



Lecture 4

EITN75 2018
Chapter 12, 13
Modulation and diversity



Receiver noise: repetition

Antenna noise is usually given as a noise temperature!

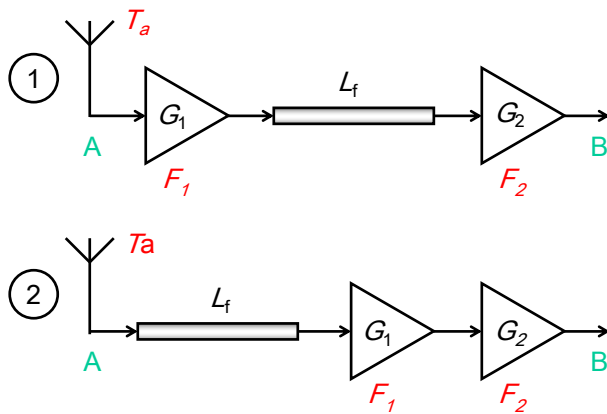
Noise factors or noise figures of different system components are determined by their implementation.

When adding noise from several sources, remember to convert *from* the dB-scale noise figures that are usually given, before starting your calculations.

A passive attenuator in (room temperature), like a transmission line, has a noise figure/factor equal to its attenuation.

Receiver noise A final example

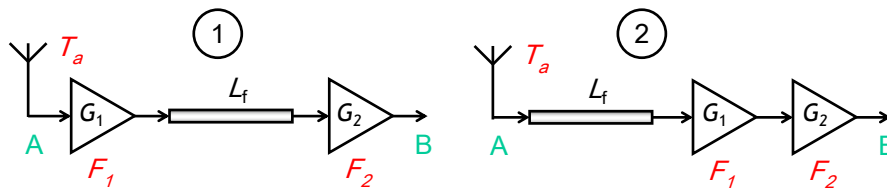
Let's consider two (incomplete) receiver chains with **equal gain** from point A to B:



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Receiver noise A final example



Equivalent noise sources at point A for the two cases would have the power spectral densities:

$$\textcircled{1} \quad N_0 = kT_a + k \left((F_1 - 1) + (L_f - 1) / G_1 + (F_2 - 1) L_f / G_1 \right) T_0$$

