



Introduction. Lossless and lossy coding

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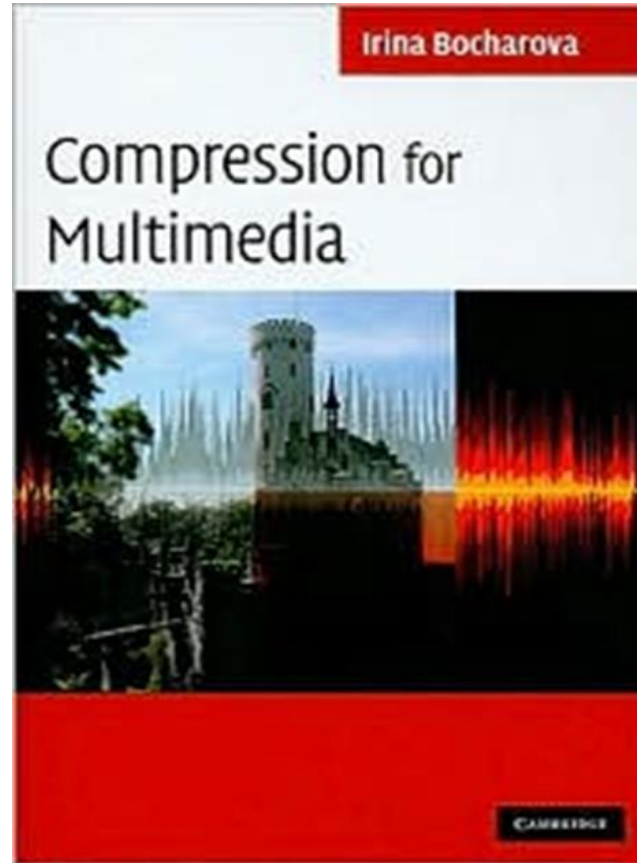
# ACM International Collegiate Programming Contest Winners (Wikipedia)



Wins	Country	Institution	Most Recent
 5	<a href="#">Russia</a>	<a href="#">Saint Petersburg State University of Information Technologies, Mechanics and Optics</a>	2013, 2012, 2009, 2008, 2004
 3	<a href="#">China</a>	<a href="#">Shanghai Jiao Tong University</a>	2010
 3	<a href="#">United States</a>	<a href="#">Stanford University</a>	1991
 2	<a href="#">Canada</a>	<a href="#">University of Waterloo</a>	1999
 2	<a href="#">Poland</a>	<a href="#">University of Warsaw</a>	2007
 2	<a href="#">Russia</a>	<a href="#">Saint Petersburg State University</a>	2001
 2	<a href="#">United States</a>	<a href="#">California Institute of Technology</a>	1988
 2	<a href="#">United States</a>	<a href="#">Washington University in St. Louis</a>	1980

# ITMO





I. Bocharova *Compression for Multimedia*,  
Cambridge Univ. Press, 2010

- Multimedia data such as images, audio, video, speech are originally analog waveforms.

The first problem is **how to convert them into digital form** to get multimedia data.

- The number of bits required to store multimedia data is large and this circumstance limits efficiency of the digital systems.

The second problem is **to compress multimedia data** in order to transmit them faster and to store them more efficiently.

# Examples

Without compression:

**One picture** obtained by 4 Mpixels digital camera requires 12 Mbytes of memory, that is, only 21 pictures can be stored in 256 Mbytes CF memory (12 Mpixels camera, 16 Gbyte CF memory, 444 pictures).

**Video sequence** containing 30 frames (1sec movie) of size  $480 \times 720$  takes  $480 \times 720 \times 30 \times 3 \cong 31$  Mbytes of memory or only 21sec of this movie can be recorded into a CD of capacity 650 Mbytes

(4.7 Gbyte DVD, 2.5 min., 15.9 Gbyte DVD, 8 min. of the movie).

