# Microwave theory 2014: Problems week 3

## Problems

Problems 5.1, 5.2, 5.4–5.8 and 6.3 in the book.

# Problem V3.1

A circular waveguide of length 0 < z < L and radius a has a non-reflecting termination at z = L. In the region  $\frac{L}{4} < z < \frac{L}{2}$  one has inserted a circular metal cylinder with radius b < a and very thin wall.

Determine the radius b such that it is only the TM<sub>02</sub> mode that can propagate from z = 0 to z = L without reflections from the inner cylinder.

# Solutions

5.1

We analyze a TM-mode here. The analysis of the TE-mode is done in the same manner.

For a TM-mode in a planar waveguide the electric field reads, cf section 5.5.1:

$$oldsymbol{E}_n(oldsymbol{r}) = (oldsymbol{E}_{Tn}(y) + v_n(y)\hat{z})e^{\mathrm{i}k_{zn}z}$$

where

$$v_n(y) = \sqrt{\frac{2}{b}} \sin k_{tn} y$$
$$\boldsymbol{E}_{Tn}(y) = \mathrm{i}\frac{k_{zn}}{k_{tn}^2} \nabla_T v_n(y) = \mathrm{i}\hat{y}\frac{k_{zn}}{k_{tn}} \sqrt{\frac{2}{b}} \cos k_{tn} y$$

The electric field then reads

$$\begin{aligned} \boldsymbol{E}_{n}(\boldsymbol{r}) &= \sqrt{\frac{2}{b}} \frac{1}{k_{tn}} \left( \hat{y} i k_{zn} \cos k_{tn} y + \hat{z} k_{tn} \sin k_{tn} y \right) e^{i k_{zn} z} \\ &= \sqrt{\frac{2}{b}} \frac{i}{2k_{tn}} \left( (0, k_{zn}, -k_{tn}) e^{i (k_{tn} y + k_{zn} z)} + (0, k_{zn}, k_{tn}) e^{i (-k_{tn} y + k_{zn} z)} \right) \end{aligned}$$

We se that the field consists of two planar transverse waves with direction of propagation  $\hat{k}_1 = (0, k_{tn}, k_{zn})/k$  respective  $\hat{k}_2 = (0, -k_{tn}, k_{zn})/k$  and with the electric fields in the direction  $\hat{k}_1 \times \hat{x}$  and  $\hat{k}_2 \times \hat{x}$ , respectively.

We need to determine the corresponding magnetic fields by using the plane wave relation  $\boldsymbol{H} = \eta_0^{-1} \hat{k} \times \boldsymbol{E}$  for each of the two plane waves. This gives

$$\begin{aligned} \boldsymbol{H}_{n}(\boldsymbol{r}) &= -\eta_{0}^{-1} \sqrt{\frac{2}{b}} \frac{\mathrm{i}}{2k_{tn}} \frac{1}{k} \left( (k_{tn}^{2} + k_{zn}^{2}, 0, 0) e^{\mathrm{i}(k_{tn}y + k_{zn}z)} + (k_{tn}^{2} + k_{zn}^{2}, 0, 0) e^{\mathrm{i}(-k_{tn}y + k_{zn}z)} \right) \\ &= -\hat{x} \eta_{0}^{-1} \sqrt{\frac{2}{b}} \frac{\mathrm{i}}{k_{tn}} k \cos k_{tn} y e^{\mathrm{i}k_{zn}z} \end{aligned}$$

This gives the same function as in equation 5.24 *i.e.*,

$$\boldsymbol{H}_{n}(\boldsymbol{r}) = \eta_{0}^{-1} \frac{1}{k_{tn}^{2}} k \epsilon \hat{z} \times \nabla_{T} v_{n}(\boldsymbol{\rho})$$
$$= -\hat{x} \eta_{0}^{-1} \sqrt{\frac{2}{b}} \frac{\mathrm{i}}{k_{tn}} k \cos k_{tn} y e^{\mathrm{i}k_{zn}z}$$

