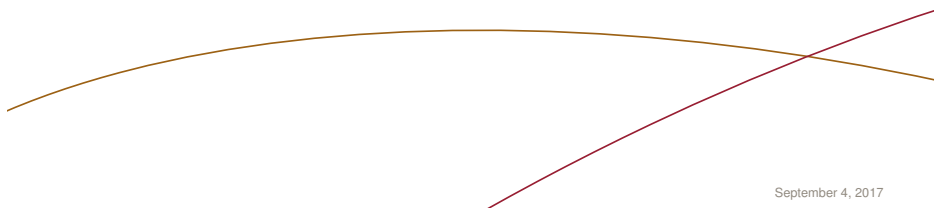


# EITG05 – Digital Communications

## Week 2, Lecture 1

### Bandwidth of Transmitted Signals

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Monday, September 4, 2017



## What did we do last week?

### Concepts of $M$ -ary digital signaling:

- ▶ Modulation of amplitude, phase or both: PAM, PSK, QAM
- ▶ Orthogonal signaling: FSK, OFDM
- ▶ Pulse position and width: PPM, PWM

### We have paid special attention to:

- ▶ Average symbol energy  $\bar{E}_s$
- ▶ Euclidean distance  $D_{i,j}$
- ▶ Both values could be related to the energy  $E_g$  of the pulse  $g(t)$

What about the bandwidth of the signal?

How is it related to  $g(t)$ ?



## Week 2, Lecture 1

### Chapter 2: Model of a Digital Communication System

- ▶ 2.5 The bandwidth of the transmitted signal
  - 2.5.1 Basic Fourier transform concepts
  - 2.5.2  $R(f)$ :  $M$ -ary transmission
  - 2.5.3  $R(f)$ : binary signaling
  - 2.5.4 Some definitions of bandwidth

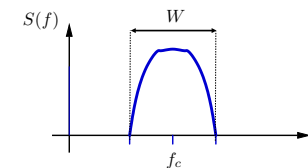
Pages 61 – 72 (excluding 2.5.1.2) and 77 – 88

**Exercises:** 2.18, 2.16, 2.17a, 2.19a, Example 2.17 on page 64



## Bandwidth of Transmitted Signal

- ▶ The **bandwidth**  $W$  of a signal is the width of the frequency range where **most** of the signal energy or power is located



- ▶  $W$  is measured on the positive frequency axis
- ▶ The bandwidth is a **limited and precious** resource
- ▶ We must have control of the bandwidth and use it efficiently

### Questions:

What is the relationship between information bit rate and required bandwidth?

How does the bandwidth depend on the signaling method?



## Energy Spectrum

- ▶ We have seen last week that the **energy of a signal**  $x(t)$  can be determined as

$$E_x = \int_{-\infty}^{\infty} x^2(t) dt$$

- ▶ The function  $x^2(t)$  shows how the energy  $E_x$  is distributed along the time axis
- ▶ According to **Parseval's relation** we can alternatively express the energy as

$$E_x = \int_{-\infty}^{\infty} |X(f)|^2 df,$$

where  $X(f)$  denotes the **Fourier transform** of the signal  $x(t)$

- ▶ The function  $|X(f)|^2$  shows how the energy  $E_x$  is distributed in the frequency domain

⇒ We need the Fourier transform as a tool for finding the bandwidth of our signals



## Fourier Transform

- ▶ The **Fourier transform** of a signal  $x(t)$  is given by

$$X(f) = \mathcal{F}\{x(t)\} = \int_{-\infty}^{\infty} x(t) e^{-j2\pi ft} dt = X_{Re}(f) + j X_{Im}(f),$$

where  $j = \sqrt{-1}$ , i.e., the solution to  $j^2 = -1$

- ▶ We can also express  $X(f)$  in terms of **magnitude**  $|X(f)|$  and **phase**  $\varphi(f) = \arg X(f)$  (argument)

$$X(f) = |X(f)| e^{j\varphi(f)}$$

- ▶ Then

$$|X(f)| = \sqrt{X_{Re}^2(f) + X_{Im}^2(f)}$$

$$X_{Re}(f) = |X(f)| \cos(\varphi(f))$$

$$X_{Im}(f) = |X(f)| \sin(\varphi(f))$$

- ▶ Assuming  $x(t)$  is a **real-valued** signal, it can be shown that

$$|X(f)| = |X(-f)|, \text{ (even)} \quad \varphi(f) = -\varphi(-f), \text{ (odd)}$$



