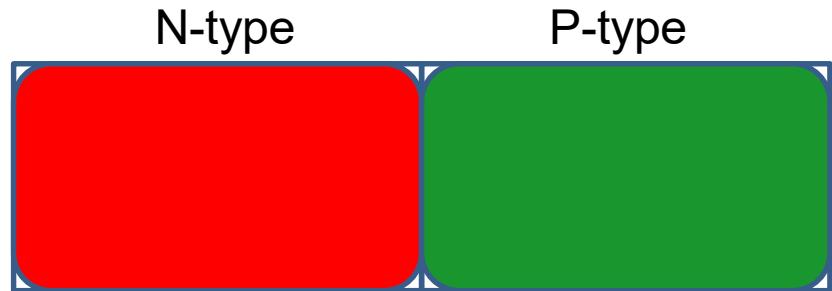
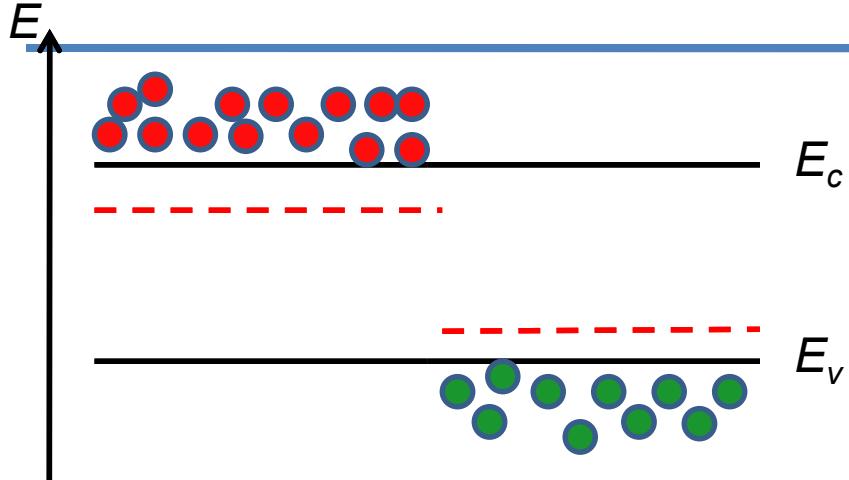
$$I_n = qA\mu_n \left[ n \cdot \epsilon + V_T \frac{dn(x)}{dx} \right]$$



## PN-junction – band structure

+ Positive donor  
- Negative Acceptor

Free electrons
Free holes



No free carriers in depletion region,  
charge neutral outside

# charge density -> electric field -> potential

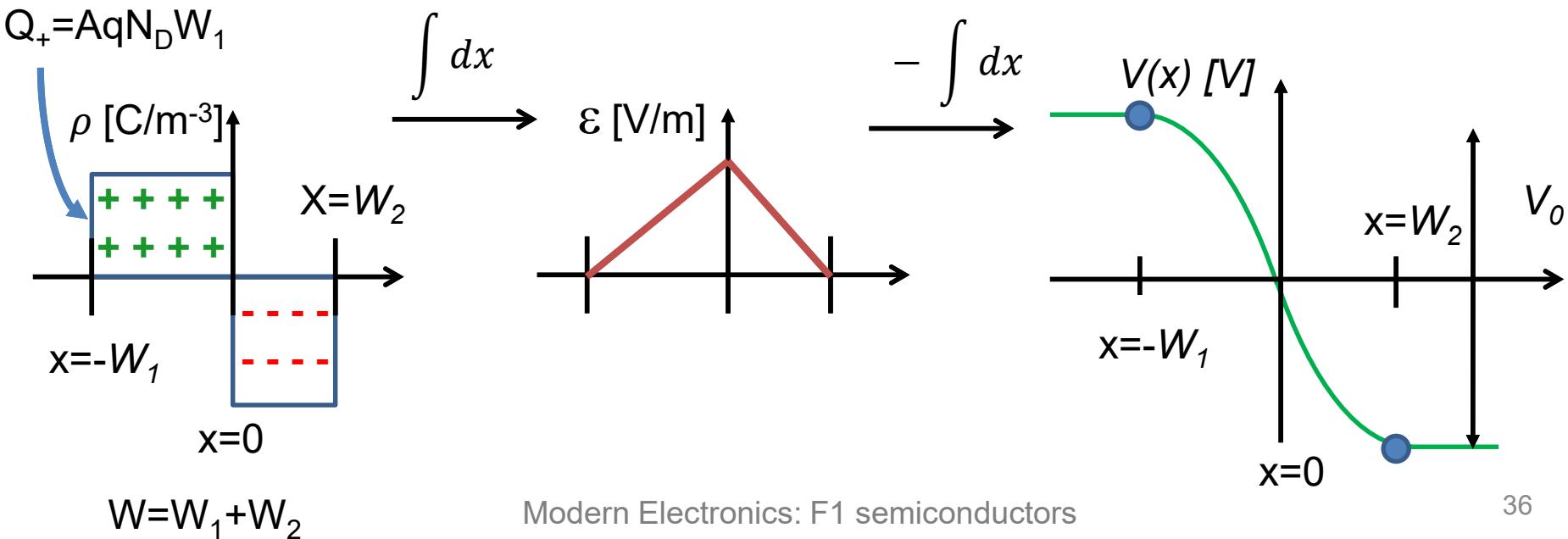
Poisson equation

$$\frac{\rho(x)}{\epsilon_s} = \frac{d\epsilon(x)}{dx} = -\frac{d^2V(x)}{dx^2}$$

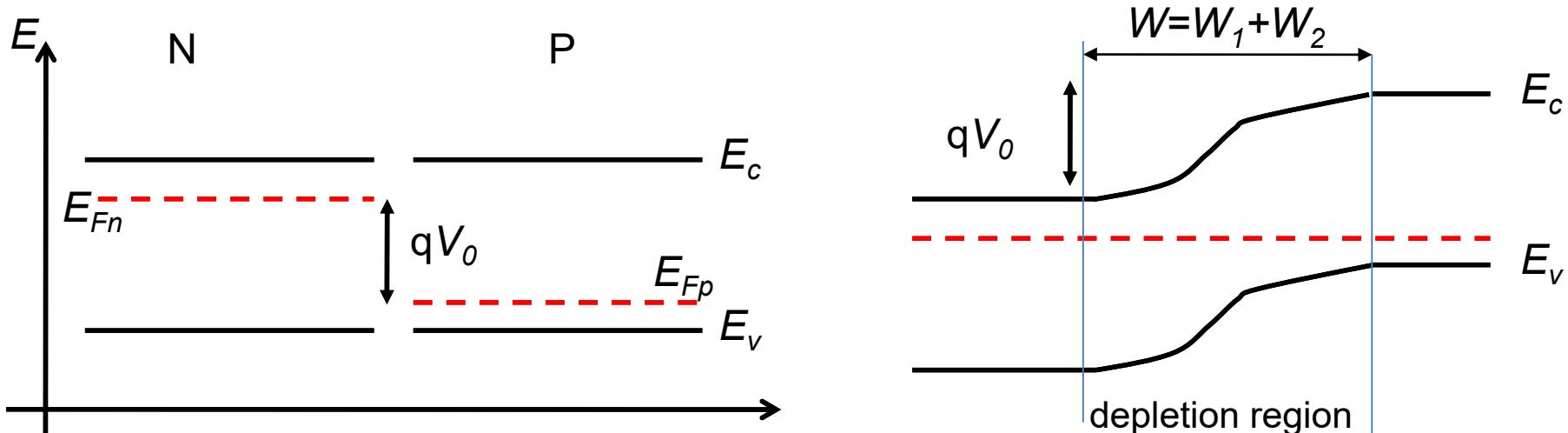
Depletion width

$$W = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) V_0}$$

- $\rho$  charge density [C/m<sup>-3</sup>]
- $\epsilon_s$  permitivity [F/m]
- $\epsilon$  electric field [V/m]
- $V$  potential [V]
- $V_0$  built-in potential [V]