5.2

Assume a waveguide mode that is propagating in the positive z-direction in a conducting material with  $\text{Im}\epsilon\mu > 0$ . The time average of the power transported by the modes is, *cf.*, page 117

$$P = \operatorname{Re} P_{n\nu}^E |a_{n\nu}^+|^2 e^{-2\operatorname{Im} k_{zn} z}$$

where  $P_{n\nu}^E$  is given by equation 5.36

$$P_{n\nu}^{E} = \frac{\omega}{k_{tn}^{2}(\omega)} \begin{cases} k_{zn}\epsilon_{0}\epsilon^{*} & \nu = \text{TM} \\ k_{zn}^{*}\mu_{0}\mu & \nu = \text{TE} \end{cases}$$

For a passive material  $\operatorname{Re}\epsilon\mu > 0$ ,  $\operatorname{Im}\epsilon\mu > 0$  and

$$k_{zn} = \sqrt{k^2 - k_{tn}^2} = \sqrt{\omega^2 (\operatorname{Re}\{\epsilon\mu\} + \operatorname{iIm}\{\epsilon\mu\})/c_0^2 - k_{tn}^2} = \alpha + \mathrm{i}\beta$$

where  $\alpha > 0$  and  $\beta > 0$ . This implies  $\operatorname{Re} k_{zn} \epsilon^* = \alpha \operatorname{Re} \epsilon + \beta \operatorname{Im} \epsilon > 0$  and  $\operatorname{Re} P_{nTM}^E > 0$ and hence P > 0 for TM-modes. In the same manner we can show that  $\operatorname{Re} Y_{nTE}^E > 0$ .

The power transported through a cross section  $z = z_0$  of the waveguide for frequencies below the cut-off frequency is transferred to heat in the region  $z > z_0$ .

 $\mathbf{5.4}$ 

We only consider *TE*-modes. For a *TE*-mode  $H_z(\mathbf{r}) = w(\rho, \phi)e^{ik_z z}$  where

$$\begin{aligned} \nabla_T^2 w + k_t^2 w &= 0\\ \frac{\partial w}{\partial \rho}(a,\phi) &= \frac{\partial w}{\partial \phi}(\rho,0) = \frac{\partial w}{\partial \phi}(a,2\pi) = 0\\ w(\rho,\phi) \text{ finite} \end{aligned}$$

Separation of variables  $w = f(\rho)g(\phi)$  inserted in the Helmholtz equation  $\nabla_T^2 w + k_t^2 w = 0$  gives the eigenvalue problem

$$g''(\phi) + \gamma g(\phi) = 0 \tag{0.1}$$

$$g'(0) = g'(2\pi) = 0 \tag{0.2}$$

Equation (0.1) gives  $g(\phi) = A \sin \sqrt{\gamma} \phi + B \cos \sqrt{\gamma} \phi$  where equation (0.2) gives A = 0and  $\gamma = (m/2)^2$ , m = 0, 1, ... In the  $\rho$ -direction we get the Bessel differential equation

$$\frac{1}{\rho}\frac{\partial}{\partial\rho}\rho\frac{\partial f(\rho)}{\partial\rho} + \left(k_t^2 - \left(\frac{m}{2\rho}\right)^2\right)f(\rho) = 0$$
$$f'(a) = 0$$

with the finite solutions

$$f_{mn}(\rho) = J_{m/2}(k_t \rho) = J_{m/2}(\eta_{m/2,n} \rho/a)$$

where  $J'_{m/2}(\eta_{m/2,n}) = 0$ . The normalized modes are given by

$$w_{mn} = BJ_{m/2}(k_{tmn}\rho)\cos m\phi/2$$

where  $k_{tmn} = \eta_{m/2,n}/a$  and

$$B = \sqrt{\frac{\epsilon_m}{2\pi}} \left( \int_0^a \left( J_{m/2}(k_{tmn}\rho) \right)^2 \rho d\rho \right)^{-1/2}$$

with  $\epsilon_m = 2 - \delta_{m,0}$ .

