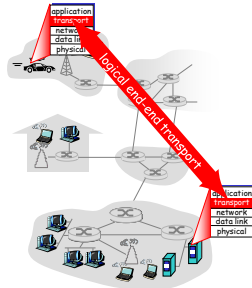


## Transport services and protocols

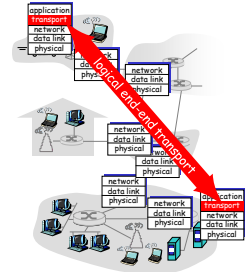
- ❖ **logical communication** between processes
- ❖ transport protocols run in end systems
  - send side: breaks app messages into **segments**, passes to network layer
  - rcv side: reassembles segments into messages, passes to app layer
- ❖ more than one transport protocol available to apps
  - Internet: TCP and UDP



Transport Layer 3-1

## Internet transport-layer protocols

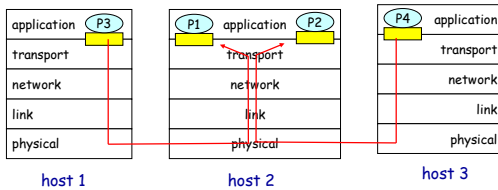
- ❖ reliable, in-order delivery: TCP
- ❖ unreliable, unordered delivery: UDP
- ❖ services not available:
  - delay guarantees
  - bandwidth guarantees



Transport Layer 3-2

## Sending and receiving

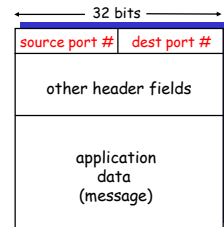
■ = socket    ○ = process



Transport Layer 3-3

## Receiving packets

- ❖ **host receives IP datagrams**
  - each datagram has source IP address, destination IP address
  - each datagram carries 1 transport-layer segment
  - each segment has source, destination port number
- ❖ **host uses IP addresses & port numbers to direct segment to right socket**



TCP/UDP segment format

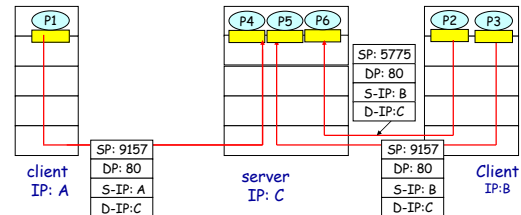
Transport Layer 3-4

## Connection-oriented (TCP)

- ❖ TCP socket :
  - source IP address
  - source port number
  - dest IP address
  - dest port number
- ❖ All four values to direct segment to appropriate socket
- ❖ server host may support many simultaneous TCP sockets:
- ❖ web servers have different sockets for each connecting client

Transport Layer 3-5

## Connection-oriented



Transport Layer 3-6

## UDP: User Datagram Protocol [RFC 768]

- ❖ Simple transport protocol
- ❖ UDP segments may be:
  - lost
  - delivered out of order
- ❖ **connectionless:**
  - no handshaking between sender and receiver
  - each UDP segment handled independently of others

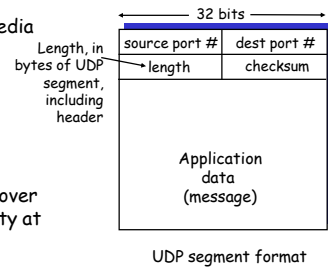
### Why UDP?

- ❖ no connection establishment (which can add delay)
- ❖ simple: no connection state at sender, receiver
- ❖ small segment header
- ❖ no congestion control: UDP can blast away as fast as desired

Transport Layer 3-7

## UDP: more

- ❖ often used for streaming multimedia apps
  - loss tolerant
  - rate sensitive
- ❖ other UDP uses
  - DNS
  - SNMP
- ❖ reliable transfer over UDP: add reliability at application layer



Transport Layer 3-8

## UDP checksum

**Goal:** detect errors in transmitted segment

### Sender:

- ❖ treat segment contents as sequence of 16-bit integers
- ❖ checksum: addition (1's complement sum) of segment contents
- ❖ sender puts checksum value into UDP checksum field