## Examples

**Transmitting color image** of size  $352 \times 288$  pixels (each pixel takes 3 bytes and the image takes 2.4Mb) through the plain old telephone service (POTS) networks using modem with rate 33600 b/s requires approximately 1 minute (72 s.).

(HDSL 10 Mb/s, 0.24 s, 4.0 frame/s.)

**Transmitting 1 second of speech** sampled at 8 kHz with 16 bits per sample using the same modem (33600 b/s) requires approximately 4 seconds.

## New tendencies in multimedia compression

- **D**igital **m**ultimedia **b**roadcasting (**DMB**)  
(digital TV in mobile phones and laptops)
- Developing different **portable devices** such as: iphones, smartphones, iPADs, portable media players (ipods) intended for loading multimedia content.
- **HDR** imaging
- Recompression of multimedia content represented in “**old**” **digital standards**.
- Compression for **sensor networks**



# Multimedia systems

## Lossless compression systems

- Huffman coding
- Arithmetic coding
- Coding based on Ziv-Lempel algorithms etc.

## Lossy compression systems

- Coding of the DFT coefficients
- Coding of DCT coefficients
- Wavelet filtering
- Differential coding

# About the course

- Introduction
- Parts 2 and 3 give the basic theoretical aspects of **lossy source coding**
- **P**arts 4,5, and 6 describe **commonly used coding techniques**
- Parts 7,8,9, and 10 are devoted to modern **standards for speech, image, video and audio compression**, respectively.

# Analog and digital signals

- A function  $f(x)$  is *continuous at a point*  $x = a$  if  $\lim_{x \rightarrow a} f(x) = f(a)$ .
- A function is *continuous* if it is continuous at every point in its domain.
- A set of elements is a *discrete* set if it contains a *finite or countable* number of elements (elements of countable set can be enumerated).
- In the real world *analog signals* are *continuous* functions of *continuous* arguments.

# Analog and digital signals

*Discrete signals* can be discrete over a set of function values and (or) over a set of argument values.

If an analog time signal *is sampled* we call this set of numbers a *discrete time or sampled* signal.

If the sample values are constrained to belong to a *discrete* set the signal becomes *digital*.

# Analog to digital conversion

Images, speech, audio and video are originally **analog signals**.

To convert them into **digital signals** we should perform the following **two** operations:

- First the signal **has to be sampled** (time axis must be discretized or quantized).
- The second operation is to transform the sample values in such a manner that each resulting number belongs to a **discrete set** (alphabet). This operation is called **quantization**.

# Analog to digital conversion

Let  $x(t)$  be a **continuous time** function.

**Sampling** is taking samples of  $x(t)$  at time instants  $t = nT_s$  for all integer  $n$ , where  $T_s$  is **sampling period**,  $f_s = 1/T_s$  is called **sampling frequency**.

Instead of  $x(t)$  we obtain  $x(nT_s)$

1. The question is: does sampling introduce distortion of the original continuous function?
2. How does the distortion, if any, depend on the value of  $T_s$  ?

# Analog to digital conversion

The forward and the inverse Fourier transform of  $x(t)$

$$X(f) = \int_{-\infty}^{\infty} x(t) e^{-j\omega t} dt \quad x(t) = \int_{-\infty}^{\infty} X(f) e^{j\omega t} df$$

If  $x(t)$  is a periodic function with period  $p$  it has the Fourier series expansion

$$\begin{aligned} x(t) &= \frac{a_0}{2} + \sum_{k=1}^{\infty} a_k \cos(kt\omega_p) + b_k \sin(kt\omega_p) \\ &= \sum_{k=-\infty}^{\infty} c_k e^{jkt\omega_p}, \quad c_k = \frac{1}{p} \int_{-p/2}^{p/2} x(t) \exp(-jkt\omega_p) dt, \end{aligned}$$

$$\omega_p = 2\pi / p.$$

## Analog to digital conversion

It is said that the Fourier series expansion for a **periodical function** with period  $p$  decomposes this function into **a sum of harmonical functions with frequencies**  $k\omega_p$ ,  $k = 1, 2, \dots$

The Fourier transform for **nonperiodical function** represents it as **a sum** of infinite number of **harmonical functions with frequencies** which differ in infinitesimal quantities.