### **Cut-off frequencies**

For even m we get the zeros of  $J'_{m/2}$  from appendix A. For odd m we can utilize that  $J'_{\nu}(x) = \frac{\nu}{x} J_{\nu}(x) - J_{\nu+1}(x)$  and determine the zeros numerically in *e.g.*, Matlab. This gives the following values of  $\eta_{m/2,n}$ 

-		-		. , ,
	n=1	n=2	n=3	n=4
m=0	3.832	7.016	10.17	13.32
m=1	1.1656	4.60	7.79	19.95
m=2	1.841	5.331	8.536	11.71
m=3	2.46	6.03	9.26	12.44

We see that  $TE_{1/2,1}$  has the lowest cut-off frequency  $f_{1/2,1} = c_0 1.1656/(2\pi a)$ . Without the metal plate, according to table 3.4 in the book, the  $TE_{11}$  mode has the lowest cut-off frequency  $f_{1,1} = c_0 1.841/(2\pi a)$ . The cut off frequency for the fundamental mode is then reduced by almost 40% when the metal plate is introduced.

## 5.5

We utilize the solution to example 5.6. For z < 0 there is an incident an a reflected TM-mode and for z > 0 a transmitted TM-mode.

$$egin{aligned} oldsymbol{E}(oldsymbol{r}) &= oldsymbol{E}_{nTM}^+(oldsymbol{r}) + r_noldsymbol{E}_{nTM}^-(oldsymbol{r}) & z \leq 0 \ oldsymbol{H}(oldsymbol{r}) &= oldsymbol{t}_noldsymbol{E}_{nTM}^+(oldsymbol{r}) & z \geq 0 \ oldsymbol{H}(oldsymbol{r}) &= oldsymbol{t}_noldsymbol{H}_{nTM}^+(oldsymbol{r}) & z \geq 0 \ oldsymbol{H}(oldsymbol{r}) &= oldsymbol{t}_noldsymbol{H}_{nTM}^+(oldsymbol{r}) & z \geq 0 \end{aligned}$$

where  $r_n$  is the reflection coefficient and  $t_n$  is the transmission coefficient. We let the amplitude of the incident wave be 1 V/m. The boundary conditions at z = 0imply that the transverse components of  $\boldsymbol{E}$  and  $\boldsymbol{H}$  are continuous. Since  $v_n(\boldsymbol{\rho})$  and  $k_{tn}$  are independent of z it follows that

$$k_{zn}(1+r_n) = \tilde{k}_{zn}t_n$$
$$1 - r_n = \epsilon t_n$$

where  $k_{zn} = \sqrt{(\omega/c_0)^2 - k_{tn}^2}$  and  $\tilde{k}_{zn} = \sqrt{(\omega/c_0)^2 \epsilon - k_{tn}^2}$  are the longitudinal wave numbers for z < 0 and z > 0, respectively. The solution is given by

$$r_n = \frac{\tilde{k}_{zn} - \epsilon k_{zn}}{\tilde{k}_{zn} + \epsilon k_{zn}}$$

If the TM mode number n is above cut-off then  $k_{zn}$  and the mode power  $Y_{nTM}^E$  are real, see equation 5.36. The power transport in the waveguide in the region z < 0 is given by equation (5.36)

$$P_i - P_r = \iint_{\Omega} \hat{z} \cdot < \mathbf{S}(t) > (\mathbf{r}, \omega) dx dy = P_{nTM}^E |a_{0TM}^+|^2 (1 - |r_n|^2)$$

where  $P_i = P_{nTM}^E |a_{0TM}^+|^2$  is the power of the incident mode and  $P_r = P_{nTM}^E |a_{0TM}^+|^2 |r_n|^2$  is the power of the reflected mode. Thus

$$\frac{P_r}{P_i} = |r_n|^2$$

It is given that a = 4cm, b = 3cm and  $\epsilon = 2$ . The fundamental TM-mode is TM<sub>11</sub>. The frequency is chosen such that it is the same as the cut-off frequency for the second TM-mode, *i.e.*,  $TM_{21}$  that has  $k_{t21}^2 = (2\pi/a)^2 + (\pi/b)^2$ . For z < 0 this corresponds to the frequency

$$f_{21} = k_{t21} \frac{c_0}{2\pi} = 9$$
GHz

This gives

$$\frac{P_r}{P_i} = 6.1 \cdot 10^{-3}$$

b)  $P_r = 0$  when  $r_{11} = 0$  *i.e.*, when  $\tilde{k}_{zn} = \epsilon k_{zn}$ . This gives

$$f = \frac{1}{2\pi} \sqrt{\frac{1+\epsilon}{\epsilon}} c_0 k_{t11}$$

The numerical value is f = 7.65 GHz.