## Fourier Transform

- ▶ The original signal  $x(t)$  can then be expressed in terms of the **inverse Fourier transform** as

$$x(t) = \mathcal{F}^{-1}\{X(f)\} = \int_{-\infty}^{\infty} X(f) e^{+j2\pi ft} df = \int_{-\infty}^{\infty} |X(f)| e^{+j(2\pi ft + \varphi(f))} df$$

- ▶ Assuming  $x(t)$  is a **real-valued** signal this can be written as

$$x(t) = 2 \int_0^{\infty} |X(f)| \cos(2\pi ft + \varphi(f)) df$$

- ▶ **Interpretation:** any signal  $x(t)$  can be decomposed into **sinusoidal components** at different frequencies and phase offsets
- ▶ The magnitude  $|X(f)|$  measures the strength of the signal component at frequency  $f$



## Example: rectangular pulse

- ▶ Let us compute the Fourier transform of the following signal:

$$x_{rec}(t) = \begin{cases} A & -\frac{T}{2} \leq t \leq \frac{T}{2} \\ 0 & \text{otherwise} \end{cases}$$

- ▶ We get

$$\begin{aligned} X_{rec}(f) &= \mathcal{F}\{x_{rec}(t)\} = \int_{-\infty}^{\infty} x_{rec}(t) e^{-j2\pi ft} dt \\ &= \int_{-T/2}^{+T/2} A e^{-j2\pi ft} dt = \left[ -\frac{Ae^{-j2\pi ft}}{j2\pi f} \right]_{-T/2}^{+T/2} \\ &= \frac{A}{\pi f} \frac{e^{j\pi f T} - e^{-j\pi f T}}{2j} = AT \frac{\sin(\pi f T)}{\pi f T} \end{aligned}$$

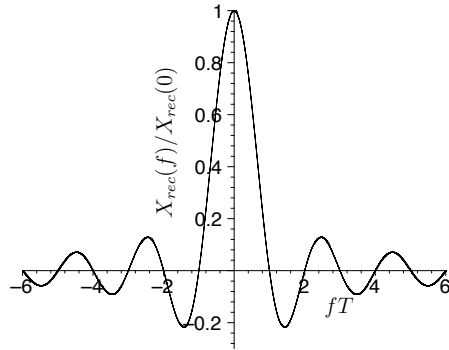
- ▶ We have found that

$$x_{rec}(t) \longleftrightarrow AT \frac{\sin(\pi f T)}{\pi f T} = AT \text{sinc}(fT)$$

**Notation:**  $x(t) \longleftrightarrow \mathcal{F}\{x(t)\}$



## Example 2.17: sketch of $X_{rec}(f)$



- ▶ the Fourier transform  $X(f)$  is centered around  $f = 0$ : baseband
- ▶ we observe a **main-lobe** and several **side-lobes**
- ▶ **Note:**  $fT = 2$  means that  $f = 2 \cdot 1/T$

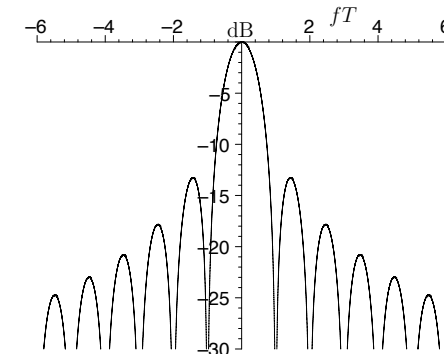
Sketch the function for  $T = 10^{-6}$  s and  $T = 2 \cdot 10^{-6}$  s



## Example 2.17: sketch of $|X_{rec}(f)|^2$

- ▶ Consider now the normalized **energy spectrum** in dB

$$10 \log_{10} \left( \frac{|X_{rec}(f)|^2}{E_x T} \right) = 10 \log_{10} \left( \frac{\sin(\pi f T)}{\pi f T} \right)^2$$



⇒ **most energy is contained in the main-lobe (90.3 %)**



## Fourier transform of time-shifted signals

- ▶ Did you notice the difference between  $x_{rec}(t)$  in this example and the elementary pulse  $g_{rec}(t)$  which we used last week?

$$x_{rec}(t) = \begin{cases} A & -\frac{T}{2} \leq t \leq \frac{T}{2} \\ 0 & \text{otherwise} \end{cases}, \quad g_{rec}(t) = \begin{cases} A & 0 \leq t \leq T \\ 0 & \text{otherwise} \end{cases}$$