A **nonperiodical function** of length  $T$  has the Fourier series expansion for its periodical continuation with period  $T$ .



# Sampling theorem

*If  $x(t)$  is a signal whose Fourier transform is identically zero  $X(f) = 0$  for  $|f| > f_H$  then  $x(t)$  is completely determined by its samples taken every  $1/(2f_H)$  seconds.*

## Proof

Let  $\hat{X}(f)$  be a periodical continuation  $X(f)$  with period  $2f_H$

$$\hat{X}(f) = \sum_{k=-\infty}^{\infty} a_k e^{j2\pi f k / (2f_H)}, \quad a_k = \frac{1}{2f_H} \int_{-f_H}^{f_H} \hat{X}(f) e^{-j2\pi f k / (2f_H)} df$$

# Sampling theorem

$$x(t) = \int_{-\infty}^{\infty} X(f) e^{j2\pi ft} df = \int_{-f_H}^{f_H} \hat{X}(f) e^{j2\pi ft} df$$

Consider samples of  $x(t)$  in the discrete points  
 $t = k/(2f_H)$

$$x\left(\frac{k}{2f_H}\right) = \int_{-f_H}^{f_H} \hat{X}(f) e^{j2\pi f k/(2f_H)} df$$

Comparing with formula for coefficient  $a_k$

we obtain

$$a_k = \frac{1}{2f_H} x\left(\frac{-k}{2f_H}\right)$$

## Sampling theorem

If  $x(t)$  is known at points  $-2/(2f_H)$ ,  $-1/(2f_H)$ ,  $0$ ,  $1/(2f_H)$ ,  $2/(2f_H)\dots$  then the coefficients  $a_k$  are determined. They in turn determine  $\hat{X}(f)$  and thereby  $X(f)$ .

On the other hand  $X(f)$  determines  $x(t)$  for all values of  $t$ .

It means that there exists a unique time function which does not contain frequencies higher than  $f_H$  and passes through the given sampling points spaced  $1/(2f_H)$  seconds.

# Sampling theorem

$$x(t) = \int_{-f_H}^{f_H} X(f) e^{j2\pi ft} df = \int_{-f_H}^{f_H} \sum_k a_k e^{j2\pi f \left( \frac{k}{2f_H} + t \right)} df = \sum_k a_k \int_{-f_H}^{f_H} e^{j2\pi f \left( \frac{k}{2f_H} + t \right)} df$$

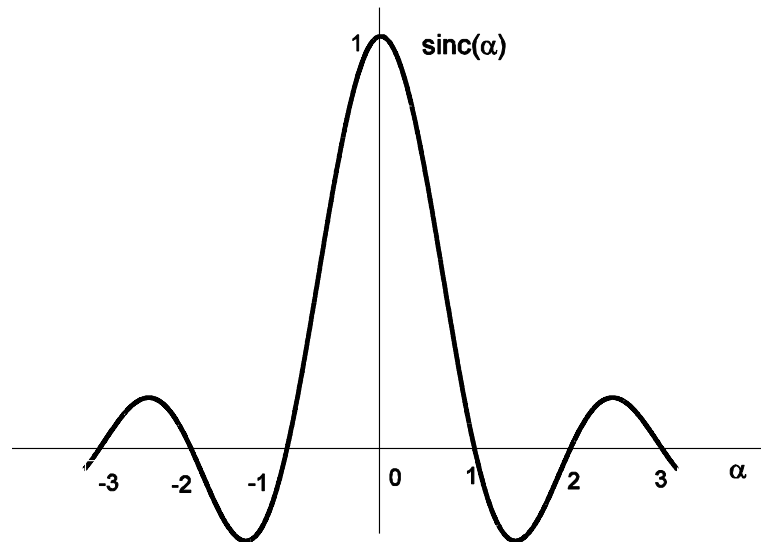
$$= 2 \sum_k a_k \int_0^{f_H} \cos 2\pi f \left( \frac{k}{2f_H} + t \right) df = 2 \sum_k a_k \frac{\sin 2\pi f \left( \frac{k}{2f_H} + t \right) \Big|_0^{f_H}}{2\pi \left( \frac{k}{2f_H} + t \right)}$$