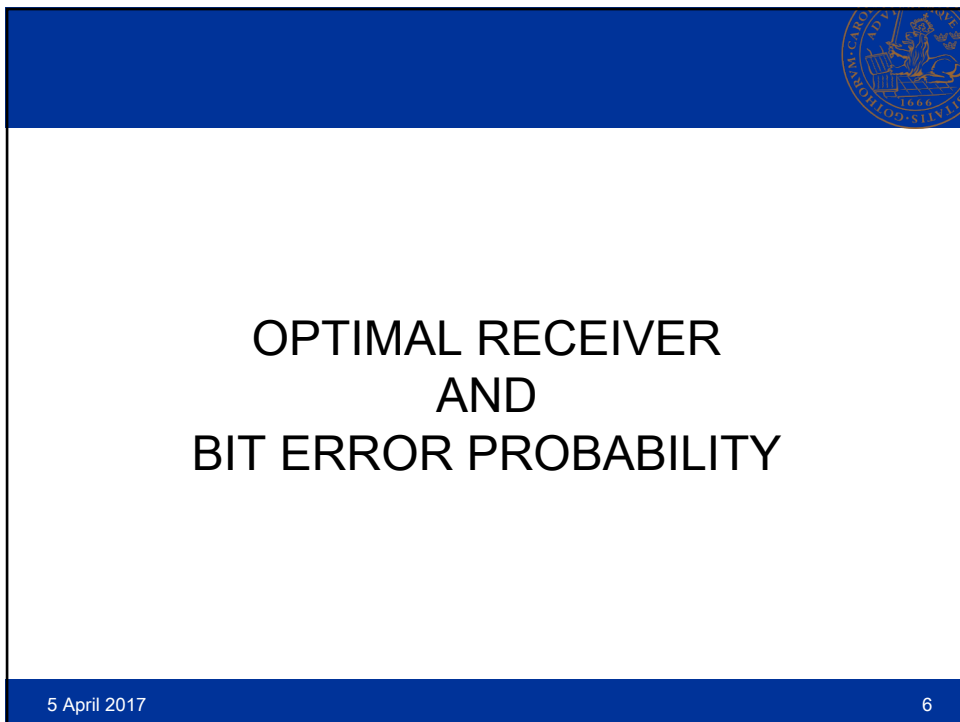
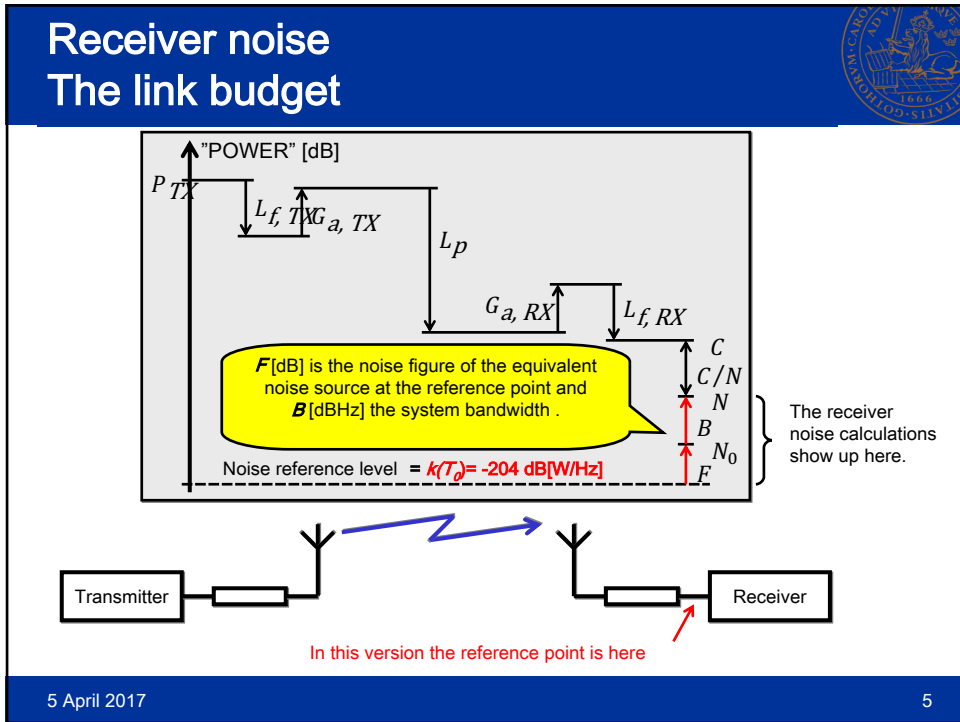
$$\textcircled{2} \quad N_0 = kT_a + k \left((L_f - 1) + (F_1 - 1) L_f + (F_2 - 1) L_f / G_1 \right) T_0$$

Two of the noise contributions are **equal** and two are **larger** in (2), which makes (1) a better arrangement.

This is why we want a low-noise amplifier (LNA) close to the antenna.

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Optimal receiver

What do we mean by optimal?



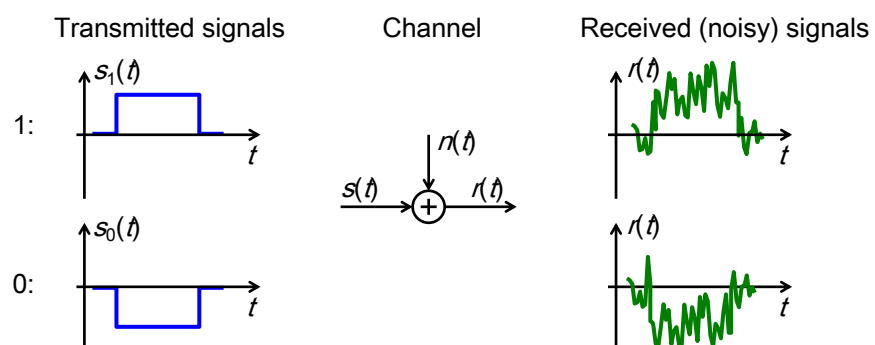
Every receiver is optimal according to some criterion!

We would like to use optimal in the sense that we achieve a minimal probability of error.

In all calculations, we will assume that the noise is white and Gaussian – unless otherwise stated.

Optimal receiver

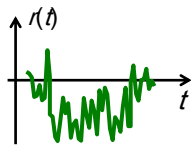
Transmitted and received signal



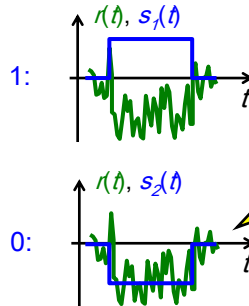
Optimal receiver A first "intuitive" approach

"Look" at the received signal and compare it to the possible received **noise free** signals. Select the one with the best "fit".