# Built-in potential



$$qV_0 = E_{Fn} - E_{Fp} \quad (\text{built-in}) \text{ Potential barrier for electrons and holes!}$$

$$E_{Fn} = E_{Fi} + kT \ln\left(\frac{N_D}{n_i}\right)$$

$$E_{Fp} = E_{Fi} - kT \ln\left(\frac{N_A}{n_i}\right)$$

$$qV_0 = kT \left[ \ln\left(\frac{N_D}{n_i}\right) + \ln\left(\frac{N_A}{n_i}\right) \right] = kT \left[ \ln\left(\frac{N_D N_A}{n_i^2}\right) \right]$$

homework: calculate depletion width for Si pn-junction with  $N_D=N_A=10^{17} \text{ cm}^{-3}$  at bias voltages  $V=0 \text{ V}$  and  $V= -1 \text{ V}$ .

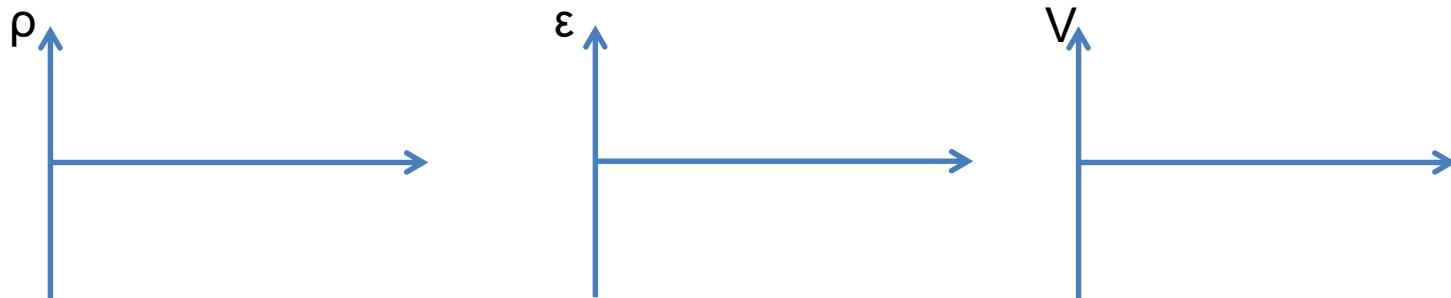
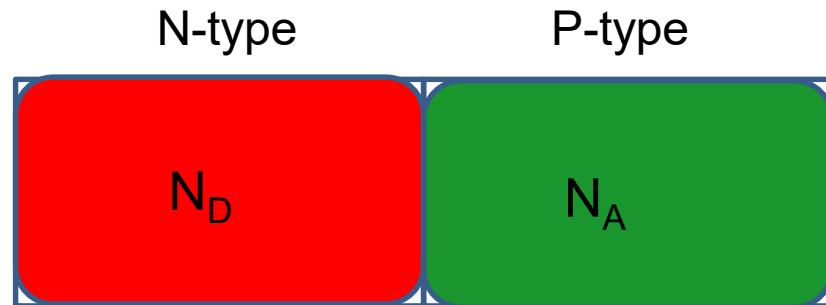
## 2 min exercise – asymmetric pn-junction

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- Consider a pn-junction with  $N_D = 5 * N_A$  (donors > acceptors)