$$x(t) = 2f_H \sum_{k=-\infty}^{\infty} a_k \operatorname{sinc} \left( 2f_H \left( \frac{k}{2f_H} + t \right) \right) \Big|_0^{f_H}, \quad \operatorname{sinc}(x) = \frac{\sin(\pi x)}{\pi x}$$

$$x(t) = \sum_{i=-\infty}^{\infty} x \left( \frac{i}{2f_H} \right) \operatorname{sinc}(2f_H t - i), \quad i = -k$$

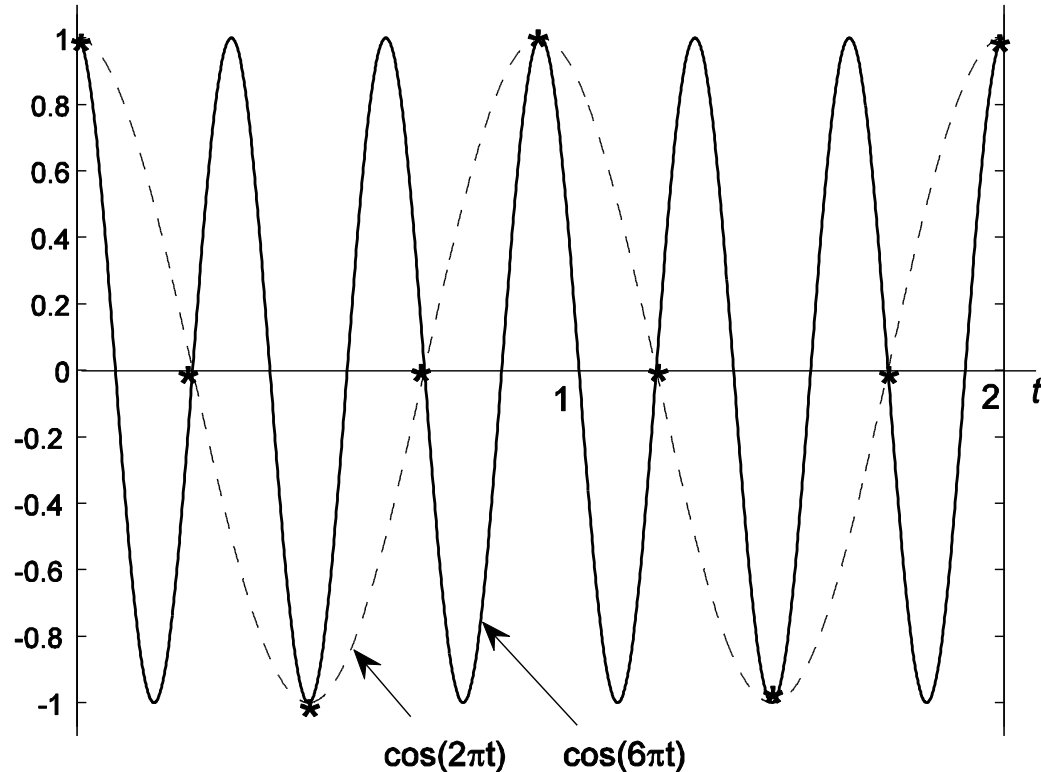
# Sampling theorem

The time function  $x(t)$  can be represented as a sum of elementary functions in the form  $\text{sinc}(x)$  centered in the sampling points. In order to reconstruct this function it is necessary to generate a train of impulses which have form  $\text{sinc}(x)$  and are proportional to samples, and to summarize them.