Assume that the following signal is received:



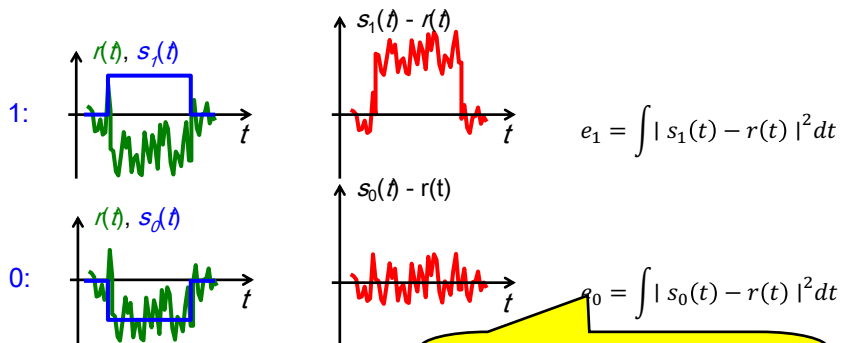
Comparing it to the two possible **noise free** received signals:



This seems to be the best "fit". We assume that "0" was the transmitted bit.

Optimal receiver Let's make it more measurable

To be able to better measure the "fit" we look at the **energy** of the **residual** (difference) between received and the possible noise free signals:

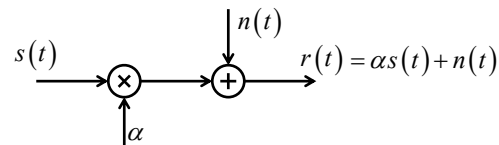


This residual energy is much smaller. We assume that "0" was transmitted.

Optimal receiver The AWGN channel



The additive white Gaussian noise (AWGN) channel



- $s(t)$ - transmitted signal
- α - channel attenuation
- $n(t)$ - white Gaussian noise
- $r(t)$ - received signal

In our digital transmission system, the transmitted signal $s(t)$ would be one of, let's say M , different alternatives $s_0(t), s_1(t), \dots, s_{M-1}(t)$.

Optimal receiver The AWGN channel, cont.



It can be shown that finding the minimal residual energy (as we did before) is the optimal way of deciding which of $s_0(t), s_1(t), \dots, s_{M-1}(t)$ was transmitted over the AWGN channel (if they are equally probable).

For a received $r(t)$, the residual energy e_i for each possible transmitted alternative $s_i(t)$ is calculated as

$$e_i = \int |r(t) - \alpha s_i(t)|^2 dt = \int (r(t) - \alpha s_i(t))(r(t) - \alpha s_i(t))^* dt$$

$$= \int |r(t)|^2 dt - 2 \operatorname{Re} \left\{ \alpha^* \int r(t) s_i^*(t) dt \right\} + |\alpha|^2 \int |s_i(t)|^2 dt$$

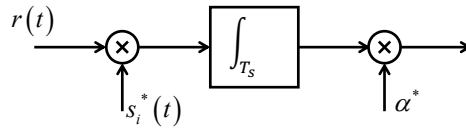
Same for all i

Same for all i , if the transmitted signals are of equal energy.

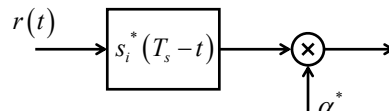
The residual energy is minimized by maximizing this part of the expression.

Optimal receiver The AWGN channel, cont.

The central part of the comparison of different signal alternatives is a correlation, that can be implemented as a correlator:



or a matched filter



where T_s is the symbol time (duration).

The real part of the output from either of these is sampled at $t = T_s$

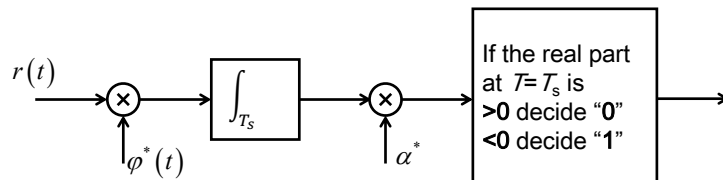
Optimal receiver Antipodal signals

In antipodal signaling, the alternatives (for "0" and "1") are

$$s_0(t) = \varphi(t)$$

$$s_1(t) = -\varphi(t)$$

This means that we only need ONE correlation in the receiver for simplicity:



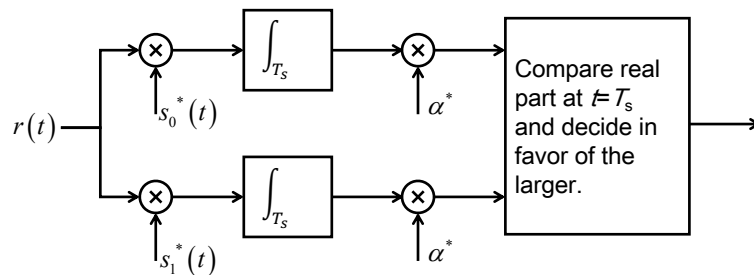
Optimal receiver Orthogonal signals



In binary orthogonal signaling, with equal energy alternatives $s_0(t)$ and $s_1(t)$ (for "0" and "1") we require the property:

$$\langle s_0(t), s_1(t) \rangle = \int s_0(t)s_1^*(t)dt = 0$$

The approach here is to use two correlators:



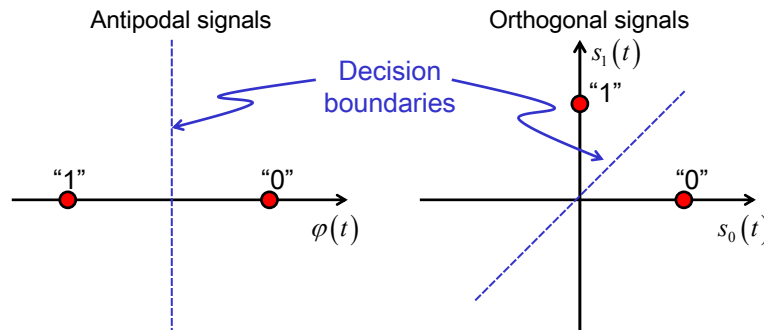
(Only one correlator is needed, if we correlate with $(s_0(t) - s_1(t))^*$.)

Optimal receiver Interpretation in signal space



The correlations performed on the previous slides can be seen as inner products between the received signal and a set of basis functions for a signal space.

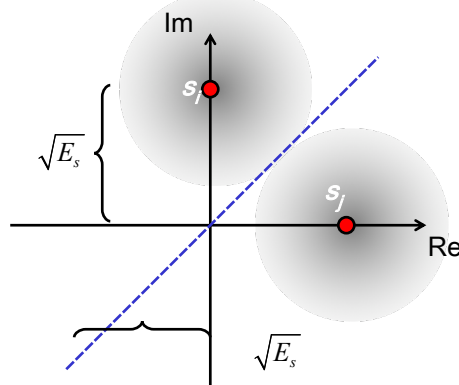
The resulting values are coordinates of the received signal in the signal space.



Optimal receiver The noise contribution



Assume a 2-dimensional signal space, here viewed as the complex plane



- Noise-free positions
- Noise pdf.

This normalization of axes implies that the noise centered around each alternative is complex Gaussian

$$N(0, \sigma^2) + jN(0, \sigma^2)$$

with variance $\sigma^2 = N_0/2$ in each direction.

Fundamental question: What is the probability that we end up on the wrong side of the decision boundary?

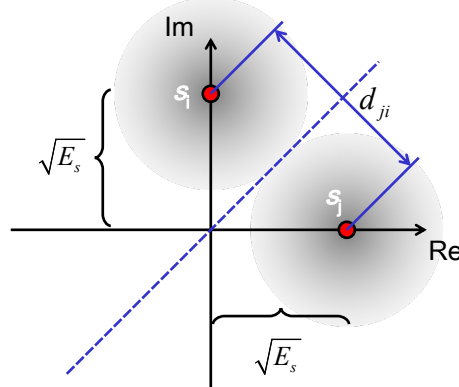
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Optimal receiver Pair-wise symbol error probability



What is the probability of deciding s_i if s_j was transmitted?



We need the distance between the two symbols. In this orthogonal case:

$$d_{ji} = \sqrt{\sqrt{E_s}^2 + \sqrt{E_s}^2} = \sqrt{2E_s}$$

The probability of the noise pushing us across the boundary at distance $d_{ji}/2$ is

$$\Pr(s_j \rightarrow s_i) = Q\left(\frac{d_{ji}/2}{\sqrt{N_0/2}}\right) = Q\left(\frac{\sqrt{E_s}}{\sqrt{N_0}}\right)$$

The book uses **erfc()** instead of **Q()**.

$$= \frac{1}{2} \operatorname{erfc}\left(\sqrt{\frac{E_s}{2N_0}}\right)$$

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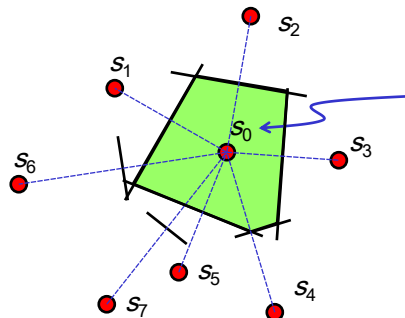
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Optimal receiver The union bound



Calculation of symbol error probability is simple for two signals!