#### 5.6

a) We first determine the modes that can propagate when a = 3 cm and f = 5 GHz. The lowest cut off frequencies are obtained from the tables of zeros for  $J_m(x)$  (för TM) and  $J'_m(x)$  (för TE) in appendix A

$$f_{11}^{TE} = \frac{c_0}{2\pi} \frac{1.841}{3} 10^2 = 2.93 \,\text{GHz} < 5 \,\text{GHz}$$
(0.3)

$$f_{21}^{TE} = \frac{c_0}{2\pi} \frac{3.053}{3} 10^2 = 4.86 \,\text{GHz} < 5 \,\text{GHz}$$
(0.4)

$$f_{01}^{TM} = \frac{c_0}{2\pi} \frac{2.405}{3} 10^2 = 3.83 \,\text{GHz} < 5 \,\text{GHz}$$
(0.5)

The next modes are  $f_{01}^{TE}$  and  $f_{11}^{TM}$  which both are non-propagating modes since they have cut off frequency 6.1 GHz.

b) The waveguide is filled with a plastic material with  $\sigma = 10^{-11}$  S and  $\varepsilon = 3$ . The z-dependence of the fundamental mode  $TE_{11}$  is given by  $e^{ik_z z}$  where  $k_z = \sqrt{k^2 - k_{t11}^2}$ . The wave number k is given by

$$k^{2} = \left(\frac{\omega}{c_{0}}\right)^{2} \epsilon_{ny} = \left(\frac{\omega}{c_{0}}\right)^{2} \left(\epsilon + i\frac{\sigma}{\omega\epsilon_{0}}\right)$$

It is seen that  $\sigma/(\epsilon\epsilon_0) \approx 10^{-11}/(3.8.854 \, 10^{-12})$  In the microwave region  $\sigma/(\omega\epsilon\epsilon_0) \ll 1$  and the following approximations are valid

$$k_{z} = (k^{2} - k_{t11}^{2})^{1/2} = \left( \left( \frac{\omega}{c_{0}} \right)^{2} \epsilon - k_{t11}^{2} \right)^{1/2} \left( 1 + i \frac{\sigma \omega \mu_{0}}{((\omega/c_{0})^{2} \epsilon - k_{t11}^{2})} \right)^{1/2}$$
$$\approx \left( \left( \frac{\omega}{c_{0}} \right)^{2} \epsilon - k_{t11}^{2} \right)^{1/2} \left( 1 + i \frac{\sigma \omega \mu_{0}}{2((\omega/c_{0})^{2} \epsilon - k_{t11}^{2})} \right)$$

and hence  $k_z = \operatorname{Re}(k_z) + \operatorname{i} \operatorname{Im}(k_z)$  where

$$\operatorname{Im}(k_z) = \frac{\sigma \omega \mu_0}{2} \left( (\omega/c_0)^2 \epsilon - k_{t11}^2 \right)^{-1/2} = \frac{\sigma \eta}{2} \left( 1 - (f_c/f)^2 \right)^{-1/2}$$

where  $f_c = c_0 \xi_{11}/(2\pi a \sqrt{\epsilon})$  och  $\eta = \sqrt{\mu_0/(\epsilon \epsilon_0)}$  =wave impedance. The numerical value is  $f_c = 1.7$  GHz.

## 5.7

For the TE<sub>10</sub>-mode the electric field in the region z < 0 is

$$\boldsymbol{E}(\boldsymbol{r}) = \hat{y} E_0 \sin \frac{\pi x}{a} e^{ik_z z}$$

This is the fundamental mode with cut-off frequency  $f_c = 0.5c_0/b = 2.5$  GHz. When this mode hits the plate it couples to the TE<sub>m0</sub>-modes in z > 0 and to the reflected TE<sub>m0</sub>-modes in z < 0.