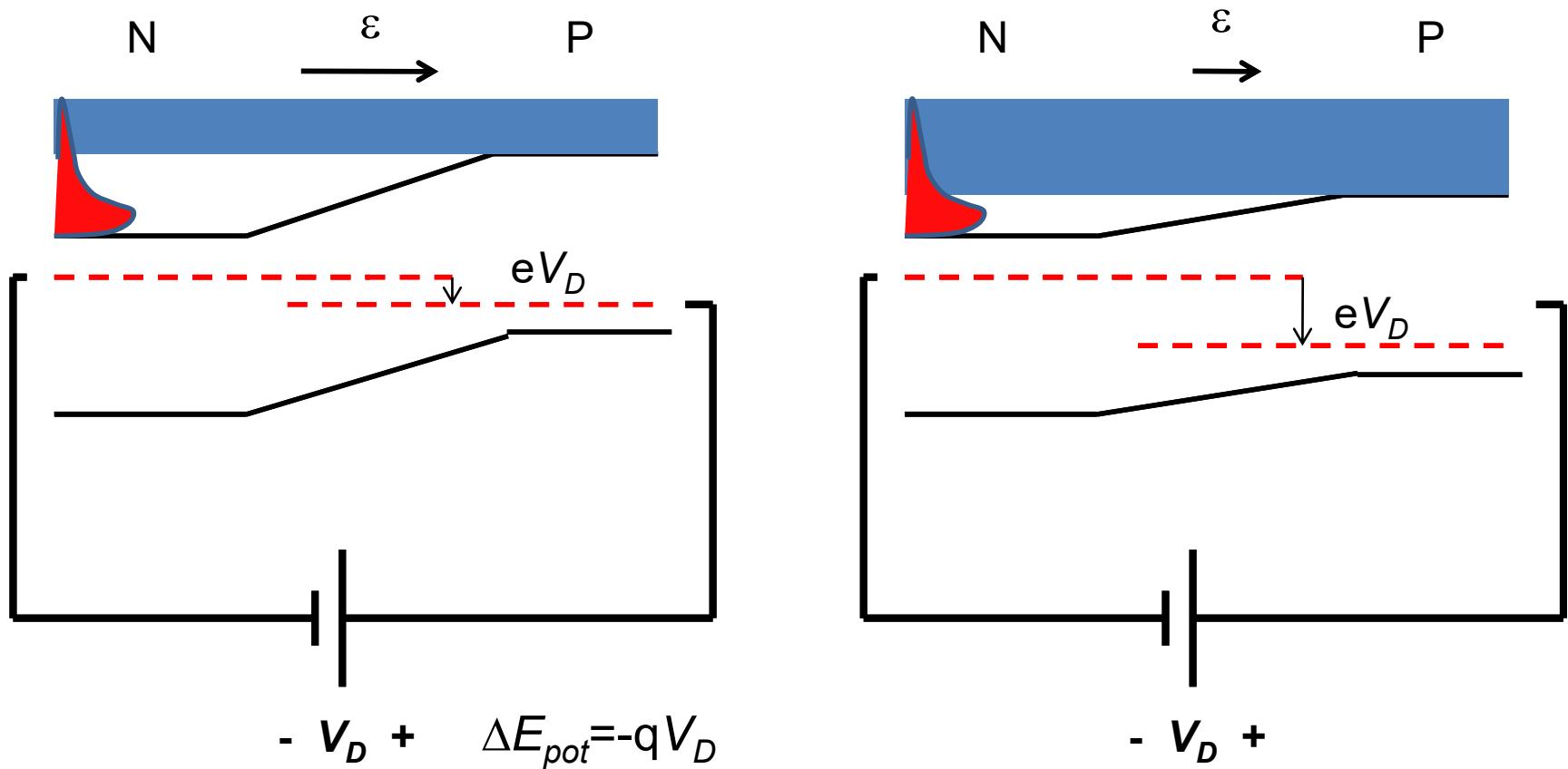
- Sketch the

- charge distribution
- electric field
- potential

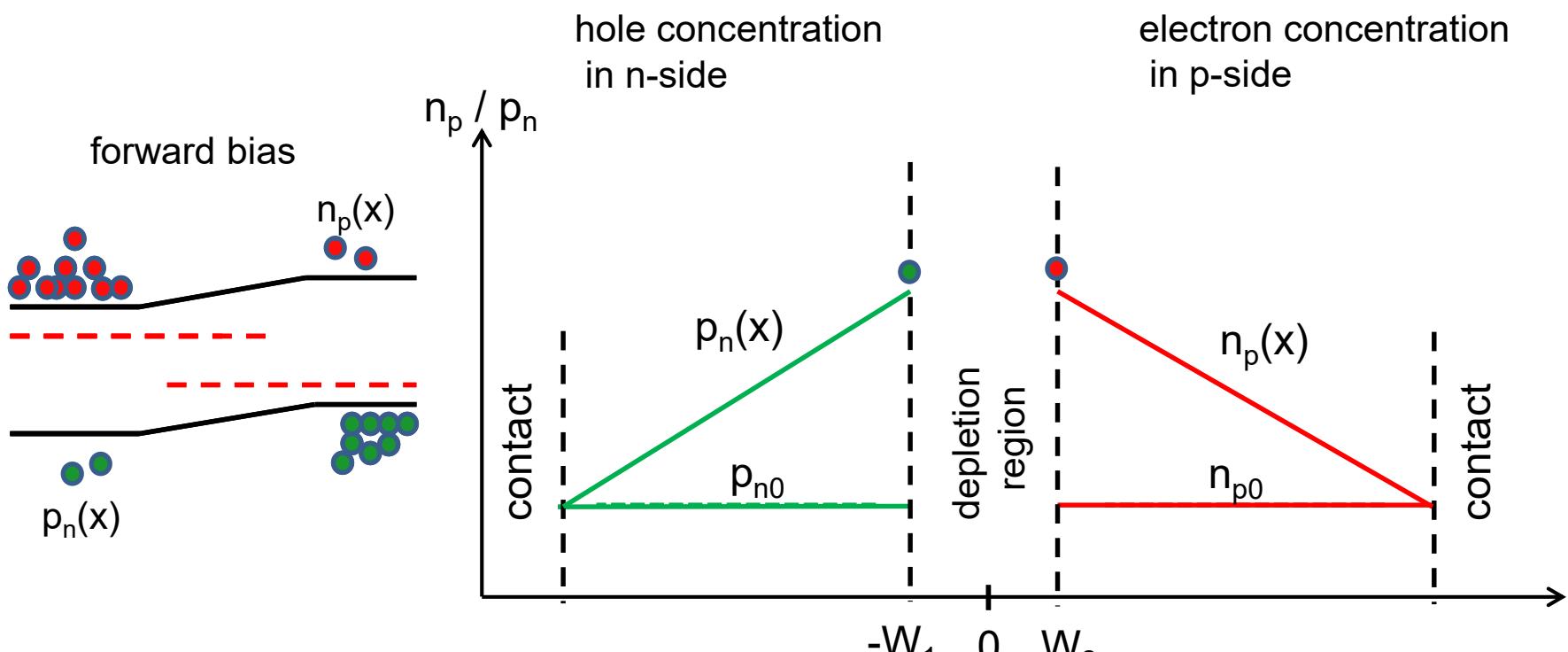


# Diode – forward bias

- Only top of the electron distribution can pass over the barrier
- Increase bias  $\rightarrow$  exponentially increasing amount of electrons can pass
- Reduce electric field but counteracting built-in potential
- Depletion width is reduced



# Minority carrier density at depletion edges at forward bias



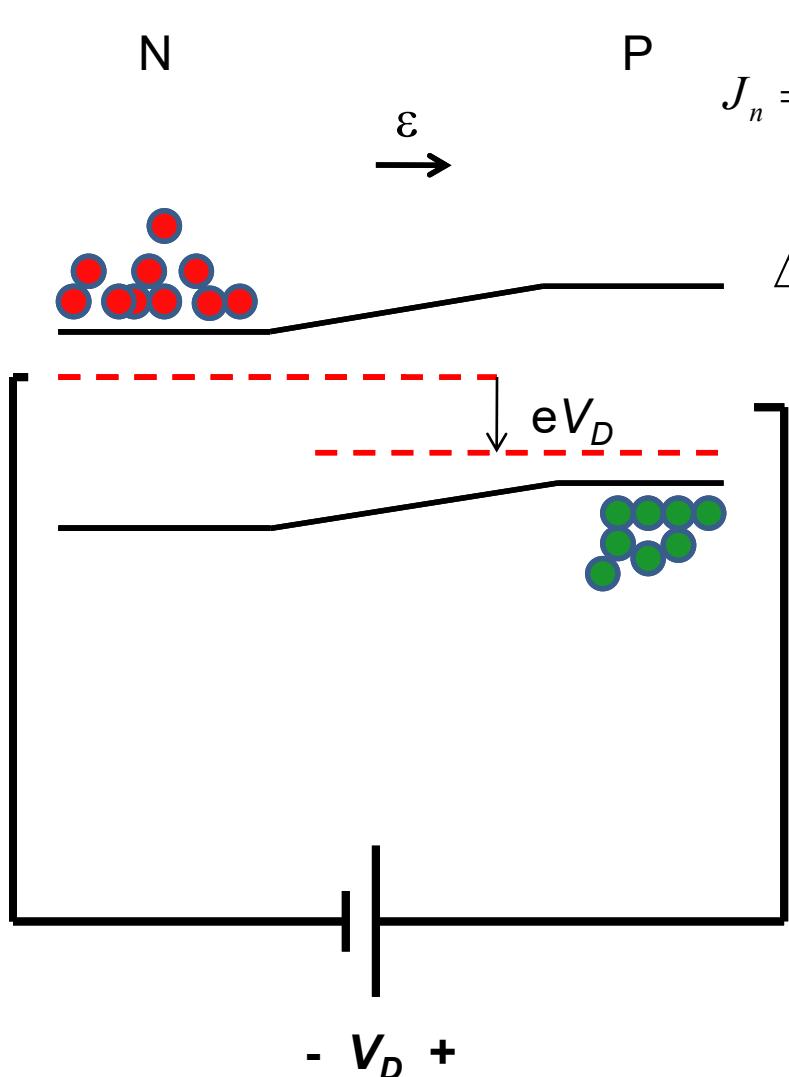
At equilibrium:

$$n_{p0} = n_i^2 / N_A$$
$$p_{n0} = n_i^2 / N_D$$

$$p_n(-W_1) = p_{n0} \exp\left(\frac{qV}{kT}\right)$$

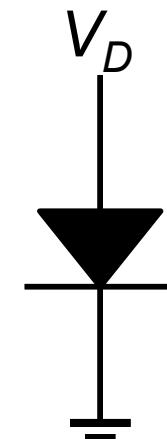
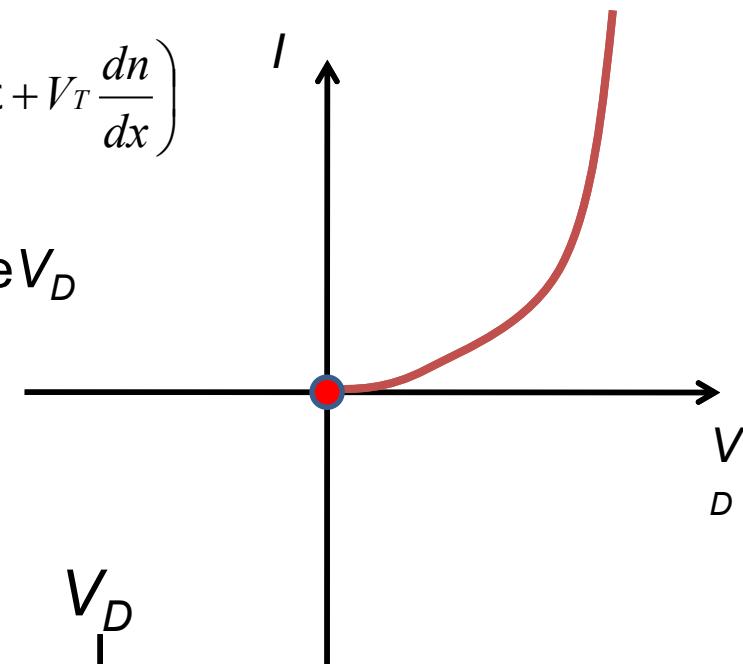
$$n_p(W_2) = n_{p0} \exp\left(\frac{qV}{kT}\right)$$