When we have many signal alternatives, it may be impossible to calculate an exact symbol error rate.



When s_0 is the transmitted signal, an error occurs when the received signal is outside this polygon.

The UNION BOUND is the **sum of all pair-wise error probabilities**, and constitutes an upper bound on the symbol error probability.

The higher the SNR, the better the approximation!

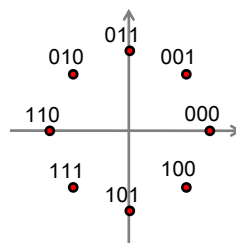
Optimal receiver Symbol- and bit-error rates



The calculations so far have discussed the probabilities of selecting the incorrect signal alternative (symbol), i.e. the symbol-error rate.

When each symbol carries K bits, we need 2^K symbols.

Gray coding is used to assigning bits so that the nearest neighbors only differ in one of the K bits. This minimizes the bit-error rate.



Gray-coded 8PSK

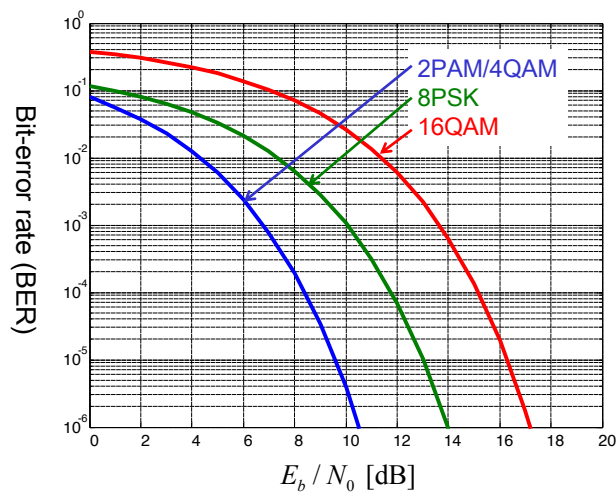
Optimal receiver Bit-error rates (BER)



EXAMPLES:	2PAM	4QAM	8PSK	16QAM
Bits/symbol	1	2	3	4
Symbol energy	E_b	$2E_b$	$3E_b$	$4E_b$
BER	$Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$	$Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$	$\sim \frac{2}{3} Q\left(\sqrt{0.87 \frac{E_b}{N_0}}\right)$	$\sim \frac{3}{2} Q\left(\sqrt{\frac{E_b, max}{2.25N_0}}\right)$

Gray coding is used when calculating these BER.

Optimal receiver Bit-error rates (BER), cont.



Optimal receiver

Where do we get E_b and N_0 ?



Where do those magic numbers E_b and N_0 come from?

The noise power spectral density N_0 is calculated according to

$$N_0 = kT_0F_0 \Leftrightarrow N_{0|dB} = -204 + F_{0|dB}$$

where F_0 is the noise factor of the "equivalent" receiver noise source.

The bit energy E_b can be calculated from the received power C (at the **same** reference point as N_0). Given a certain data-rate d_b [bits per second], we have the relation

$$E_b = C/d_b \Leftrightarrow E_{b|dB} = C_{|dB} - d_{b|dB}$$

THESE ARE THE EQUATIONS THAT RELATE DETECTOR PERFORMANCE ANALYSIS TO LINK BUDGET CALCULATIONS!

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Optimal receiver

What about fading channels?



We have (or can calculate) BER expressions for non-fading AWGN channels.

If the channel is Rayleigh-fading, then E_b/N_0 will have an exponential distribution (N_0 is assumed to be constant)

$$pdf(\gamma_b) = \frac{1}{\bar{\gamma}_b} e^{-\gamma_b/\bar{\gamma}_b}$$

γ_b -- E_b/N_0

$\bar{\gamma}_b$ -- average E_b/N_0

The BER for the Rayleigh fading channel is obtained by averaging:

$$BER_{Rayleigh}(\bar{\gamma}_b) = \int_0^{\infty} BER_{AWGN}(\gamma_b) \times pdf(\gamma_b) d\gamma_b$$

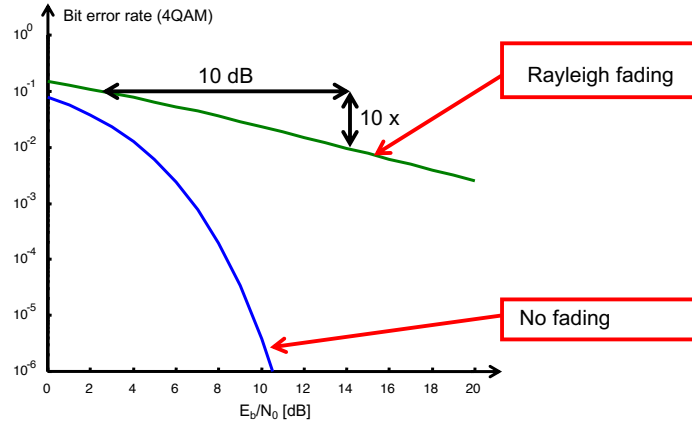
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Optimal receiver What about fading channels?