Assume that  $x_0 > a/2$ . The fundamental mode in z > 0,  $x < x_0$  is TE<sub>10</sub>. This mode has the cut-off frequency  $f_c = 0.5c_0/x_0$ .

- a) According to the text, power propagates in z > 0 for frequencies above 3.75 GHz. This means that 3.75 GHz is the cut-off frequency for the fundamental mode TE<sub>10</sub> in  $x < x_0$ . Hence the plate is placed at  $x_0 = 0.5c_0/f_c = 0.5 \cdot 3 \cdot 10^8/3.75 \cdot 10^9 = 4$  cm.
- b) The electric for the TE<sub>03</sub>-mode in z < 0

$$\boldsymbol{E}(\boldsymbol{r}) = \hat{x} E_0 \sin \frac{3\pi y}{b} e^{\mathrm{i}k_z z}$$

and then the boundary condition at  $x = x_0$  is already satisfied since the tangential component is zero. Thus  $P_r/P_i = 0$ . The corresponding cut-off frequency is at  $f_c = 3 \cdot 0.5 \cdot c_0/b = 15$  GHz and hence the mode propagates at 20 GHz.

c) The electric field for the TE<sub>30</sub>-mode in z < 0 is

$$\boldsymbol{E}(\boldsymbol{r}) = \hat{y}E_0 \sin\frac{3\pi x}{a}e^{\mathrm{i}k_z z}$$

The corresponding cut-off frequency is at  $f_c = 3 \cdot 0.5 \cdot c_0/a = 7.7$  GHz and hence the mode propagates at 10 GHz. At  $x = x_0 = 4$  cm we see that  $\mathbf{E}(x_0, z) = \mathbf{0}$ for the TE<sub>30</sub>-mode. The electric field satisfies the correct boundary conditions on the plate  $x = x_0$ . this means that this mode is not affected by the plate and it continues to propagate in z > 0, without a reflected wave. Hence  $P_r/P_i = 0$ .

Comment In z > 0 the mode  $TE_{30}$  splits up in a  $TE_{20}$ -mode in the region  $x < x_0$  and one  $TE_{10}$ -mode in  $x_0 < x < a$ .

# 5.8

A quarter circle

<u>TM-modes:</u>  $E_z(\mathbf{r}) = v(\mathbf{\rho})e^{ik_z z}$  where v satisfies

$$\begin{cases} \nabla_T^2 v(\boldsymbol{\rho}) + k_t^2 v(\boldsymbol{\rho}) = 0\\ v(R, \phi) = v(\rho, 0) = v(\rho, \pi/2) = 0\\ v(\boldsymbol{\rho}) \text{ begränsad} \end{cases}$$

Separation of variables  $v(\boldsymbol{\rho}) = f(\rho)g(\phi)$  gives

$$g''(\phi) + \gamma g(\phi) = 0$$
  
$$g(0) = g(\pi/2) = 0$$

$$\Rightarrow g(\phi) = \sin(2m\phi), \quad \gamma = 4m^2$$

In the  $\rho$ -direction we get the Bessel differential equation of order 2m

$$\begin{cases} \frac{1}{\rho} \frac{\partial}{\partial \rho} \rho \frac{\partial f(\rho)}{\partial \rho} + \left(k_t^2 - \left(\frac{2m}{\rho}\right)^2\right) = 0\\ f(R) = 0 \quad |f(0)| < \infty \end{cases}$$

this gives  $f(\rho) = J_{2m}(\xi_{2m,n}\rho/R)$  and  $k_t^2 = (\xi_{2m,n}/R)^2$ , where  $J_{2m}(\xi_{2m,n}) = 0$ . The normalized eigenfunctions for the TM-modes are given by

$$v_{2m,n}(\boldsymbol{\rho}) = \sqrt{\frac{2}{\pi}} \frac{J_{2m}(\xi_{2m,n}\rho/R)}{RJ'_{2m}(\xi_{2m,n})} \sin 2m\phi$$