### Receiver:

- ❖ compute checksum of received segment
- ❖ check if computed checksum equals checksum field value:
  - NO - error detected
  - YES - no error detected

Transport Layer 3-9

## Internet Checksum Example

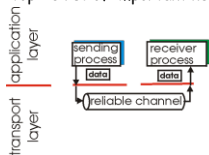
- ❖ Note: when adding numbers, a carryout from the most significant bit needs to be added to the result
- ❖ Example: add two 16-bit integers

	1	1	1	0	0	1	1	0	0	1	1	0	0	1	1	0
	1	1	0	1	0	1	0	1	0	1	0	1	0	1	0	1
wraparound	1	0	1	1	1	0	1	1	1	0	1	1	0	1	1	1
sum	1	0	1	1	1	0	1	1	1	0	1	1	1	0	0	0
checksum	0	1	0	0	0	1	0	0	0	1	0	0	0	0	1	1

Transport Layer 3-10

## Principles of Reliable data transfer

- ❖ important in app., transport, link layers
- ❖ top-10 list of important networking topics!



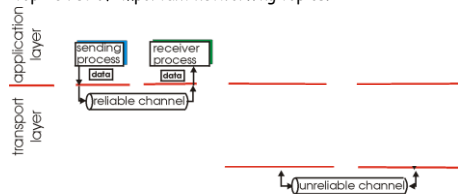
(a) provided service

- ❖ characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Transport Layer 3-11

## Principles of Reliable data transfer

- ❖ important in app., transport, link layers
- ❖ top-10 list of important networking topics!



(a) provided service

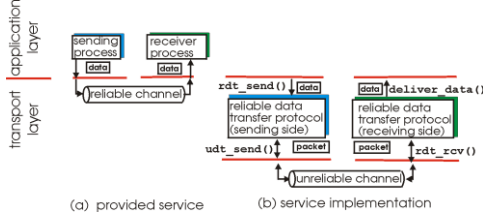
(b) service implementation

- ❖ characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Transport Layer 3-12

## Principles of Reliable data transfer

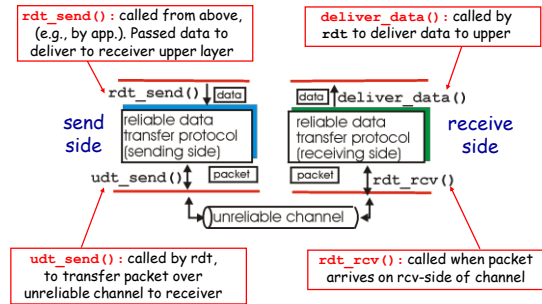
- ❖ important in app., transport, link layers
- ❖ top-10 list of important networking topics!



- ❖ characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

Transport Layer 3-13

## Reliable data transfer: getting started



Transport Layer 3-14

## Reliable data transfer: getting started

We'll:

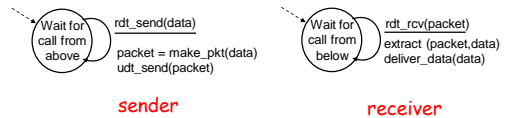
- ❖ incrementally develop reliable data transfer protocol (rdt)
- ❖ only unidirectional data transfer
  - but control info will flow on both directions!
- ❖ use finite state machines (FSM)



Transport Layer 3-15

## Rdt1.0: reliable transfer over a reliable channel

- ❖ underlying channel perfectly reliable
  - no bit errors
  - no loss of packets
- ❖ separate FSMs for sender, receiver:
  - sender sends data into underlying channel
  - receiver read data from underlying channel



Transport Layer 3-16

## Rdt2.0: channel with bit errors

- ❖ underlying channel may flip bits in packet
  - checksum to detect bit errors
- ❖ the question: how to recover from errors:

*How do humans recover from "errors" during conversation?*

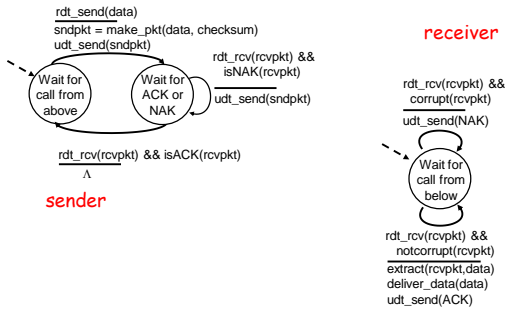
Transport Layer 3-17

## Rdt2.0: channel with bit errors

- ❖ underlying channel may flip bits in packet
  - checksum to detect bit errors
- ❖ the question: how to recover from errors:
  - acknowledgements (ACKs): receiver explicitly tells sender that pkt received OK
  - negative acknowledgements (NAKs): receiver explicitly tells sender that pkt had errors
  - sender retransmits pkt on receipt of NAK
- ❖ new mechanisms in rdt2.0 (beyond rdt1.0):
  - error detection
  - receiver feedback: control msgs (ACK,NAK) rcvr->sender

Transport Layer 3-18

## rdt2.0: FSM specification



## rdt2.0 has a fatal flaw!

### What happens if ACK/NAK corrupted?

- ❖ sender doesn't know what happened at receiver!
- ❖ can't just retransmit: possible duplicate

### Handling duplicates:

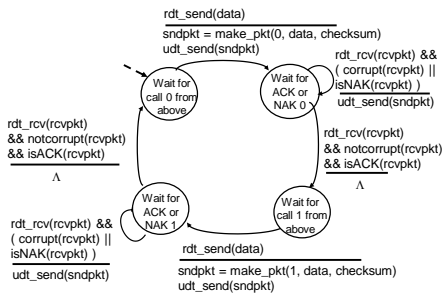
- ❖ sender retransmits current pkt if ACK/NAK garbled
- ❖ sender adds *sequence number* to each pkt
- ❖ receiver discards (doesn't deliver up) duplicate pkt

**stop and wait**  
 Sender sends one packet, then waits for receiver response

Transport Layer 3-19

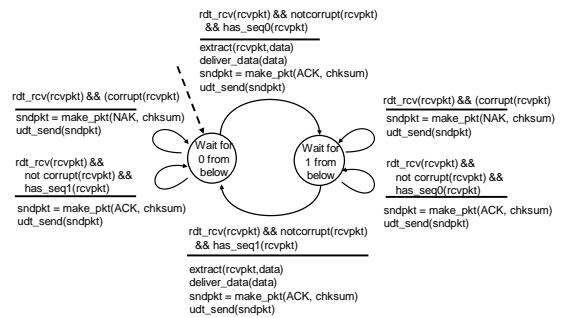
Transport Layer 3-20

## rdt2.1: sender, handles garbled ACK/NAKs



Transport Layer 3-21

## rdt2.1: receiver, handles garbled ACK/NAKs



Transport Layer 3-22

## rdt2.1: discussion

### Sender:

- ❖ seq # added to pkt
- ❖ two seq. #'s (0,1) will suffice.
- ❖ must check if received ACK/NAK corrupted
- ❖ twice as many states
  - state must "remember" whether "current" pkt has 0 or 1 seq. #

### Receiver:

- ❖ must check if received packet is duplicate
  - state indicates whether 0 or 1 is expected pkt seq #
- ❖ note: receiver can *not* know if its last ACK/NAK received OK at sender

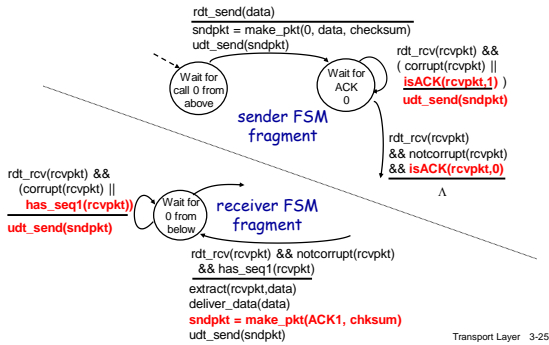
Transport Layer 3-23

## rdt2.2: a NAK-free protocol

- ❖ same functionality as rdt2.1, using ACKs only
- ❖ instead of NAK, receiver sends ACK for last pkt received OK
  - receiver must *explicitly* include seq # of pkt being ACKed
- ❖ duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