# Diode – forward bias



$$J_n = q\mu_n \left( n\varepsilon + V_T \frac{dn}{dx} \right)$$

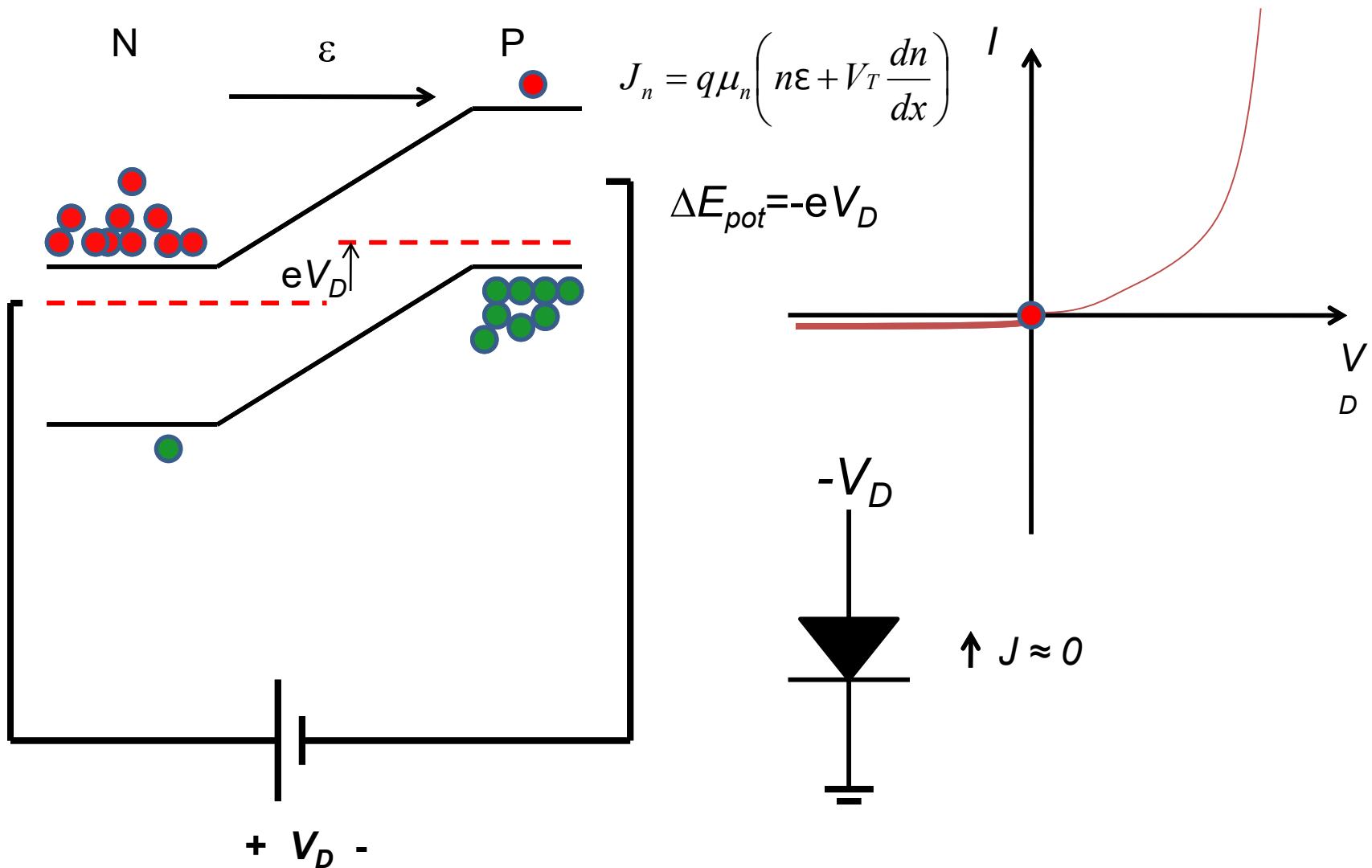
$$\Delta E_{pot} = -eV_D$$



$$J = J_S (\exp(V/V_T) - 1)$$

$$\begin{aligned} J_S &= q \left( \frac{D_p}{L_p} p_{n0} + \frac{D_n}{L_n} n_{p0} \right) \\ &= q \left( \frac{1}{L_p} \frac{kT}{q} \mu_p \frac{n_i^2}{N_D} + \frac{1}{L_n} \frac{kT}{q} \mu_n \frac{n_i^2}{N_A} \right) \end{aligned}$$