THIS IS A SERIOUS PROBLEM!



DIVERSITY ARRANGEMENTS



Diversity arrangements

Let's have a look at fading again

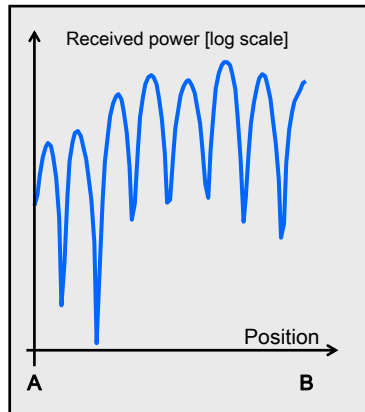
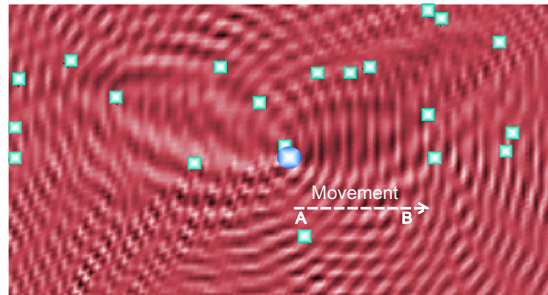


Illustration of interference pattern from above



● Transmitter
 ■ Reflector

Having TWO separated antennas in this case may increase the probability of receiving a strong signal on at least one of them.

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Diversity arrangements

The diversity principle



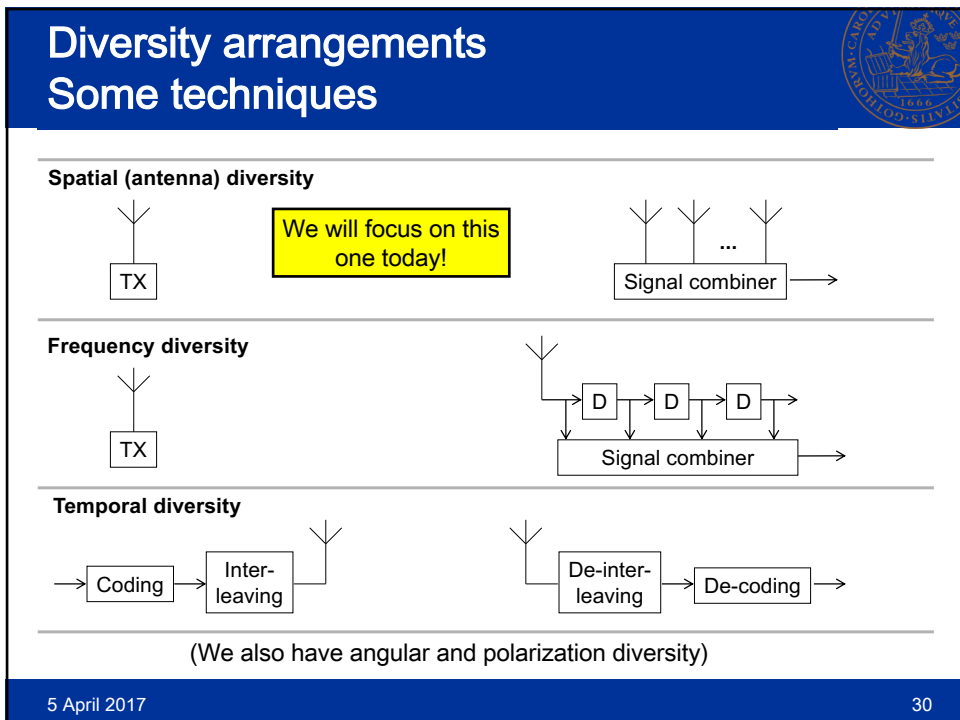
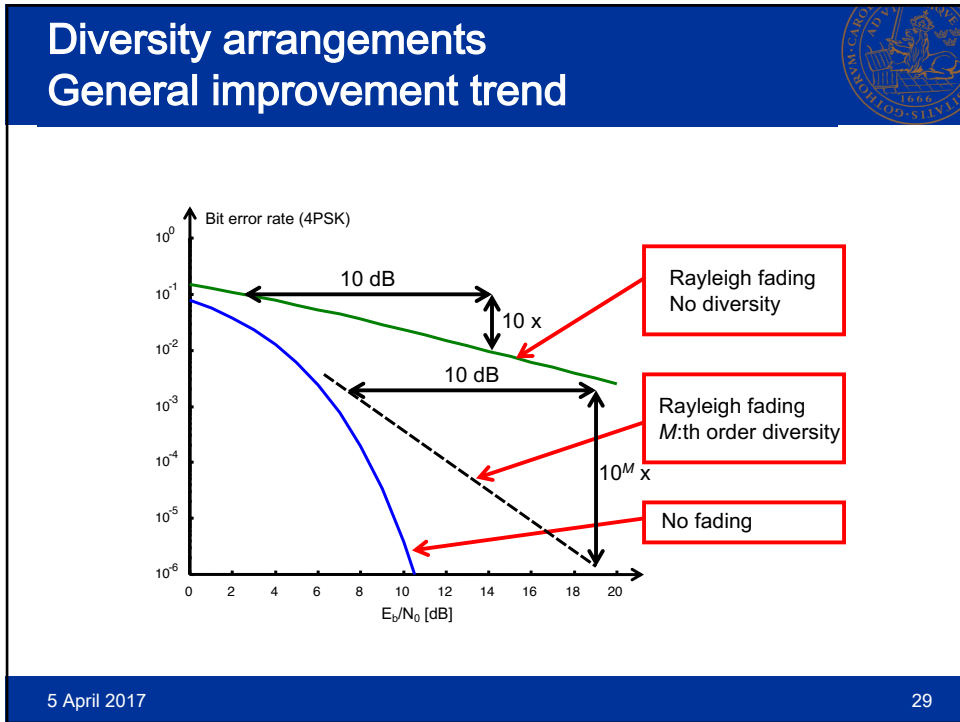
The principle of diversity is to transmit the same information on M statistically independent channels.