Transport Layer 3-24

## rdt2.2: sender, receiver fragments



## rdt3.0: channels with errors and loss

**New assumption:** underlying channel can also lose packets (data or ACKs)

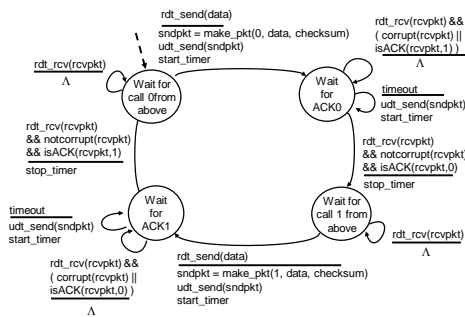
- checksum, seq. #, ACKs, retransmissions will be of help, but not enough

**Approach:** sender waits "reasonable" amount of time for ACK

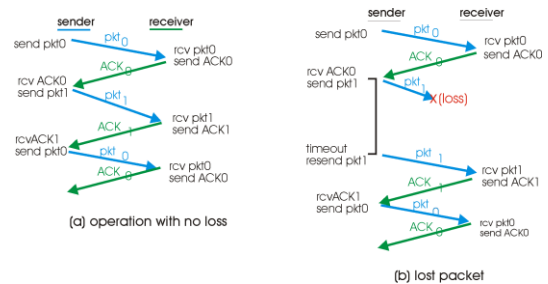
- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but use of seq. #'s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer

Transport Layer 3-26

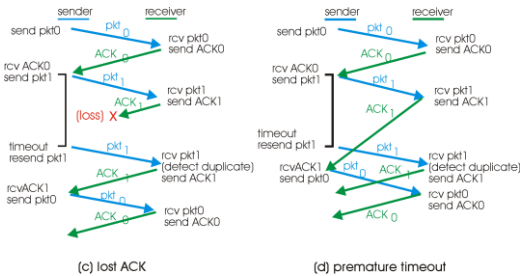
## rdt3.0 sender



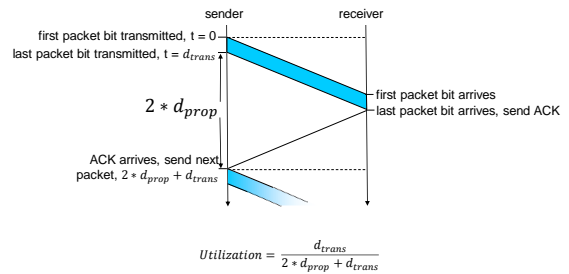
## rdt3.0 in action



## rdt3.0 in action



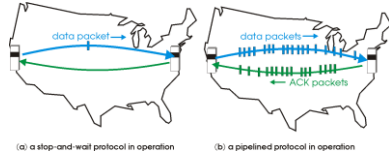
## rdt3.0: stop-and-wait operation



## Pipelined protocols

**pipelining:** allows yet-to-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver

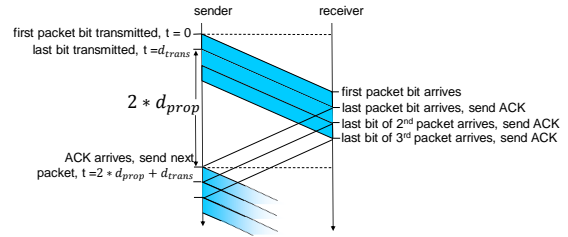


- ❖ two generic forms of pipelined protocols: *go-Back-N*, *selective repeat*

Transport Layer 3-31

Transport Layer 3-32

## Pipelining: increased utilization



$$Utilization = \frac{3 * d_{trans}}{2 * d_{prop} + d_{trans}}$$

## Pipelined Protocols

**Go-back-N: big picture:**

- ❖ sender can have up to N unack'd packets in pipeline
- ❖ rcvr only sends *cumulative* acks
  - doesn't ack packet if there's a gap
- ❖ sender has timer for oldest unack'd packet
  - if timer expires, retransmit all unack'd packets