- ▶ The pulse  $g_{rec}(t) = x_{rec}(t - T/2)$  is a **time-shifted** version of  $x_{rec}(t)$
- ▶ In general, the Fourier transform of a signal  $y(t) = x(t - t_d)$  with a constant **delay**  $t_d$  becomes

$$Y(f) = \int_{-\infty}^{\infty} x(t - t_d) e^{-j2\pi f t} dt = \int_{-\infty}^{\infty} x(\tau) e^{-j2\pi f(\tau + t_d)} d\tau = X(f) e^{-j2\pi f t_d}$$

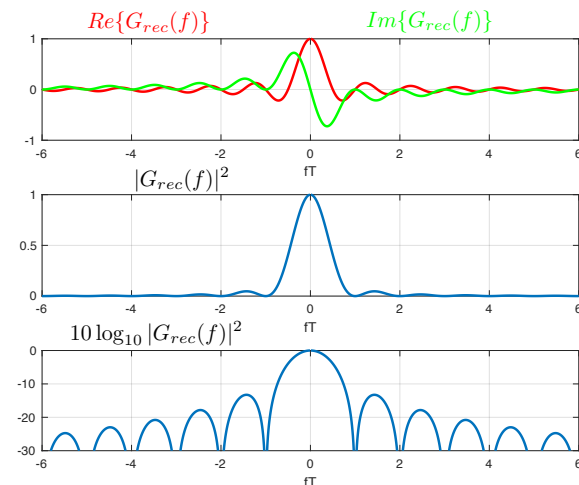
- ▶ **Observe:** the delay  $t_d$  changes only the phase of  $Y(f)$
- ▶ The **energy spectrum** is not affected by time-shifts

$$|X_{rec}(f)|^2 = |G_{rec}(f)|^2 \quad (\text{compare App. D.1})$$



## A simple Matlab exercise

Let us plot the spectrum of the pulse  $g_{rec}(t)$



## A simple Matlab exercise

And this is how it was done:

```

1 % Example: rect pulse spectrum
2
3
4 x=-6:0.01:6;
5 G=sin(pi.*x)./(pi.*x).*exp(-j*pi*x); % T=1
6
7 figure(2)
8 subplot(3,1,1);
9 plot(x,real(G),'r',x,imag(G),'g'); xlabel('fT');
10 grid on;
11
12 subplot(3,1,2);
13 plot(x,abs(G).^2); xlabel('fT'); |
14 grid on;
15
16 subplot(3,1,3);
17 plot(x,10.*log10(abs(G).^2)); xlabel('fT');
18 set(gca,'YLim',[-30 0]);
19 grid on;
    
```



## Fourier transform of other pulses

- ▶ The Fourier transforms  $G(f)$  and sketches of the energy spectra  $|G(f)|^2$  are given for a number of different elementary pulses  $g(t)$  in Appendix D
- ▶ **Example: half cycle sinusoidal pulse**

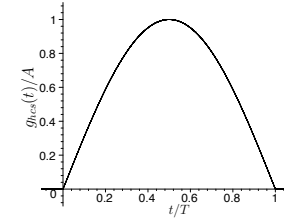


Figure D.7:  $g_{hcs}(t)/A$ .

$$g_{hcs}(t) = \begin{cases} A \sin(\pi t/T) & , 0 \leq t \leq T \\ 0 & , \text{otherwise} \end{cases}$$

$$E_g = A^2 T/2$$

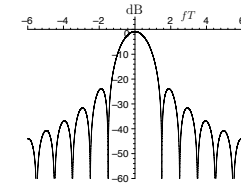


Figure D.8:  $|G_{hcs}(f)|^2$  in dB.

$$G_{hcs}(f) = \mathcal{F}\{g_{hcs}(t)\} = \frac{2AT}{\pi} \frac{\cos(\pi fT)}{1 - (2fT)^2} e^{-j\pi fT}$$

$$G_{hcs}(f) = \pm 1/2T = \mp jAT/2$$

$$G_{hcs}(n/T) = 0 \text{ if } n = \pm 3/2, \pm 5/2, \pm 7/2, \dots$$



## Frequency shift operations

- ▶ We have seen the effect of a **time shift** on the Fourier transform

$$g(t - t_d) \longleftrightarrow G(f) e^{-j2\pi f t_d}$$

- ▶ In a similar way we can characterize a **frequency shift**  $f_c$  by

$$g(t) e^{j2\pi f_c t} \longleftrightarrow G(f - f_c)$$

- ▶ Let us make use of the relation  $e^{j2\pi f_c t} = \cos(2\pi f_c t) + j \sin(2\pi f_c t)$
- ▶ We can now express this in terms of **cosine** and **sine** functions,