By doing this, we increase the chance that the information will be received properly.

The example given on the previous slide is one such arrangement: antenna diversity.

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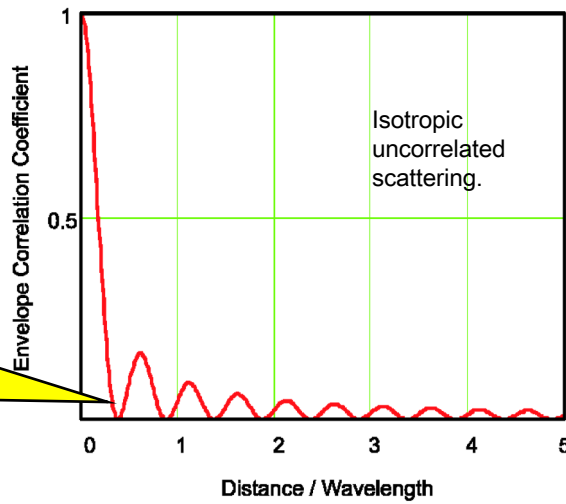


Spatial (antenna) diversity Fading correlation on antennas



With several antennas, we want the fading on them to be as independent as possible.

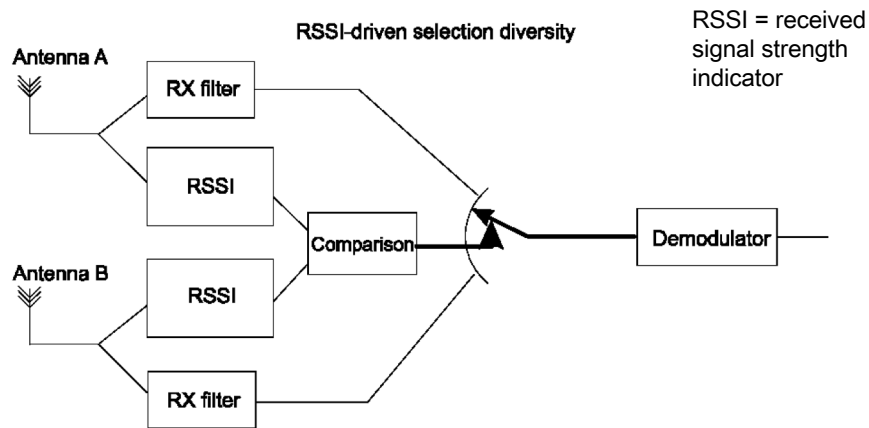
E.g.: An antenna spacing of about 0.4 wavelength gives zero correlation.