**Selective Repeat: big pic**

- ❖ sender can have up to N unack'd packets in pipeline
- ❖ rcvr sends *individual ack* for each packet
- ❖ sender maintains timer for each unack'd packet
  - when timer expires, retransmit only unack'd packet

Transport Layer 3-33

Transport Layer 3-34

## Go-Back-N

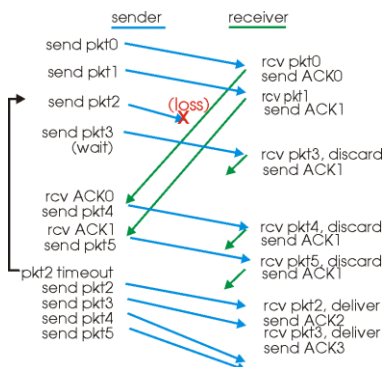
**Sender:**

- ❖ k-bit seq # in pkt header
- ❖ "window" of up to N, consecutive unack'd pkts allowed



- ❖ ACK(n): ACKs all pkts up to, including seq # n - "cumulative ACK"
  - may receive duplicate ACKs (see receiver)
- ❖ timer for each in-flight pkt
- ❖ *timeout(n)*: retransmit pkt n and all higher seq # pkts in window

## GBN in action



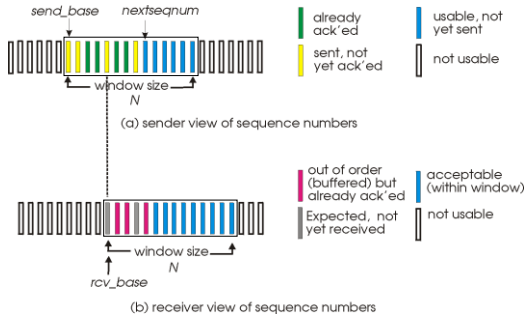
Transport Layer 3-37

Transport Layer 3-38

## Selective Repeat

- ❖ receiver *individually* acknowledges correctly received pkts
  - buffers pkts for in-order delivery to upper layer
- ❖ sender only resends pkts for which ACK not received
  - sender timer for each unACK'd pkt
- ❖ sender window
  - N consecutive seq #'s
  - again limits seq #'s of sent, unACK'd pkts

## Selective repeat: sender, receiver windows



Transport Layer 3-39

## Selective repeat

—sender—

**data from above :**

❖ if next available seq # in window, send pkt

**timeout(n):**

❖ resend pkt n, restart timer

**ACK(n) in [sendbase, sendbase+N]:**

❖ mark pkt n as received

❖ if n smallest unACKed pkt, advance window base to next unACKed seq #

—receiver—

**pkt n in [rcvbase, rcvbase+N-1]**

❖ send ACK(n)

❖ out-of-order: buffer

❖ in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

**pkt n in [rcvbase-N, rcvbase-1]**

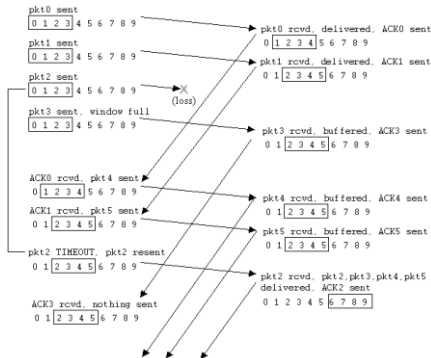
❖ ACK(n)

**otherwise:**

❖ ignore

Transport Layer 3-40

## Selective repeat in action



Transport Layer 3-41