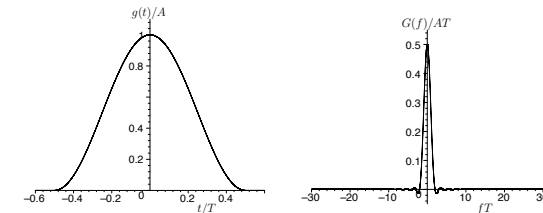
$$g(t) \cos(2\pi f_c t) \longleftrightarrow \frac{G(f + f_c) + G(f - f_c)}{2}$$

$$g(t) \sin(2\pi f_c t) \longleftrightarrow j \frac{G(f + f_c) - G(f - f_c)}{2}$$

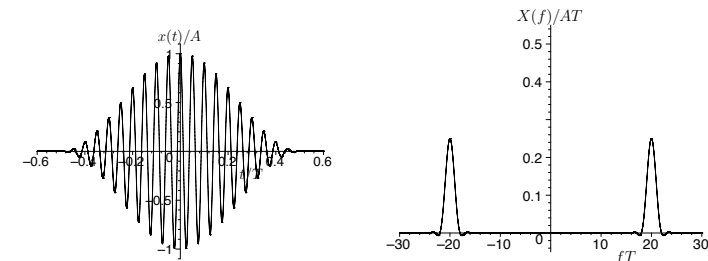
⇒ by simply changing the carrier frequency  $f_c$  we can move our signals to a suitable location along the frequency axis



## Example: time raised cosine pulse



$$x(t) = g(t) \cdot \cos(2\pi f_c t) = g_{rc}(t + T/2) \cdot \cos(2\pi f_c t), \quad f_c = 20/T$$



## Back to the transmitted signal

- ▶ We have seen how the Fourier transform can be used to calculate the energy spectrum  $|X(f)|^2$  of a given signal  $x(t)$
- ▶ Let us now look at the transmitted signal for  $M$ -ary modulation

$$s(t) = s_{m[0]}(t) + s_{m[1]}(t - T_s) + s_{m[2]}(t - 2T_s) + \dots = \sum_{i=0}^{\infty} s_{m[i]}(t - iT_s)$$

- ▶ Message  $m[i]$  selects the signal alternative to be sent at time  $iT_s$
- ▶ Since the **information** bit stream is **random**, the transmitted signal  $s(t)$  consists of a sequence of random signal alternatives

How can we determine the bandwidth  $W$  of the transmitted signal?



## Power Spectral Density

- ▶ Since the signal has **no predefined length** the energy is not a good measure (could be infinite according to our model)
- ▶ On the other hand, we know that the signal has **finite power**
- ▶ The **power spectral density**  $R(f)$  shows how the average signal power  $\bar{P}$  is distributed along the frequency axis on average

$$\bar{P} = \bar{E}_b R_b = \int_{-\infty}^{\infty} R(f) df$$

- ▶ Most of the average signal power  $\bar{P}$  [ $V^2$ ] will be contained within the main-lobe of  $R(f)$  [ $V^2/Hz$ ]
- ⇒ we can determine the signal bandwidth from  $R(f)$

Our aim is to find  $R(f)$  for a given modulation order  $M$  and set of  $M$  signal alternatives (constellation)



## Power Spectral Density

- ▶ The random  $M$ -ary sequence of messages  $m[i]$  consists of **independent, identically distributed** (i.i.d)  $M$ -ary symbols
- ▶ The probability for each of the  $M = 2^k$  symbols (messages) is denoted by  $P_\ell, \ell = 0, 1, \dots, M - 1$
- ▶ All signal alternatives  $s_\ell(t)$  in the constellation have **finite energy**
- ▶ The average signal over all signal alternatives is denoted  $a(t)$ , i.e.,

$$a(t) = \sum_{\ell=0}^{M-1} P_\ell s_\ell(t)$$

and its Fourier transform is

$$A(f) = \sum_{n=0}^{M-1} P_n S_n(f)$$

### Remark:

Source coding (compression) can be used to remove or reduce correlations in the information stream



## $R(f)$ : Main Result

- ▶ The power spectral density  $R(f)$  can be divided into a **continuous part**  $R_c(f)$  and a **discrete part**  $R_d(f)$

$$R(f) = R_c(f) + R_d(f)$$

- ▶ The general expression for the continuous part is

$$\begin{aligned} R_c(f) &= \frac{1}{T_s} \sum_{n=0}^{M-1} P_n |S_n(f) - A(f)|^2 \\ &= \left( \frac{1}{T_s} \sum_{n=0}^{M-1} P_n |S_n(f)|^2 \right) - \frac{|A(f)|^2}{T_s} \end{aligned}$$