# Diode – reverse bias

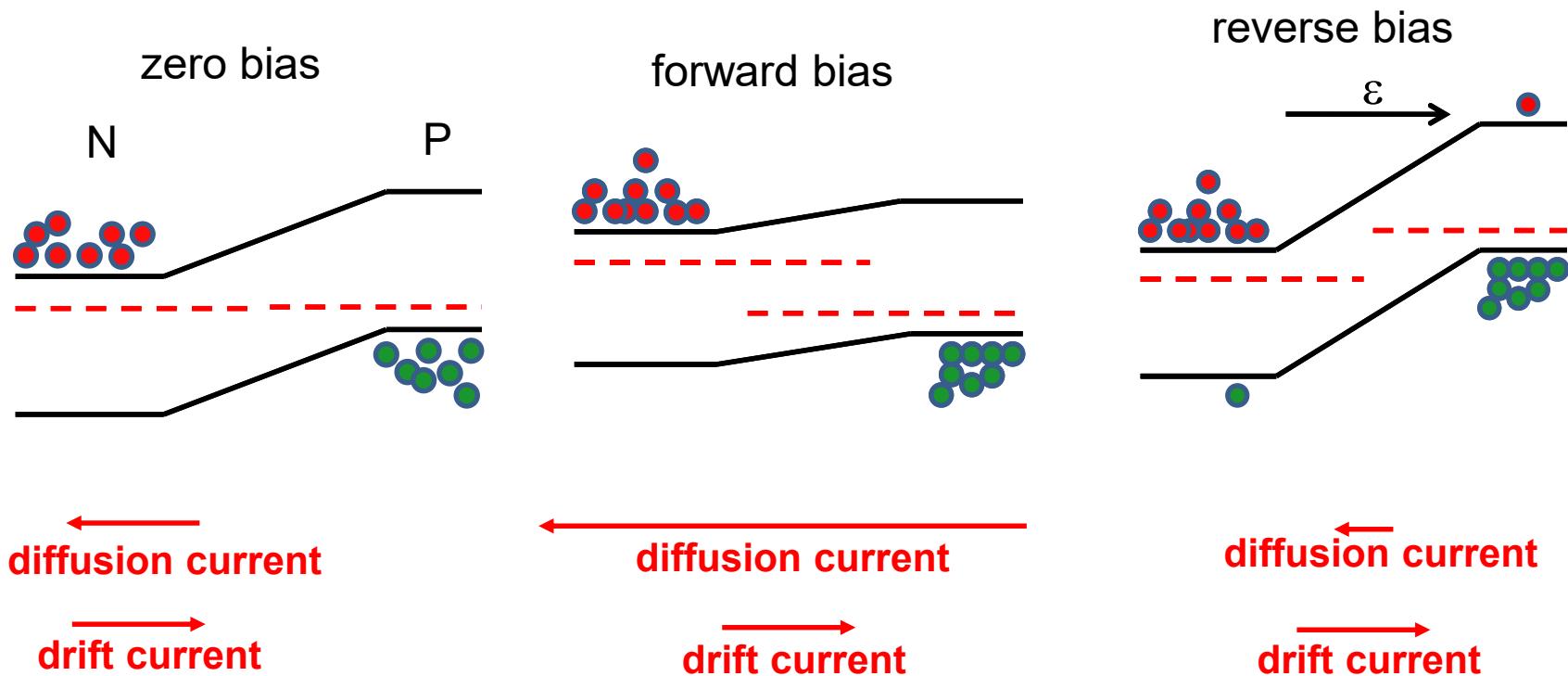


# Diode – total current

$$J = J_s(e^{qV/nkT} - 1)$$

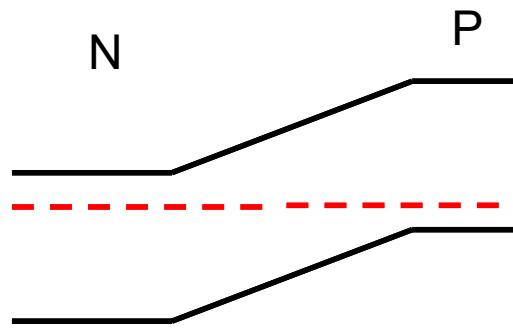
$J_s$  = saturation current density  
 $n$  = ideality factor (1-2)

$$\begin{aligned} J_s &= q \left( \frac{D_p}{L_p} p_{n0} + \frac{D_n}{L_n} n_{p0} \right) \\ &= q \left( \frac{1}{L_p} \frac{kT}{q} \mu_p \frac{n_i^2}{N_D} + \frac{1}{L_n} \frac{kT}{q} \mu_n \frac{n_i^2}{N_A} \right) \end{aligned}$$

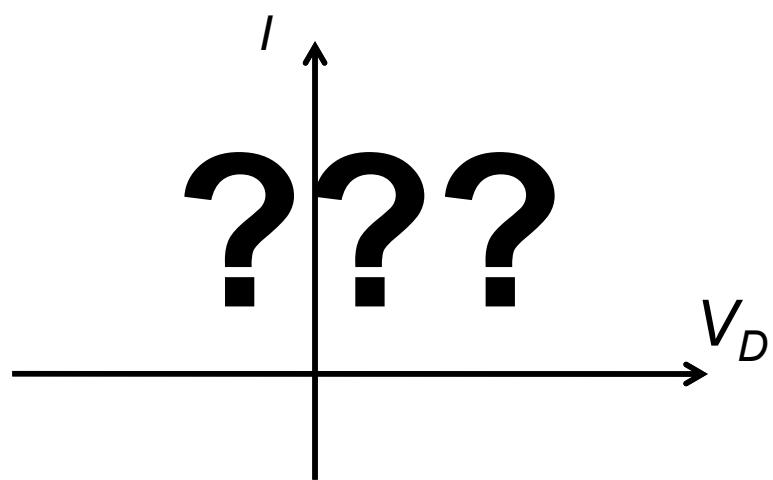
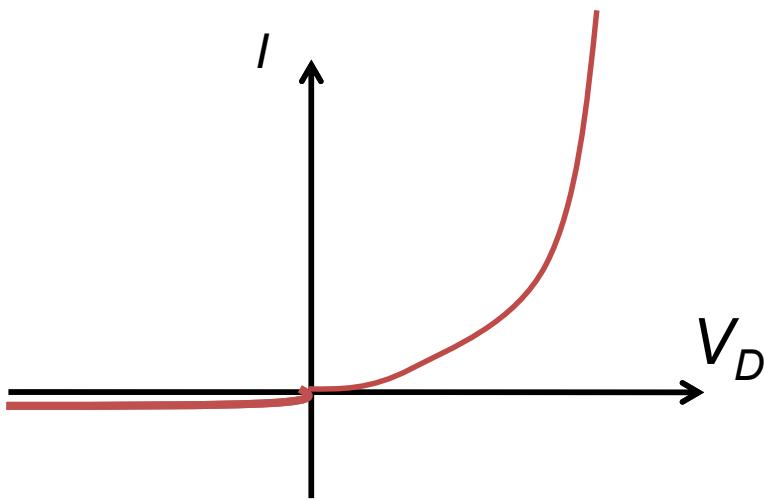
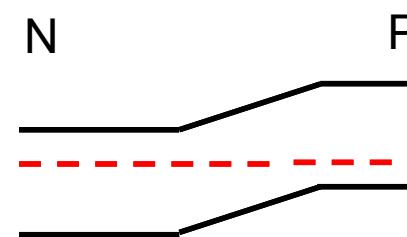