# Aliasing

The error caused by sampling **too slowly** is called **aliasing**. The 3Hz signal sampled at 4Hz is disguising itself as a 1Hz signal.



# Aliasing

The sampling yields  $x_s(t) = \sum_{k=-\infty}^{k=\infty} x(kT_s)\delta(t - kT_s)$ .

Since  $\delta(t - kT_s)$  is equal to 0 everywhere except at the point  $kT_s$

$$x_s(t) = x(t) \sum_{k=-\infty}^{\infty} \delta(t - kT_s) \quad (1.1)$$

$$\sum_{k=-\infty}^{\infty} \delta(t - kT_s) = \sum_{n=-\infty}^{\infty} c_n e^{j2\pi n t / T_s}, \quad c_n = \frac{1}{T_s} \int_{-T_s/2}^{T_s/2} \delta(t) e^{-j2\pi n t / T_s} dt = \frac{1}{T_s} \quad (1.2)$$

Substituting (1.2) into (1.1)

$$x_s(t) = \frac{1}{T_s} \sum_{n=-\infty}^{\infty} x(t) e^{j2\pi n t / T_s}.$$

Multiplication by  $\exp(j2\pi\alpha t)$  corresponds to the shift of the

Fourier transform by  $\alpha$

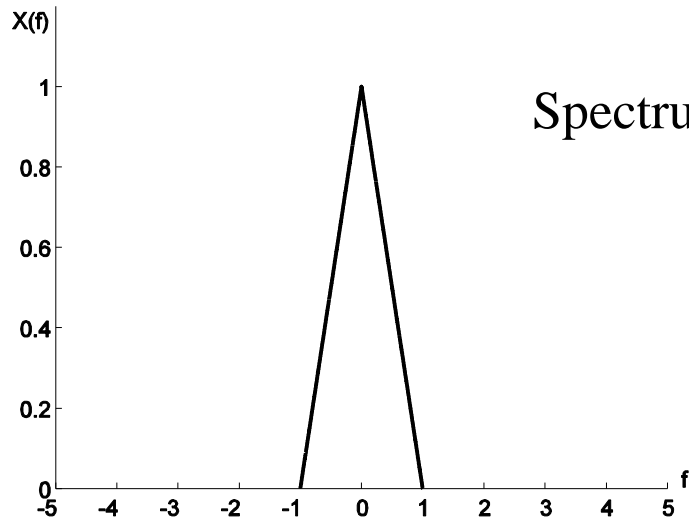
$$X_s(f) = \frac{1}{T_s} \sum_{n=-\infty}^{\infty} X\left(f - \frac{n}{T_s}\right)$$