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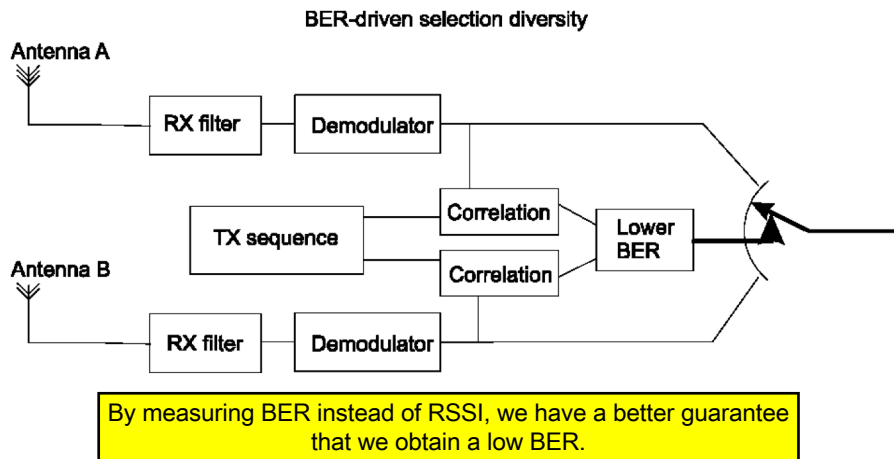
Spatial (antenna) diversity Selection diversity



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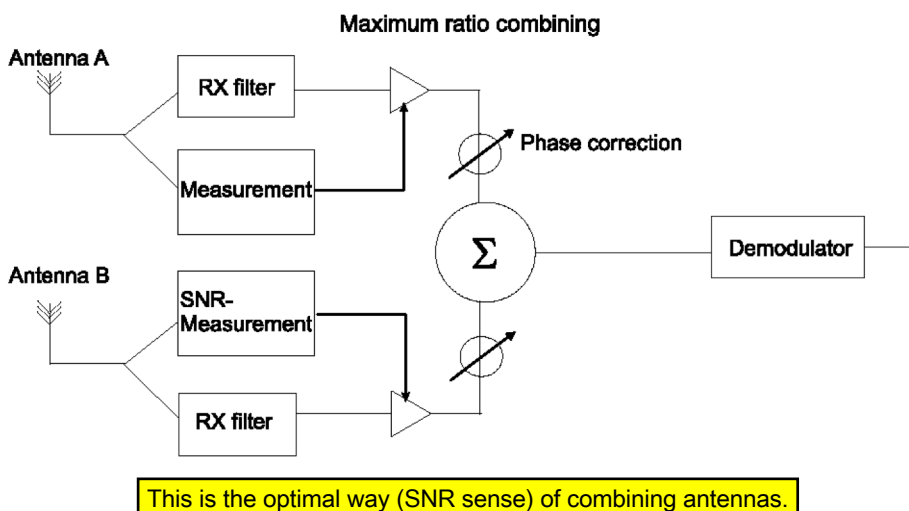
Spatial (antenna) diversity Selection diversity, cont.



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Spatial (antenna) diversity Maximum ratio combining



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Spatial (antenna) diversity

Equal gain combining

Simpler than MRC, but almost the same performance.

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Spatial (antenna) diversity Performance comparison

Cumulative distribution of SNR

Comparison of SNR distribution for different number of antennas M and two different diversity techniques.

These curves can be used to calculate fading margins.

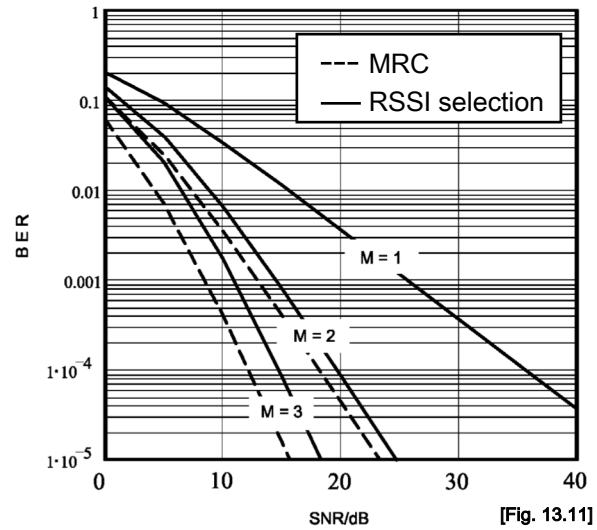
[Fig. 13.10]

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Spatial (antenna) diversity Performance comparison, cont.



Comparison of
2ASK/2PSK BER
for different number
of antennas M and
two different diversity
techniques.



[Fig. 13.11]