## 1 min excercise – Si vs Ge diode

Si ( $E_g=1.11$  eV) pn-junction

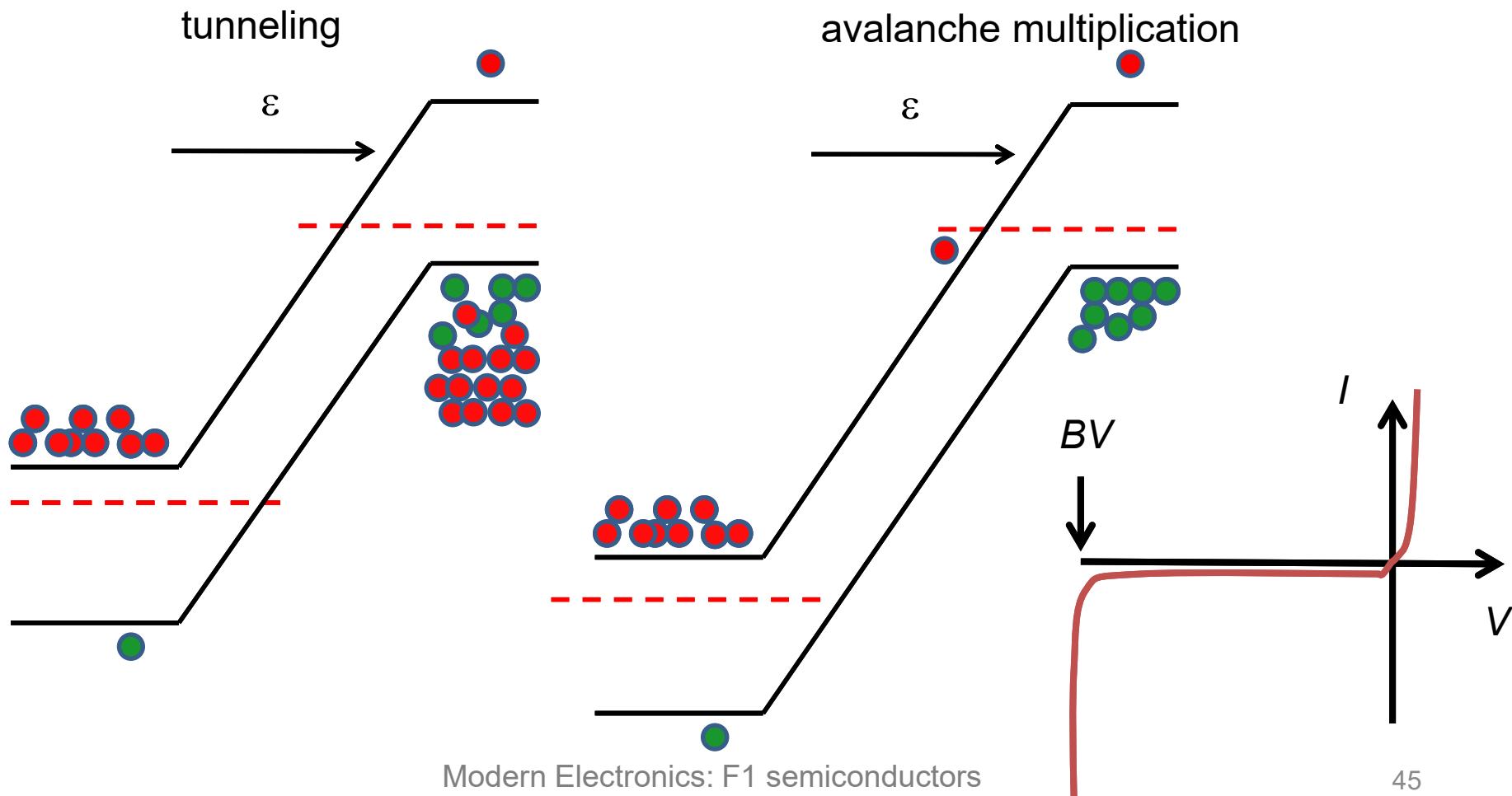


Ge ( $E_g=0.67$  eV) pn-junction



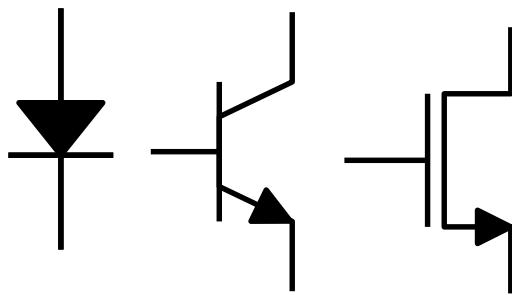
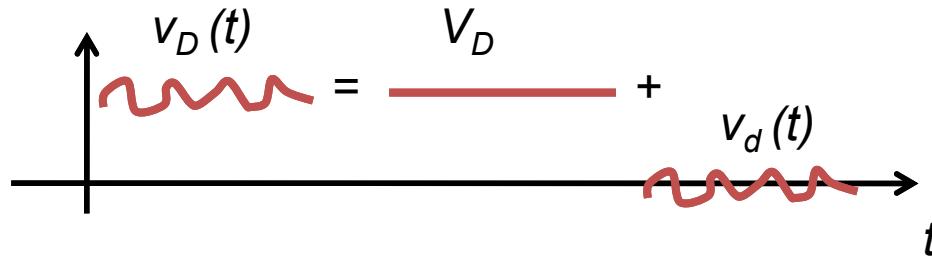
# Zener tunneling / Avalanche Breakdown

- High reverse bias gives enough e-field to enable tunneling
- High energy of electron/hole can be lost by creating new e-h pairs through impact ionization

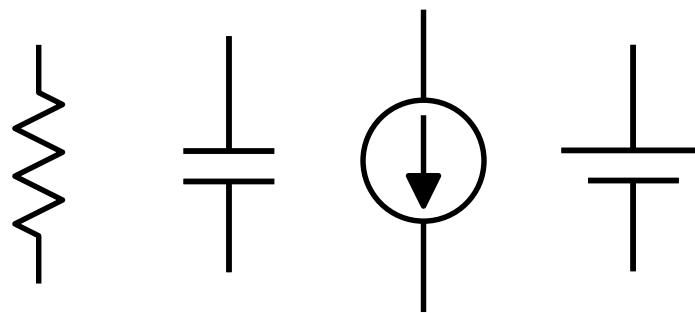


# small-signal model

- Want to replace non-linear components with linear ones to simplify circuit calculations
- Constant  $V_D$  + small varying  $v_d(t)$  = total voltage  $v_D(t)$ .

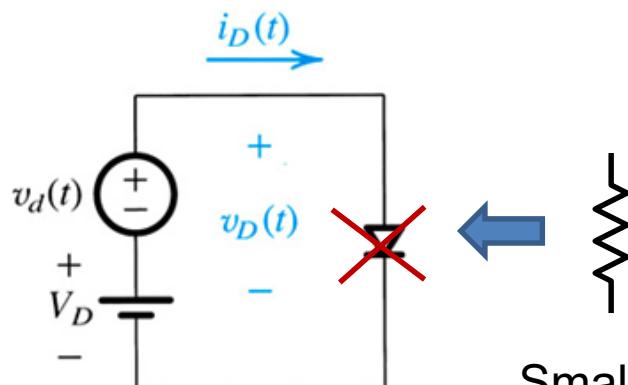


Linearize by  
Taylor expansion



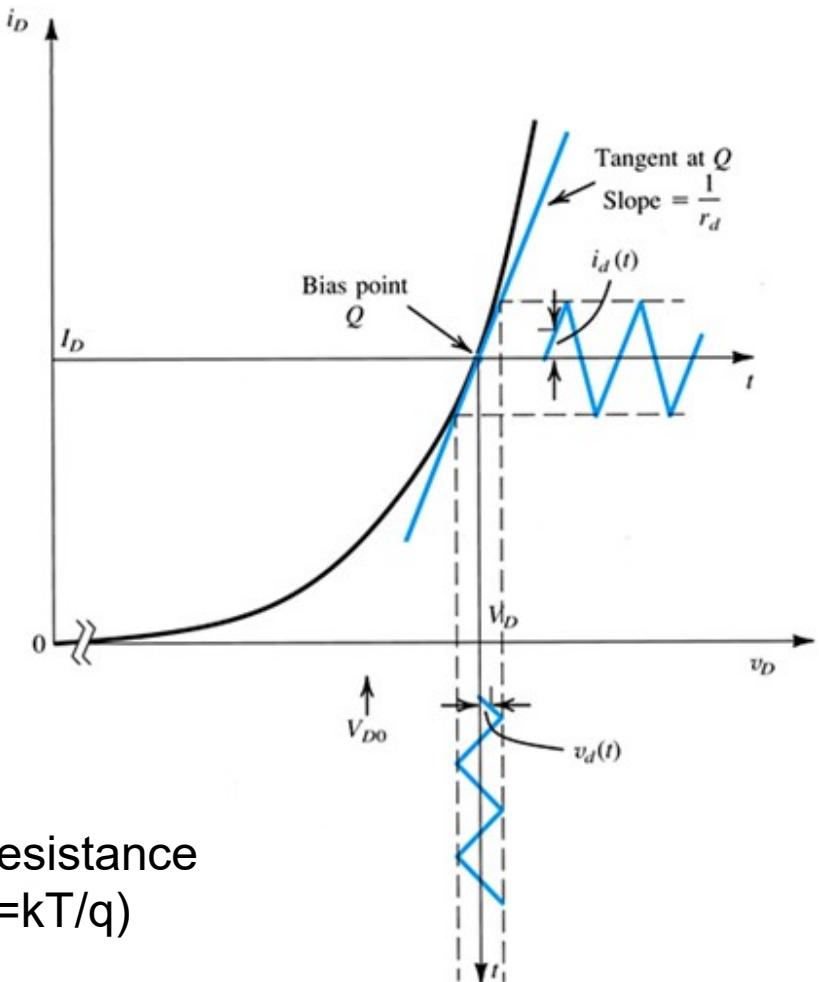
# Small-signal model of diode (3.3.7)