- ▶ For the discrete part we have

$$R_d(f) = \frac{|A(f)|^2}{T_s^2} \sum_{n=-\infty}^{\infty} \delta(f - n/T_s)$$



## $R(f)$ : Main Result

- ▶ Assume now that the **average signal**  $a(t) = 0$  for all  $t$
- ▶ It follows that  $A(f) = 0$  for all  $f$
- ▶ This simplifies the result to

$$R(f) = R_c(f) = R_s \sum_{n=0}^{M-1} P_n |S_n(f)|^2 = R_s E\{|S_{m[n]}(f)|^2\}$$

- ▶ These **general results** can also be used to study the consequences that **technical errors** or **impairments** in the transmitter can have on the frequency spectrum
- ▶ We will now consider various **special cases** used in practice



## $R(f)$ : Binary Signaling

- ▶ In the **general binary case**, i.e.,  $M = 2$ , we have

$$A(f) = P_0 S_0(f) + P_1 S_1(f)$$

- ▶ This simplifies the expression for the power spectral density to

$$\begin{aligned} R(f) &= R_c(f) + R_d(f) \\ &= \frac{P_0 P_1}{T_b} |S_0(f) - S_1(f)|^2 + \frac{|P_0 S_0(f) + P_1 S_1(f)|^2}{T_b^2} \sum_{n=-\infty}^{\infty} \delta(f - n/T_b) \end{aligned}$$

(derivation in Ex. 2.20)

- ▶ We will now consider some examples from the compendium



## Example 2.21

Assume equally likely antipodal signal alternatives, such that

$$s_1(t) = -s_0(t) = g(t)$$

where  $g(t) = g_{rec}(t)$ , and  $g_{rec}(t)$  is given in (D.1). Assume also that  $T \leq T_b$ .

- Calculate the power spectral density  $R(f)$ .
- Calculate the **bandwidth  $W$**  defined as the one-sided width of the mainlobe of  $R(f)$ , if the information bit rate is 10 [kbps], and if  $T = T_b/2$ . Calculate also the bandwidth efficiency  $\rho$ .
- Estimate the attenuation in dB of the first sidelobe of  $R(f)$  compared to  $R(0)$ .

- ▶  $M = 2$  with equally likely antipodal signaling  $s_1(t) = -s_0(t) = g(t)$
- ▶ With  $P_0 = P_1 = 1/2$  and  $S_1(f) = -S_0(f) = G(f)$  we get

$$R(f) = R_b |S_1(f)|^2 = R_b |S_0(f)|^2 = R_b |G(f)|^2$$

- ▶ Details for the pulse in Appendix D



## Example 2.23

Assume equally likely antipodal signal alternatives below. Assume that  $s_1(t) = -s_0(t) = g_{rc}(t)$ , where the time raised cosine pulse  $g_{rc}(t)$  is defined in (D.18). Assume also that  $T = T_b$ .

Find an expression for the power spectral density  $R(f)$ . Calculate the bandwidth  $W$ , defined as the one-sided width of the mainlobe of  $R(f)$ , if  $R_b$  is 10 [kbps]. Calculate also the bandwidth efficiency  $\rho$ .

- ▶ Same as Example 2.21, but with  $g_{rc}(t)$  pulse
- ▶ Analogously we get

$$R(f) = R_b |G_{rc}(f)|^2$$

- ▶ From the one-sided main-lobe we get

$$W = 2/T \text{ [Hz]}$$

- ▶ Bandwidth efficiency  $\rho = 1/2$  [bps/Hz] is the same (why?)



## Example 2.24

Assume  $P_0 = P_1$  and that,

$$s_1(t) = -s_0(t) = g_{rc}(t) \cos(2\pi f_c t)$$

with  $T = T_b$ , and  $f_c \gg 1/T$ . Hence, a version of binary PSK signaling is considered here (alternatively binary antipodal bandpass PAM). Calculate the **bandwidth  $W$** , defined as the **double-sided width of the mainlobe around the carrier frequency  $f_c$** . Assume that the information bit rate is 10 [kbps]. Calculate also the bandwidth

- ▶ This corresponds to the **bandpass case**
- ▶ Let  $g_{hf}(t)$  denote the high-frequency pulse

$$g_{hf}(t) = g_{rc}(t) \cos(2\pi f_c t) \quad \text{and} \quad R(f) = R_b |G_{hf}(f)|^2$$

- ▶ Using shift operations we get

$$R(f) = R_b \left| \frac{G_{rc}(f+f_c)}{2} + \frac{G_{rc}(f-f_c)}{2} \right|^2$$

- ▶ From the **two-sided main-lobe** we get

$$W = 4/T \text{ [Hz]}$$