 $\frac{\text{TE-modes:}}{H_z(\boldsymbol{r}) = w(\boldsymbol{\rho})e^{ik_z z}}$ 

We get the same problem as in the TE-case except that the boundary conditions are  $2 \cdot (D_{1} + C_{2}) = 2 \cdot (-C_{2}) = 2 \cdot (-C_{2})$ 

$$\frac{\partial w(R,\phi)}{\partial \rho} = 0, \ \frac{\partial w(\rho,0)}{\partial \phi} = \frac{\partial w(\rho,\pi/2)}{\partial \phi} = 0$$

This gives the eigenfunctions

$$w_{2m,n}(\boldsymbol{\rho}) = \sqrt{\frac{\epsilon_m}{\pi}} \frac{\eta_{2m,n} J_{2m}(\eta_{2m,n}\rho/R)}{\sqrt{\eta_{2m,n}^2 - 4m^2} R J_{2m}(\eta_{2m,n})} \cos 2m\phi$$

and the eigenvalues  $k_t^2 = (\eta_{2m,n}/R)^2$  where  $J'_{2m}(\eta_{2m,n}) = 0, m = 0, 1, 2..., n = 1, 2, ...$ 

## 6.3

a) The complex electric field is  $\boldsymbol{E} = E(\rho)\hat{z}$  and satisfies the equation

$$\nabla^2 \boldsymbol{E} + k^2 \boldsymbol{E} = 0$$

which leads to the Bessel differential equation of order 0:

$$\frac{1}{\rho}\frac{\partial}{\partial\rho}\rho\frac{\partial E(\rho)}{\partial\rho} + k^2 E(\rho) = 0$$

The only condition is that E is bounded everywhere. This gives the solution

$$\boldsymbol{E}(\rho) = E_0 J_0(k\rho) \hat{z}$$

where  $E_0 = |E_0|e^{i\alpha}$ . In the time domain

$$\boldsymbol{E}(\rho,t) = \operatorname{Re}\{\boldsymbol{E}(\rho)e^{-i\omega t}\} = |E_0|J_0(k\rho)\cos(\omega t - \alpha)\hat{z}$$

The corresponding magnetic field is obtained from the induction law

$$\begin{aligned} \boldsymbol{H}(\rho) &= -i\frac{1}{\omega\mu_0} \nabla \times \boldsymbol{E}(\rho) = i\hat{\phi} \frac{1}{\omega\mu_0} \frac{\partial E(\rho)}{\partial\rho} \\ &= i\hat{\phi} \frac{k}{\omega\mu_0} E_0 J_0'(k\rho) = -i\hat{\phi} E_0 \frac{1}{\eta_0} J_1(k\rho) \end{aligned}$$

which gives the time domain dependence

$$\boldsymbol{H}(\rho,t) = -\hat{\phi}|E_0|\frac{1}{\eta_0}J_1(k\rho)\sin(\omega t - \alpha)$$

b) The boundary condition is E(a) = 0 Which means that only frequencies that

satisfy

$$J_0(ka) = 0$$

are valid. This gives the resonance frequencies

$$f_c = \frac{c}{2\pi} \frac{\xi_{0,n}}{a}, \quad n = 1, 2, 3...$$

where  $J_0(\xi_{0,n}) = 0$ . The electric field  $\boldsymbol{E}(\boldsymbol{r}) = E_0 J_0(k\rho) \hat{z}$  is a field that can exist in a cylindric cavity.

## V3.1

The TM<sub>02</sub> mode has  $E_z(\mathbf{r}) = v_{02}(\rho)e^{ik_z z}$  where  $v_{02}(\rho) = A_{02}J_0\left(\frac{\xi_{02}\rho}{a}\right)$ . However  $J_0\left(\frac{\xi_{02}\rho}{a}\right)$  is also zero when  $\left(\frac{\xi_{02}\rho}{a}\right) = \xi_{01}$ . This means that  $E_z$  is zero at  $\rho = \frac{\xi_{01}}{\xi_{02}}a$ . The boundary condition that the tangential component of  $\mathbf{E}$  is zero at  $\rho = b$  is then satisfied if  $b = \frac{\xi_{01}}{\xi_{02}}a = 2.405a/5.520 = 0.437a$ . The transverse part of the electric field is directed in the radial direction and is not affected by the boundary at  $\rho = b$ .