- Diode IV is non-linear so difficult to do calculations
- Apply constant  $V_D$  and small varying  $v_d(t)$
- Diode IV almost linear in a small region



Small signal resistance  
 $r_d = V_T / I_D$  ( $V_T = kT/q$ )

$$J = J_s (e^{qV_D/kT} - 1) \approx J_s e^{qV_D/kT}$$

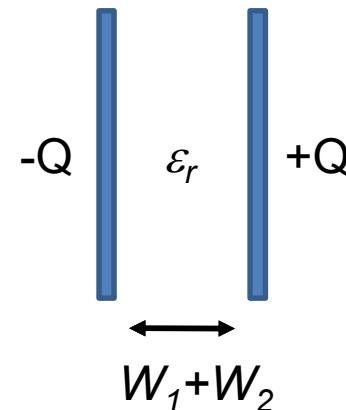


# depletion-region / junction capacitance

Example: parallel plate capacitor

Definition:  $C = \frac{Q}{V}$

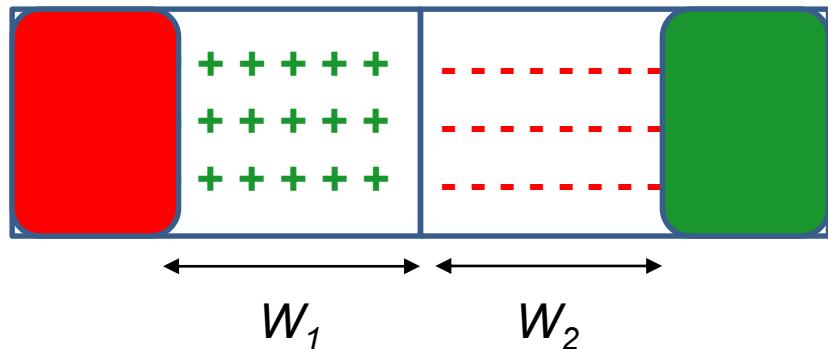
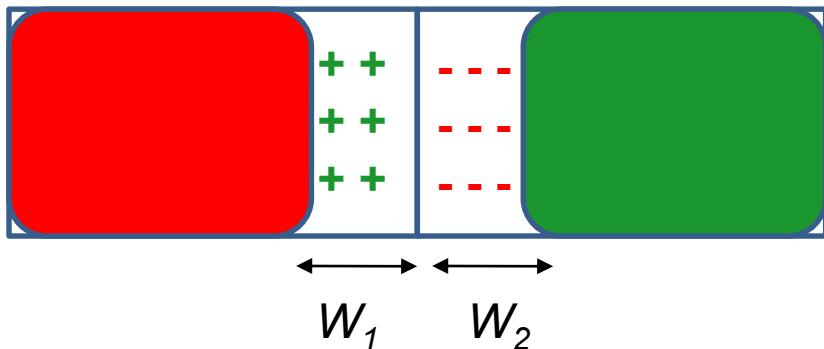
$$C = \frac{\epsilon_r \epsilon_0}{W_1 + W_2} A$$



$$Q_J = A \cdot q \cdot N_D (W_1 + W_2)$$

$$W \propto \sqrt{V_0 + V_R}$$

Non-linear relationship between  $V_R$  and  $Q \rightarrow C(V_R)$



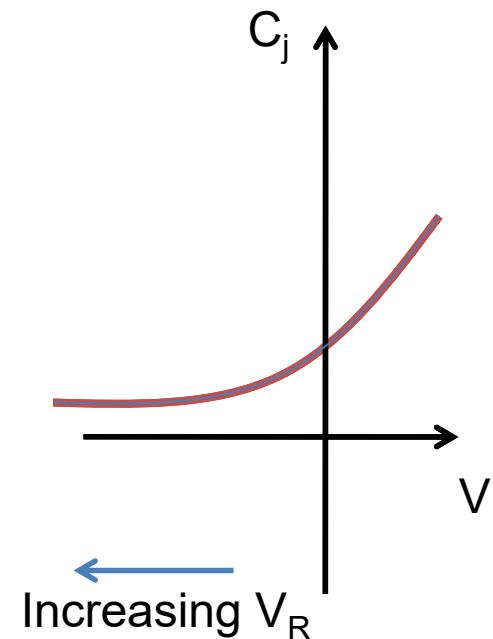
# depletion region / junction capacitance

Definition  $C_j = \frac{dQ_J}{dV_R}$  Applied bias ( $V_R$ ) changes depletion width

Depletion width  $W = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) (V_0 + V_R)}$

Charge on either side  $Q_J = AqN_D W_1 = Aq \frac{N_D N_A}{N_A + N_D} W$

$$C_J = A \sqrt{2\epsilon_s q \left( \frac{N_D N_A}{N_A + N_D} \right)} \frac{1}{\sqrt{V_0 + V_R}}$$



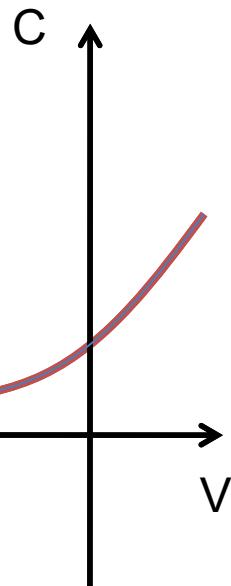
# 1 min exercise – pn-junction with forward bias

In forward bias there is a diffusion current flowing through the junction. How does the CV curve behave?