# Aliasing

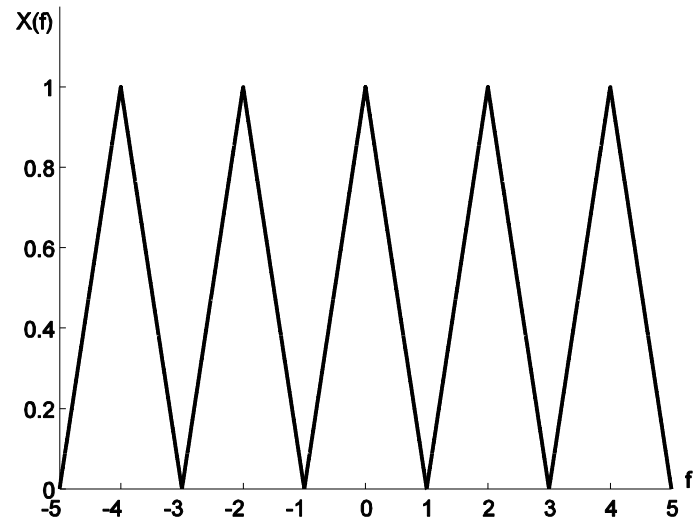
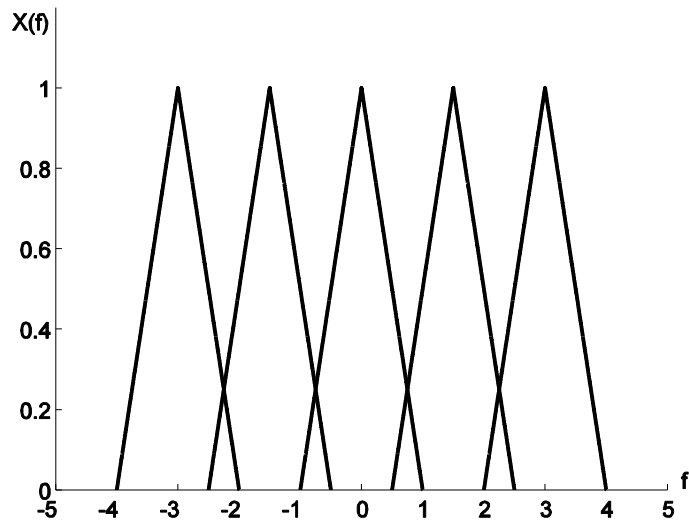
- If the **sampling** of the signal is **too slow** the copies of its spectrum **overlap** which leads to an **aliasing** error.
- To reconstruct the signal from the sequence of its samples it is sufficient to filter this sequence by the **ideal low-pass filter** with cut-off frequency equal to  $f_H = 1/(2T_s)$ .



# Aliasing



Spectra of the sampled signal



# Aliasing

The Fourier transform of the reconstructed signal

$$X(f) = X_s(f)T_s H_L(f), \quad H_L(f) = \begin{cases} 1, & \text{if } |f| \leq f_H \\ 0, & \text{otherwise} \end{cases}$$

The impulse response of the ideal low-pass filter

$$h_L(t) = \int_{-\infty}^{\infty} H_L(f) e^{j2\pi ft} df = 2 \int_0^{f_H} \cos(2\pi ft) df = \frac{1}{T_s} \text{sinc}(t/T_s).$$

The reconstructed signal is sum of weighted and shifted copies of impulse response

$$x(t) = \sum_{k=-\infty}^{\infty} x(kT_s) \text{sinc}\left(\frac{t - kT_s}{T_s}\right).$$

# Aliasing

- The sampling theorem requires that samples be taken for all time instants in the **infinite interval**, and that every sample is used to reconstruct the value of the original function at any particular time.
- In a real system, the signal is observed during a **limited time**. The **Fourier transform** of any **time limited signal** is nonzero **outside any finite frequency band**.

How to apply sampling theorem to such signals?

## Aliasing

Usually we restrict our signals to frequencies inside a certain finite band,  $[-f_H, f_H]$ .

We choose  $f_H$  to satisfy the condition

$$\frac{\int_{-f_H}^{f_H} |X(f)|^2 df}{\int_{-\infty}^{\infty} |X(f)|^2 df} = \alpha,$$









where  $\alpha$  is approximately 0.90–0.99.

We call  $f_H$  the **effective bandwidth** with respect to  $\alpha$ .

# Aliasing

- **Sampling** of **time-limited** signals always introduces an **aliasing error** due to overlapping of the copies of the signal spectrum.
- To reduce this error we **pre-filter** time-limited signals.
- We choose sampling period  $T_s \ll 1/(2f_H)$ sec.

# Aliasing effect demo

	Speech	Music
Original files 32 kHz		
Downsampling to 16 kHz with prefiltering		
Downsampling to 16 kHz without prefiltering		
Downsampling to 8 kHz with prefiltering		
Downsampling to 8 kHz without prefiltering	