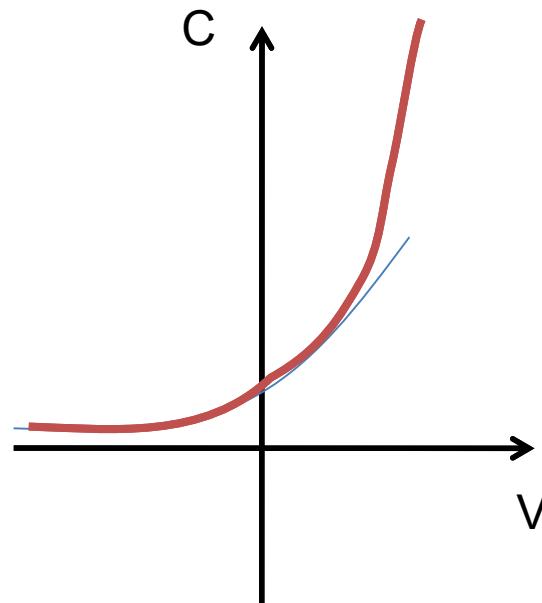
— Only  $C_j$

—  $C = \frac{dQ}{dV}$

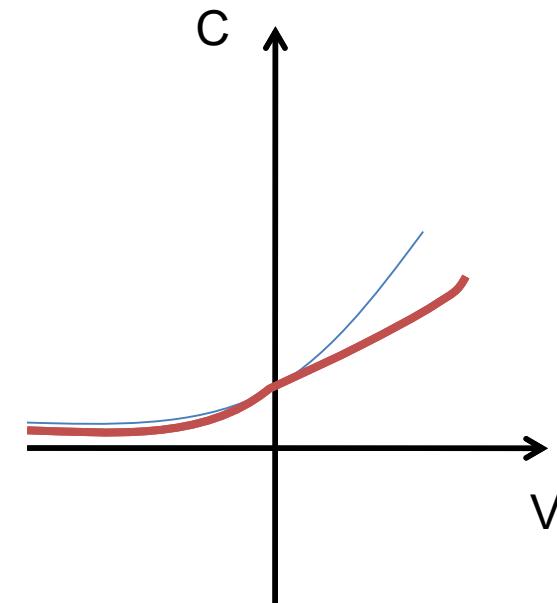
A



B



C



No change in capacitance

Larger capacitance for forward bias

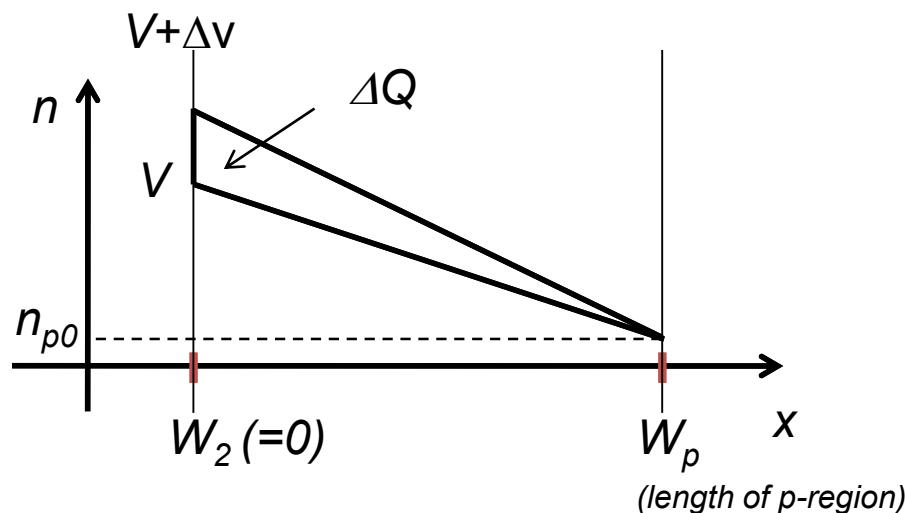
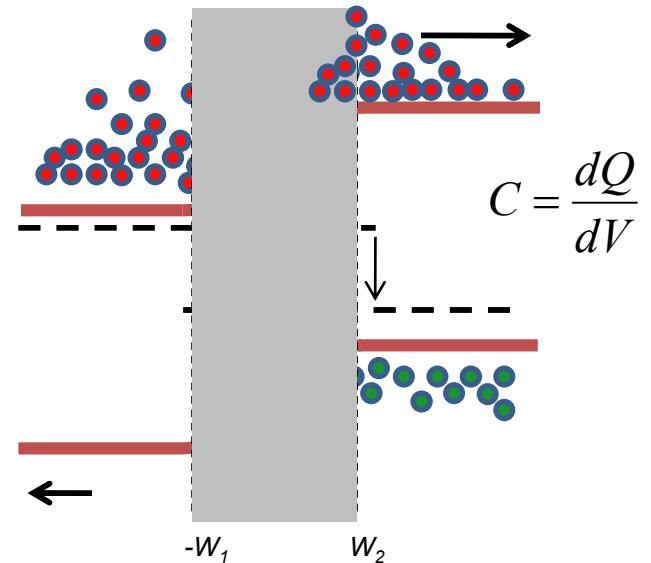
Lower capacitance for forward bias

# diffusion capacitance

Forward bias  $\rightarrow$  inject minority carriers in neutral regions (electrons in p-region and holes in n-region)  $\rightarrow$  extra charge  $dQ$ .

$$n_p(W_2) = n_{p0} \exp\left(\frac{qV}{kT}\right)$$

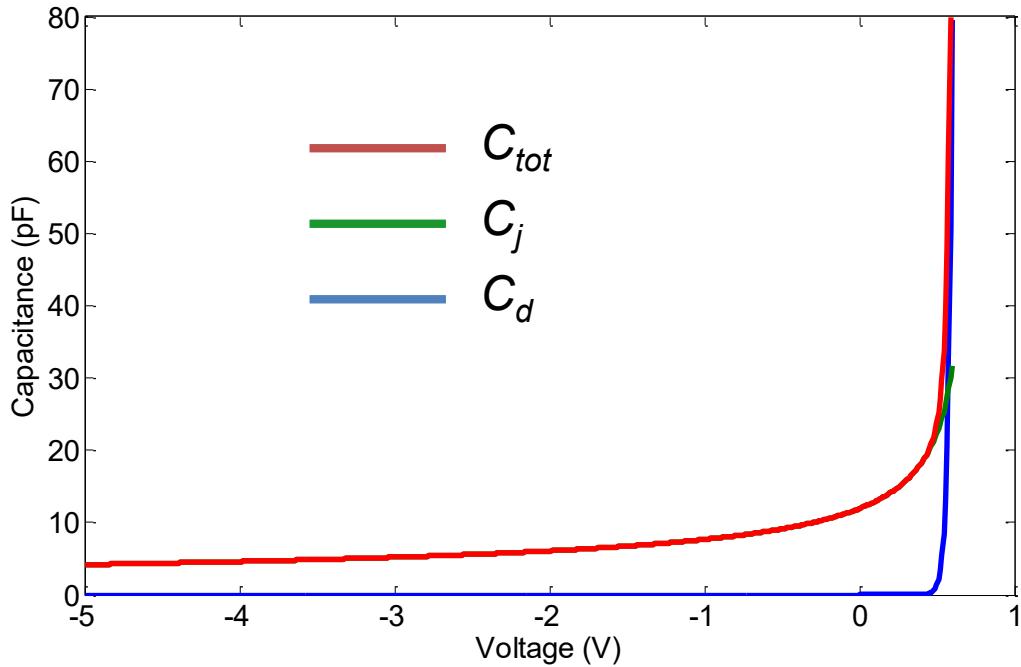
$$n_p(W_p) = n_{p0}$$



# Total capacitance

$$C_{\text{tot}} = C_j + C_d$$

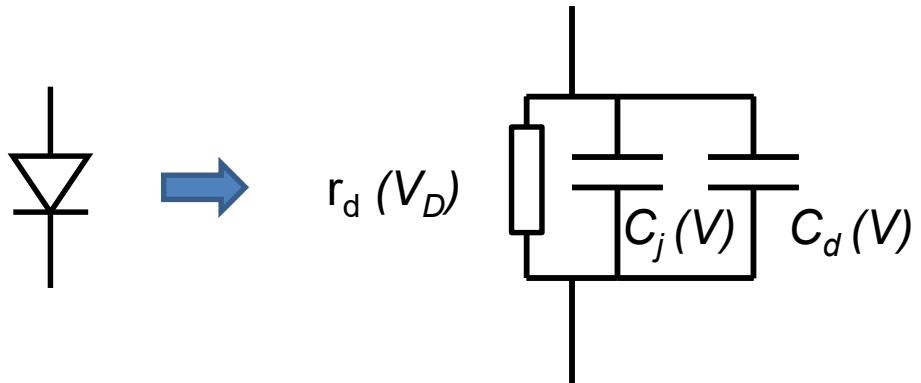
Add capacitances in parallel



$C_j$ : dominates for reverse bias

$C_{\text{diff}}$ : dominates for forward bias.

$C_{\text{diff}} \approx 0$  for reverse bias.



## Summary – pn-junctions

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- pn-junctions used in LEDs, solar cells, BJT, MOSFETs
- Poissons equations: charge distribution -> electric field -> potential
- Drift is balanced by diffusion in unbiased pn-junction
- Current given by ideal diode equation:
  - Forward bias: current (diffusion) increases exponentially
  - Reverse bias: current saturates
- Capacitances:
  - Junction capacitance due to change in depletion width (dominates reverse bias)
  - Diffusion capacitance due to change in charge in p/n region (dominates forward bias)
- Small-signal model (ok for  $V \ll V_T$ ): replace diode with resistor + capacitances

$$J = J_s(e^{qV/nkT} - 1)$$